
Gravity Waves, Scale Asymptotics, and the Pseudo-Incompressible Equations

Ulrich Achatz

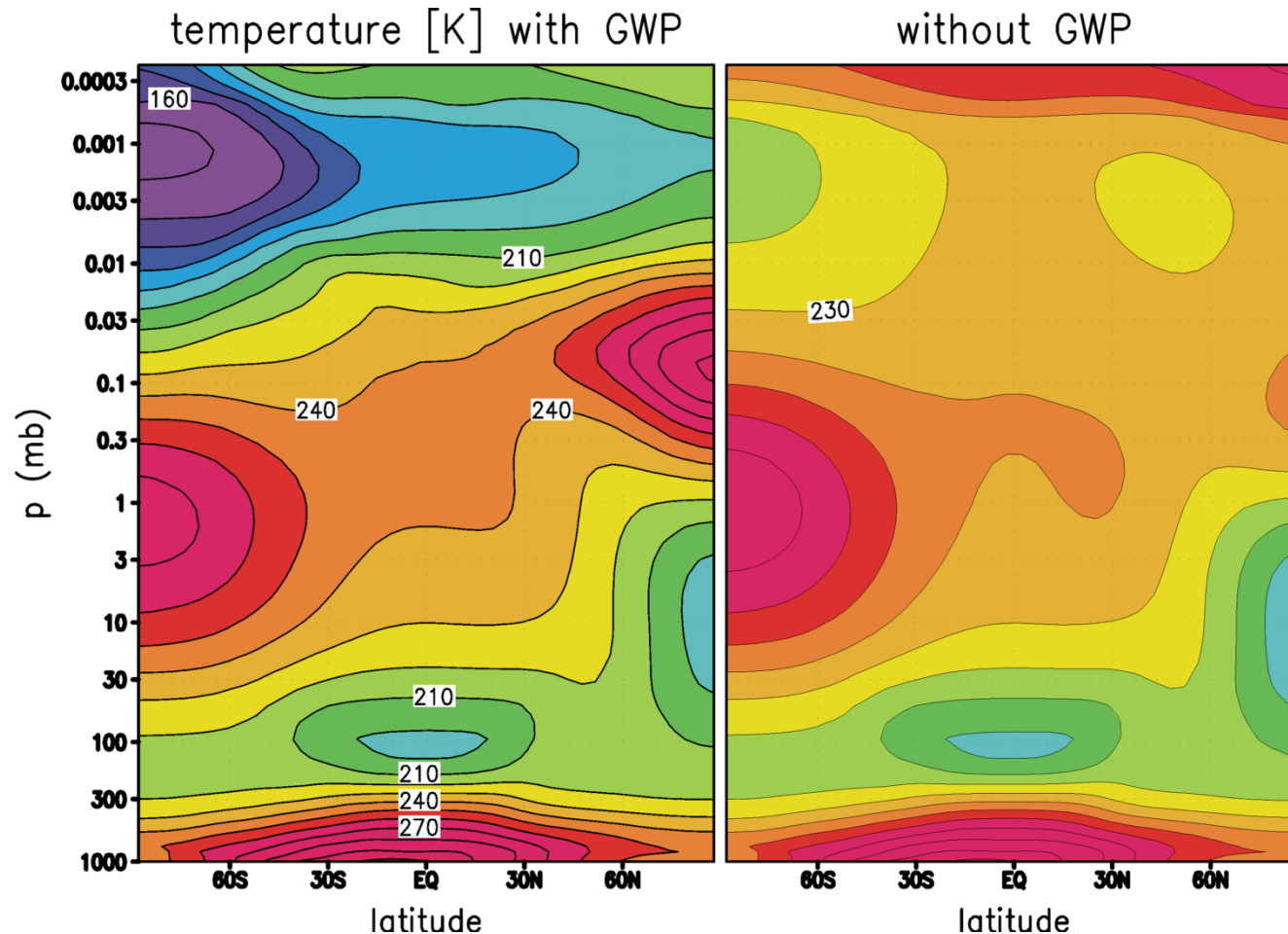
Goethe-Universität Frankfurt am Main

Rupert Klein (FU Berlin) and **Fabian Senf** (IAP Kühlungsborn)

13.9.10

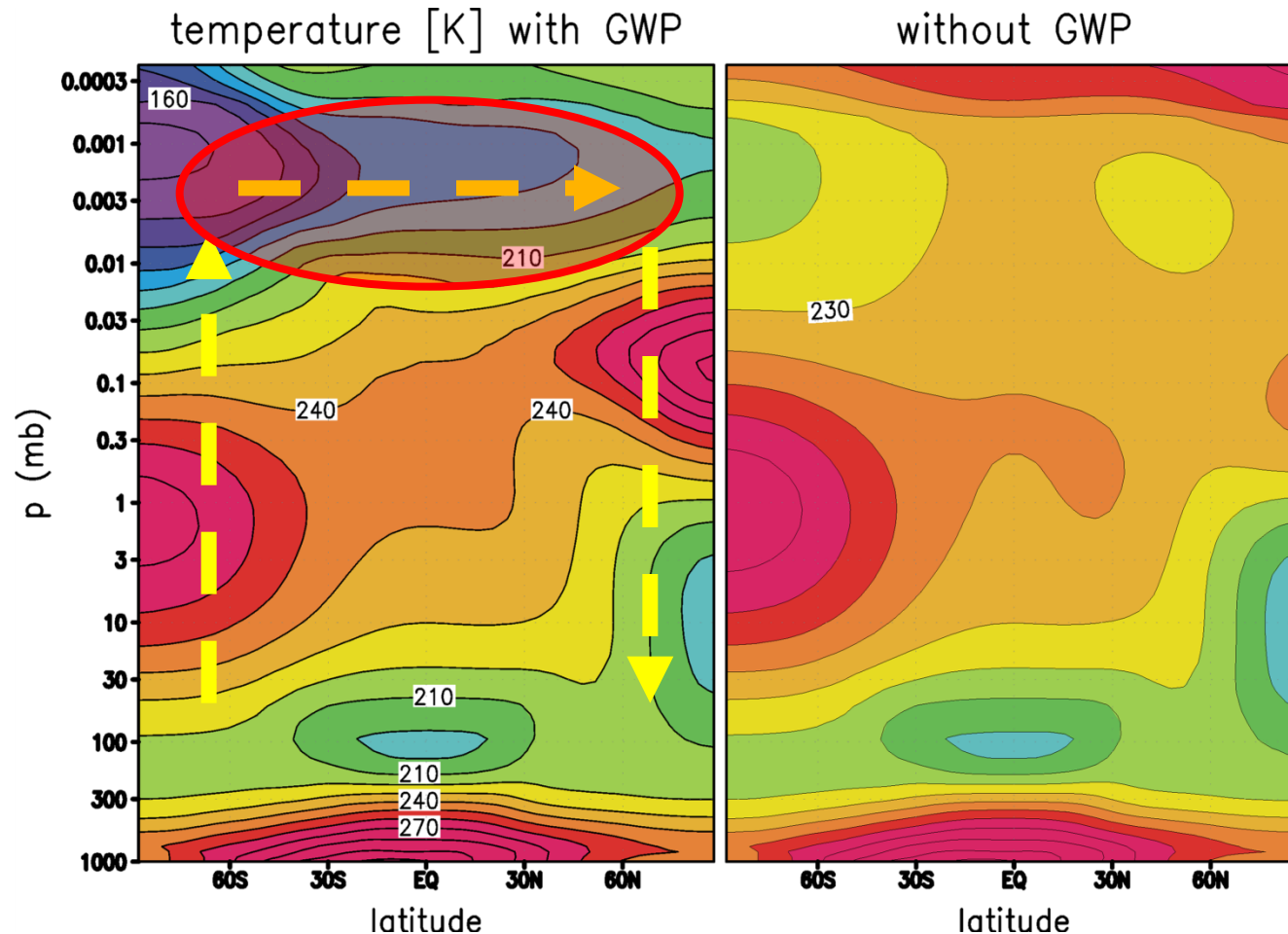
Gravity waves in the middle atmosphere

Gravity Waves in the Middle Atmosphere



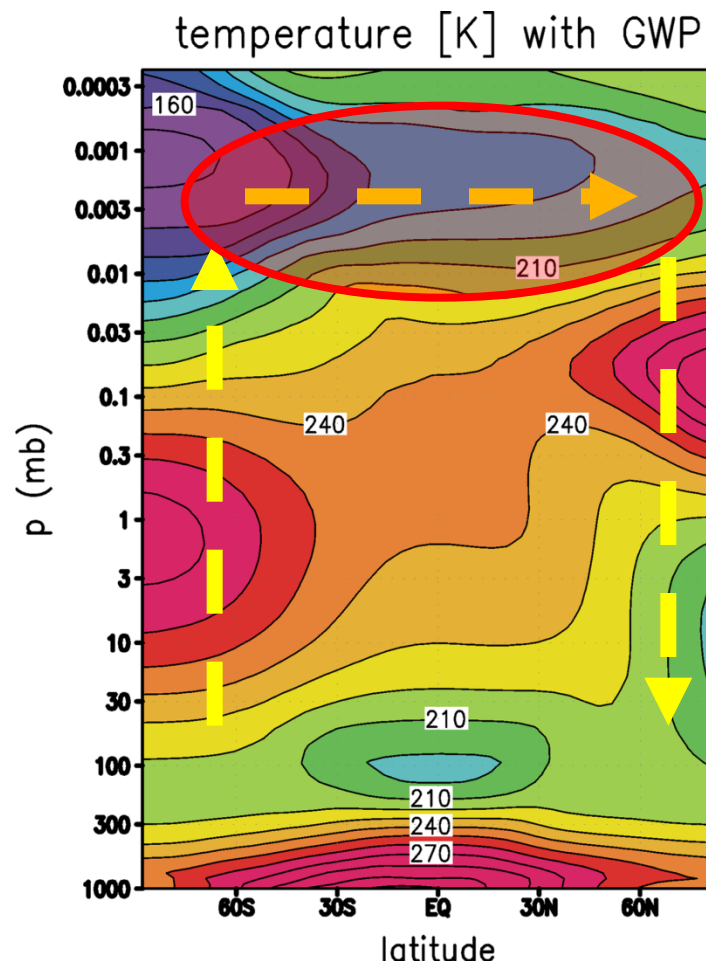
Becker und Schmitz (2003)

Gravity Waves in the Middle Atmosphere

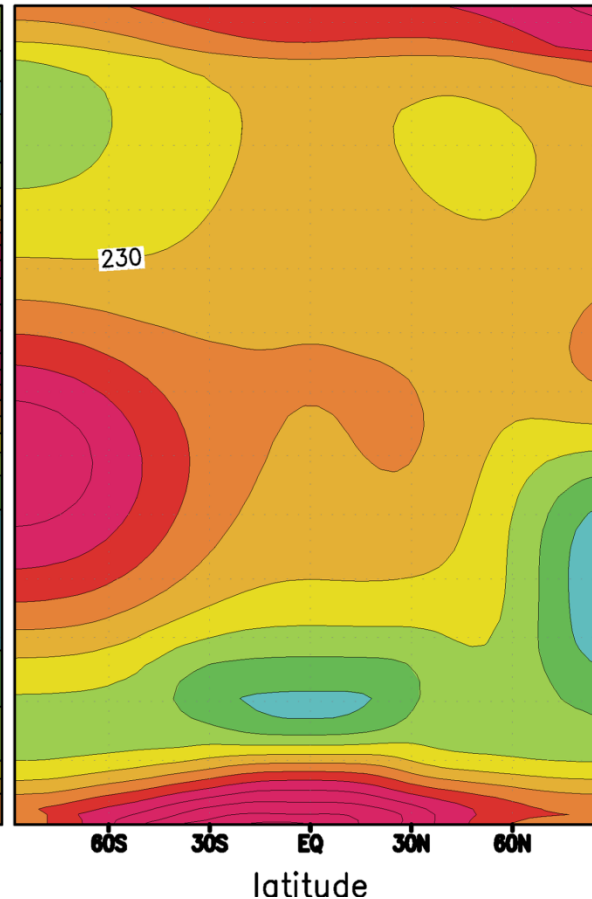


Becker und Schmitz (2003)

Gravity Waves in the Middle Atmosphere



Without GW parameterization



Becker und Schmitz (2003)

linear GWs in the Euler equations

- no heat sources, friction, ...
- no rotation

$$\begin{aligned}\frac{D\mathbf{v}}{Dt} &= -\frac{1}{\rho}\nabla p - g\mathbf{e}_z \\ \frac{D\theta}{Dt} &= 0 \\ \frac{D\rho}{Dt} + \rho\nabla\cdot\mathbf{v} &= 0 \\ p &= \rho RT \\ \theta &= T\left(\frac{p_0}{p}\right)^{R/c_p}\end{aligned}$$

linear GWs in the Euler equations

- no heat sources, friction, ...
- no rotation

$$\begin{aligned}\frac{D\mathbf{v}}{Dt} &= -\frac{1}{\rho}\nabla p - g\mathbf{e}_z \\ \frac{D\theta}{Dt} &= 0 \\ \frac{D\rho}{Dt} + \rho\nabla \cdot \mathbf{v} &= 0 \\ p &= \rho RT \\ \theta &= T \left(\frac{p_0}{p} \right)^{R/c_p}\end{aligned}$$

are equivalent to

$$\begin{aligned}\frac{D\mathbf{v}}{Dt} &= -c_p\theta\nabla\pi - g\mathbf{e}_z \\ \frac{D\theta}{Dt} &= 0 \\ \frac{D\pi}{Dt} + \frac{R}{c_v}\pi\nabla \cdot \mathbf{v} &= 0 \\ p &= \rho RT \\ \pi &= \left(\frac{p}{p_0} \right)^{R/c_p}, \quad \theta = \frac{T}{\pi}\end{aligned}$$

linear GWs in the Euler equations

- linearization about atmosphere at rest:

$$\mathbf{v} = \mathbf{v}'$$

$$\theta = \bar{\theta}(z) + \theta'$$

$$\pi = \bar{\pi}(z) + \pi'$$

$$0 = -c_p \bar{\theta} \frac{d\bar{\pi}}{dz} - g \quad \Rightarrow$$

linear GWs in the Euler equations

- linearization about atmosphere at rest:

$$\mathbf{v} = \mathbf{v}'$$

$$\theta = \bar{\theta}(z) + \theta'$$

$$\pi = \bar{\pi}(z) + \pi'$$

$$0 = -c_p \bar{\theta} \frac{d\bar{\pi}}{dz} - g \quad \Rightarrow$$

$$\begin{aligned} \frac{D\mathbf{v}'}{Dt} &= -c_p \bar{\theta} \nabla \pi' + b' \mathbf{e}_z \\ c_p \bar{\theta} \frac{\partial \pi'}{\partial t} - g w' + \frac{R}{c_v} c_p \bar{\theta} \nabla \cdot \mathbf{v}' &= 0 \\ \frac{\partial b'}{\partial t} + N^2 w' &= 0 \\ \mathbf{v}' &= (u', v', w') \\ b' &= g \frac{\theta'}{\bar{\theta}} \\ N^2 &= \frac{g}{\bar{\theta}} \frac{d\bar{\theta}}{dz} \end{aligned}$$

linear GWs in the Euler equations

- conservation of wave energy: linear equations satisfy

$$\frac{\partial E'}{\partial t} + \nabla \cdot (p' \mathbf{v}') = 0$$
$$E' = \frac{\bar{\rho}}{2} \left(|\mathbf{v}'|^2 + \frac{b'^2}{N^2} \right) + \frac{\overline{\rho \theta}^2}{2c_s^2} c_p^2 \pi'^2, \quad c_s^2 = \frac{c_p}{c_v} R \bar{T}$$

linear GWs in the Euler equations

- conservation of wave energy: linear equations satisfy

$$\frac{\partial E'}{\partial t} + \nabla \cdot (p' \mathbf{v}') = 0$$
$$E' = \frac{\bar{\rho}}{2} \left(|\mathbf{v}'|^2 + \frac{b'^2}{N^2} \right) + \frac{\bar{\rho} \bar{\theta}^2}{2c_s^2} c_p^2 \pi'^2, \quad c_s^2 = \frac{c_p}{c_v} R \bar{T}$$

- conservation suggests:

$$\begin{aligned} \mathbf{v}' &\propto 1 / \sqrt{\rho} \\ b' &\propto N / \sqrt{\rho} \\ \pi' &\propto c_s / (\bar{\theta} \sqrt{\rho}) \end{aligned}$$

linear GWs in the Euler equations

- isothermal atmosphere: $c_s = \text{const.}$, $N^2 = \text{const.}$

$$\mathbf{v}' = \sqrt{\frac{\rho_0}{\rho}} \tilde{\mathbf{v}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)]$$

$$\mathbf{k} = (k, l, m)$$

$$b' = \sqrt{\frac{\rho_0}{\rho}} \tilde{b} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)]$$

$$\pi' = \sqrt{\frac{\rho_0}{\rho}} \frac{\theta_0}{\theta} \tilde{\pi} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)]$$

linear GWs in the Euler equations

- isothermal atmosphere: $c_s = \text{const.}$, $N^2 = \text{const.}$

$$\omega^2 = \begin{cases} \frac{N^2(k^2 + l^2)}{k^2 + l^2 + m^2 + \frac{1}{4H^2}} & \text{gravity waves} \\ c_s^2 \left(k^2 + l^2 + m^2 + \frac{1}{4H^2} \right) & \text{sound waves} \end{cases}$$

linear GWs in the Euler equations

- isothermal atmosphere: $c_s = \text{const.}$, $N^2 = \text{const.}$

$$\omega^2 = \begin{cases} \frac{N^2(k^2 + l^2)}{k^2 + l^2 + m^2 + \frac{1}{4H^2}} \\ c_s^2 \left(k^2 + l^2 + m^2 + \frac{1}{4H^2} \right) \end{cases}$$

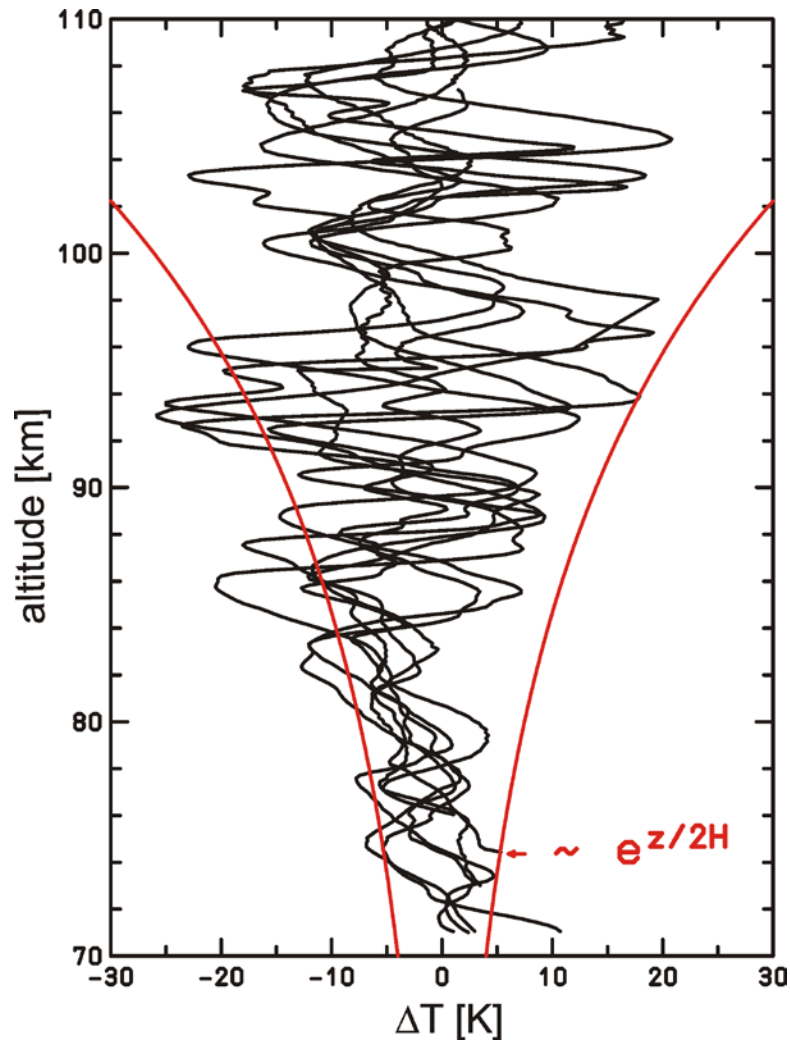
gravity waves

sound waves

GW polarization relations:

$$\begin{aligned} \tilde{u} &= -i \frac{m}{k} \frac{\omega}{N^2} \tilde{b} \\ \tilde{w} &= i \frac{\omega}{N^2} \tilde{b} \\ \tilde{\pi} &= -i \frac{\omega^2}{N^2} \frac{m}{c_p T k^2} \tilde{b} \end{aligned}$$

GW breaking in the atmosphere

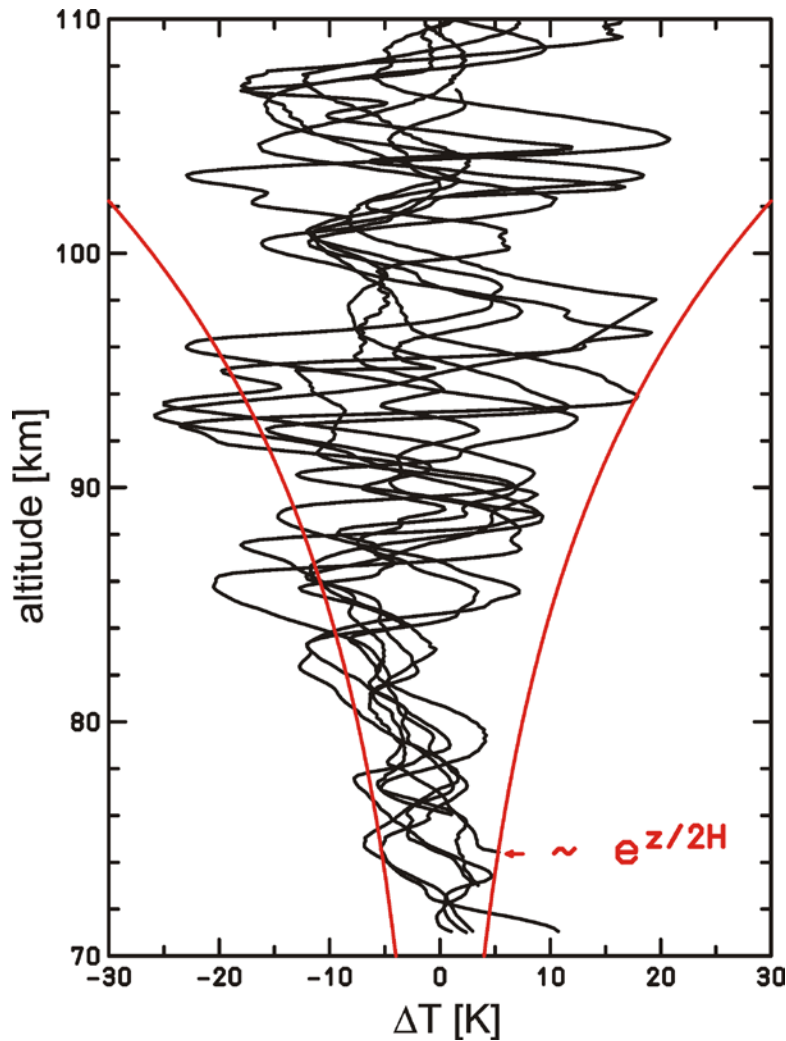


Rapp et al. (priv. comm.)

- Conservation

$$\frac{\rho_0}{2} (|\mathbf{v}|^2 + \dots) \Rightarrow \boxed{\mathbf{v} \propto \frac{1}{\sqrt{\rho_0}}}$$

GW breaking in the atmosphere



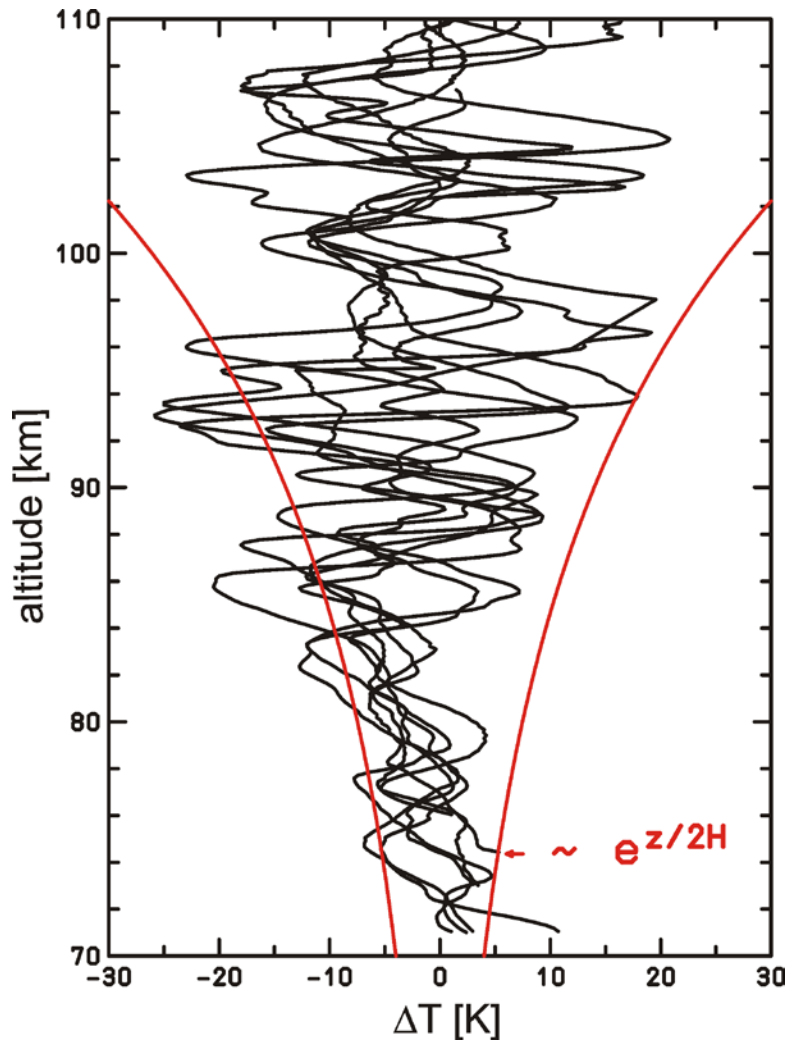
Rapp et al. (priv. comm.)

- Conservation

$$\frac{\rho_0}{2} (|\mathbf{v}|^2 + \dots) \Rightarrow \boxed{\mathbf{v} \propto \frac{1}{\sqrt{\rho_0}}}$$

- *Instability* at large altitudes

GW breaking in the atmosphere



Rapp et al. (priv. comm.)

- Conservation

$$\frac{\rho_0}{2} (|\mathbf{v}|^2 + \dots) \Rightarrow \boxed{\mathbf{v} \propto \frac{1}{\sqrt{\rho_0}}}$$

- *Instability* at large altitudes
- Turbulence
- Momentum deposition...

GW Parameterizations

- **multitude of parameterization approaches**
(Lindzen 1981, Medvedev und Klaassen 1995, Hines 1997, Alexander and Dunkerton 1999, Warner and McIntyre 2001)
- too many **free parameters**
- reasons :
 - ...
 - insufficient knowledge: ***conditions of wave breaking***
- basic paradigm: ***breaking of a single wave***
 - Stability analyses (NMs, SVs)
 - Direct numerical simulations (DNS)

GW breaking

GW stability in the Boussinesq theory

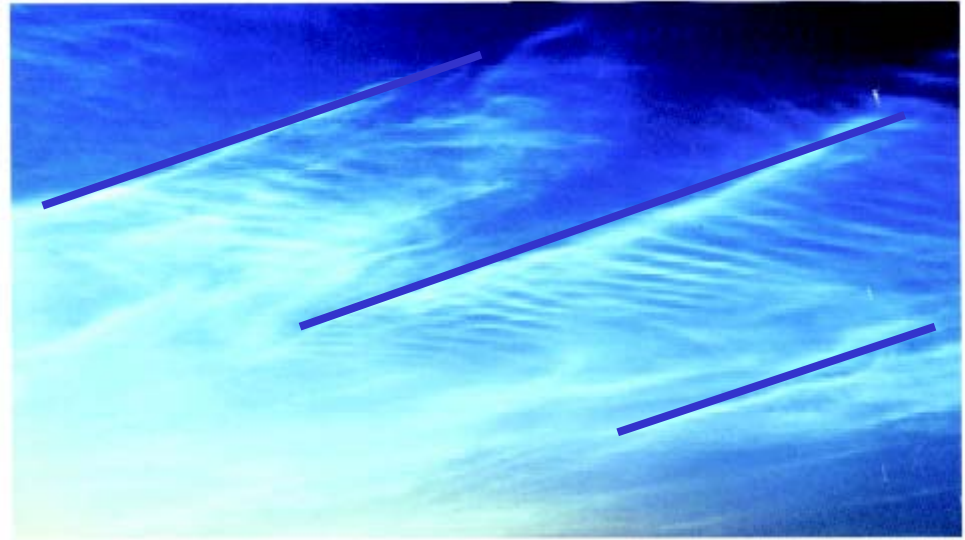
$$\left(\frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) \mathbf{v} + f \mathbf{k} \times \mathbf{v} + \nabla p - \mathbf{k} b = \nu \nabla^2 \mathbf{v}$$

$$\left(\frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla \right) b + N^2 w = \mu \nabla^2 b$$

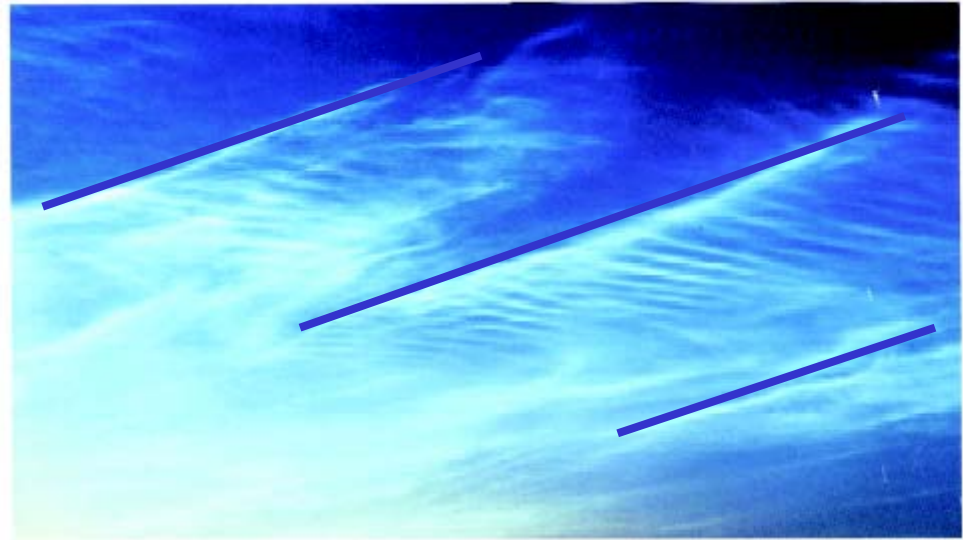
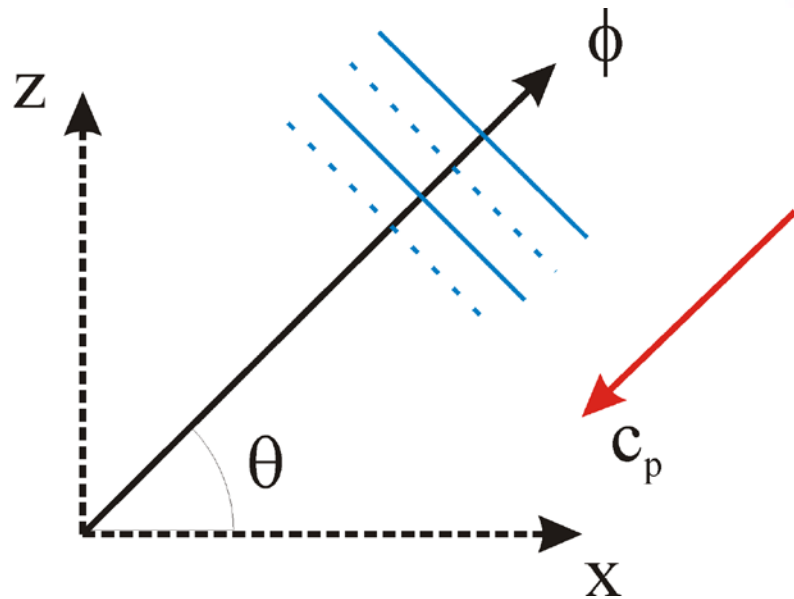
$$\nabla \cdot \mathbf{v} = 0$$

$$b = g \frac{\theta - \bar{\theta}(z)}{\theta_0}, \quad N^2 = \frac{g}{\theta_0} \frac{d\bar{\theta}}{dz}$$

Basic GW types



Basic GW types



- Dispersion relation:

$$\omega = \pm \left(f^2 \sin^2 \Theta + N^2 \cos^2 \Theta \right)^{1/2}$$

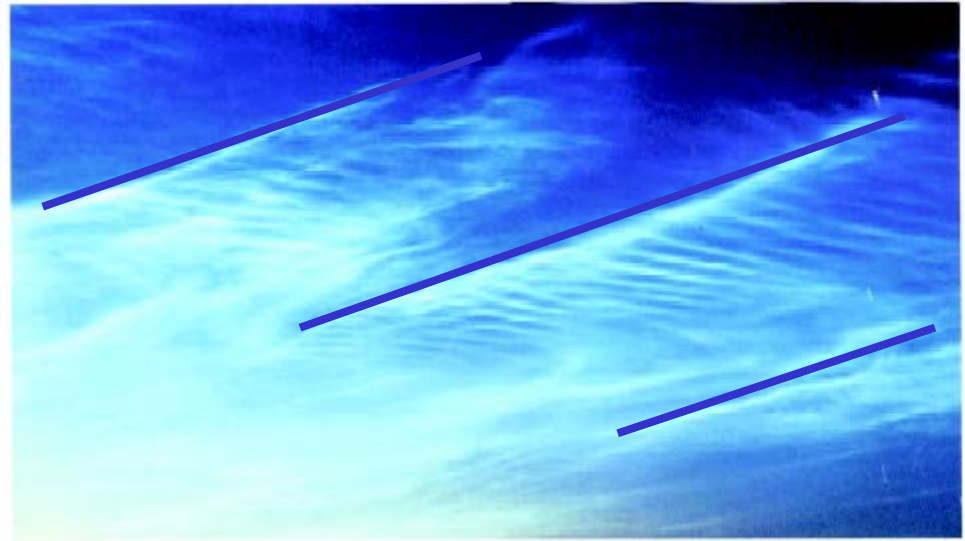
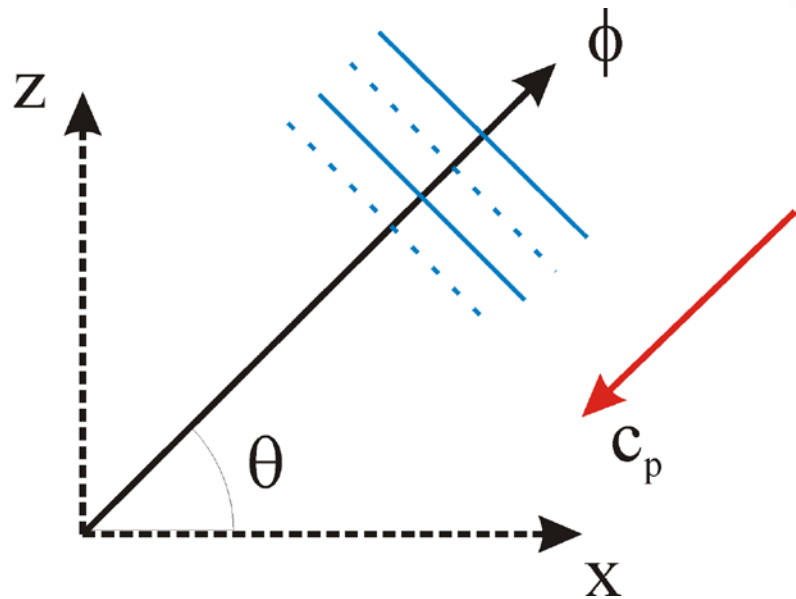
$$f = 2 \frac{2\pi}{24h} \sin \phi$$

Coriolis parameter

$$N^2 = -\frac{g}{\rho_0} \frac{d\bar{\rho}}{dz}$$

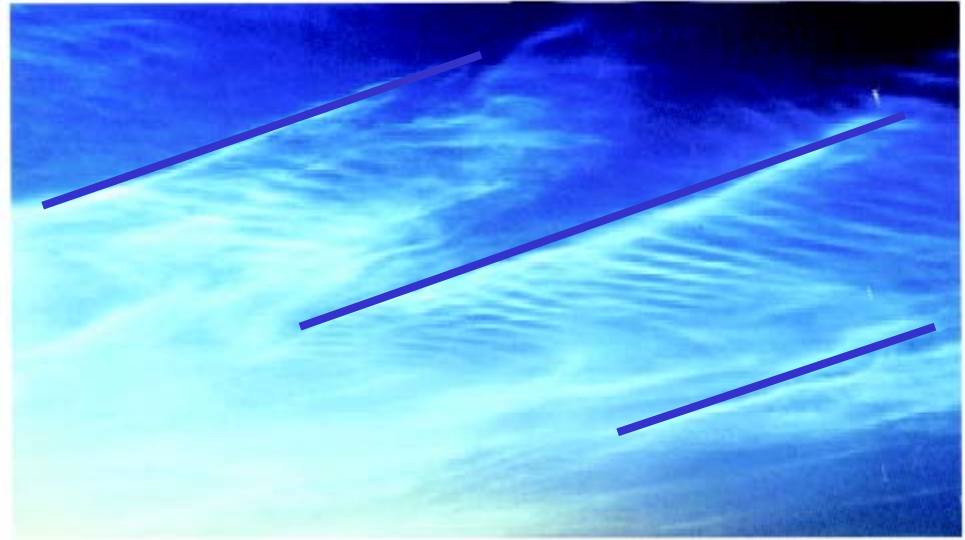
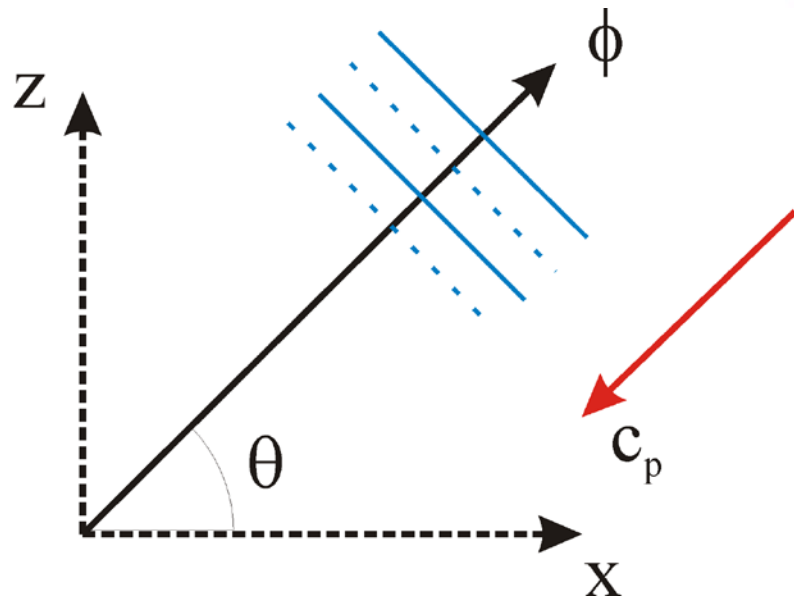
Stability

Basic GW types



- Dispersion relation: $\omega = \pm \left(f^2 \sin^2 \Theta + N^2 \cos^2 \Theta \right)^{1/2}$
 - **Inertia-gravity waves (IGW):** $\Theta \approx 90^\circ \Rightarrow \omega \approx \pm f \left(1 + \frac{N^2}{2f^2} \cot^2 \Theta \right)$
- $f = 2 \frac{2\pi}{24h} \sin \phi$
 Coriolis parameter
 $N^2 = -\frac{g}{\rho_0} \frac{d\bar{\rho}}{dz}$
 Stability

Basic GW types



- Dispersion relation: $\omega = \pm \left(f^2 \sin^2 \Theta + N^2 \cos^2 \Theta \right)^{1/2}$ $f = 2 \frac{2\pi}{24h} \sin \phi$
- Inertia-gravity waves (IGW): $\Theta \approx 90^\circ \Rightarrow \omega \approx \pm f \left(1 + \frac{N^2}{2f^2} \cot^2 \Theta \right)$ $\text{Coriolis parameter}$
- **High-frequent GWs (HGW):** $\Theta < 90^\circ \Rightarrow \omega \approx \pm N \cos \Theta$ $N^2 = -\frac{g}{\rho_0} \frac{d\bar{\rho}}{dz}$
Stability

Traditional Instability Concepts

- *static (convective) instability:*

$$\frac{\partial B_{tot}}{\partial z} = N^2 + \frac{\partial b}{\partial z} < 0$$

amplitude reference ($a > 1$)

Traditional Instability Concepts

- *static (convective) instability:*

$$\frac{\partial B_{tot}}{\partial z} = N^2 + \frac{\partial b}{\partial z} < 0$$

amplitude reference ($a > 1$)

- *dynamic instability*

$$\text{Ri} = \frac{N^2 + \frac{\partial b}{\partial z}}{\left(\frac{\partial u}{\partial z}\right)^2 + \left(\frac{\partial v}{\partial z}\right)^2} < \frac{1}{4}$$

Traditional Instability Concepts

- *static (convective) instability:*

$$\frac{\partial B_{tot}}{\partial z} = N^2 + \frac{\partial b}{\partial z} < 0$$

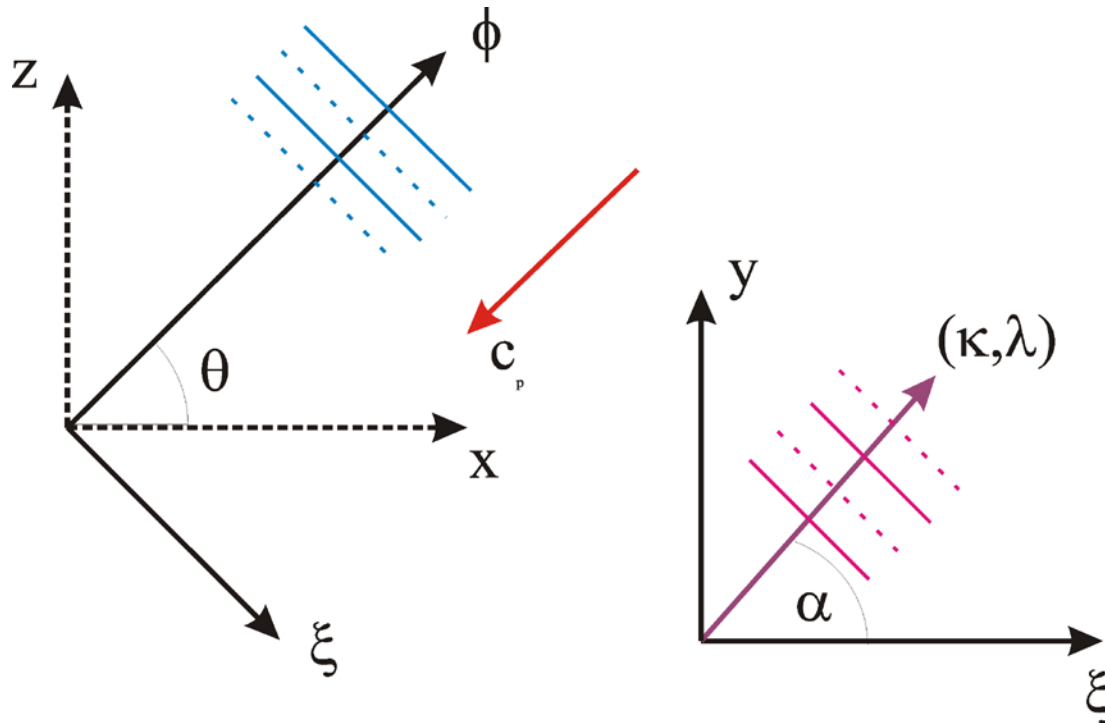
amplitude reference ($a > 1$)

- *dynamic instability*

$$\text{Ri} = \frac{N^2 + \frac{\partial b}{\partial z}}{\left(\frac{\partial u}{\partial z}\right)^2 + \left(\frac{\partial v}{\partial z}\right)^2} < \frac{1}{4}$$

- ***BUT: limited applicability to GW breaking***

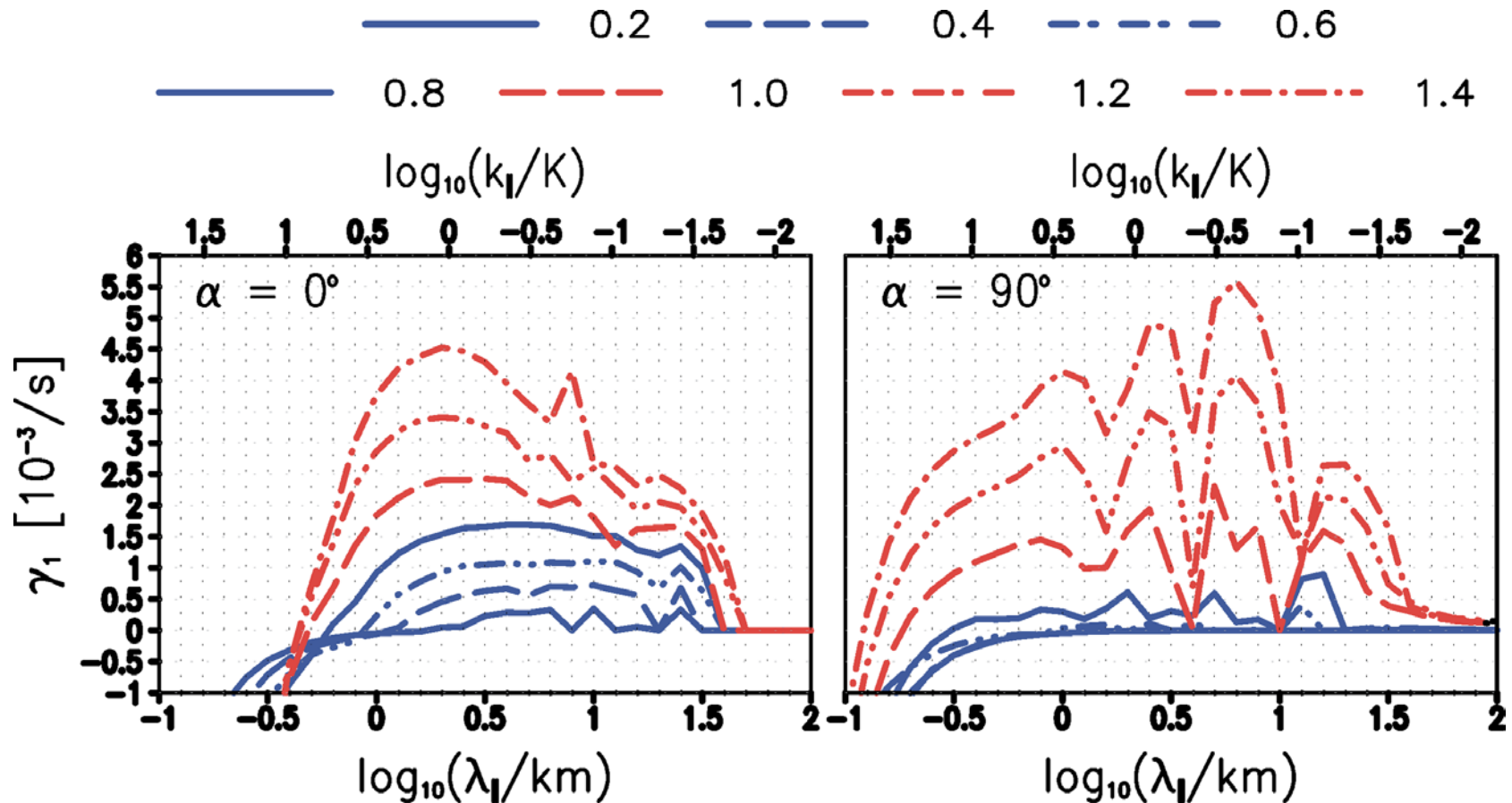
NM analysis, 2.5D-DNS



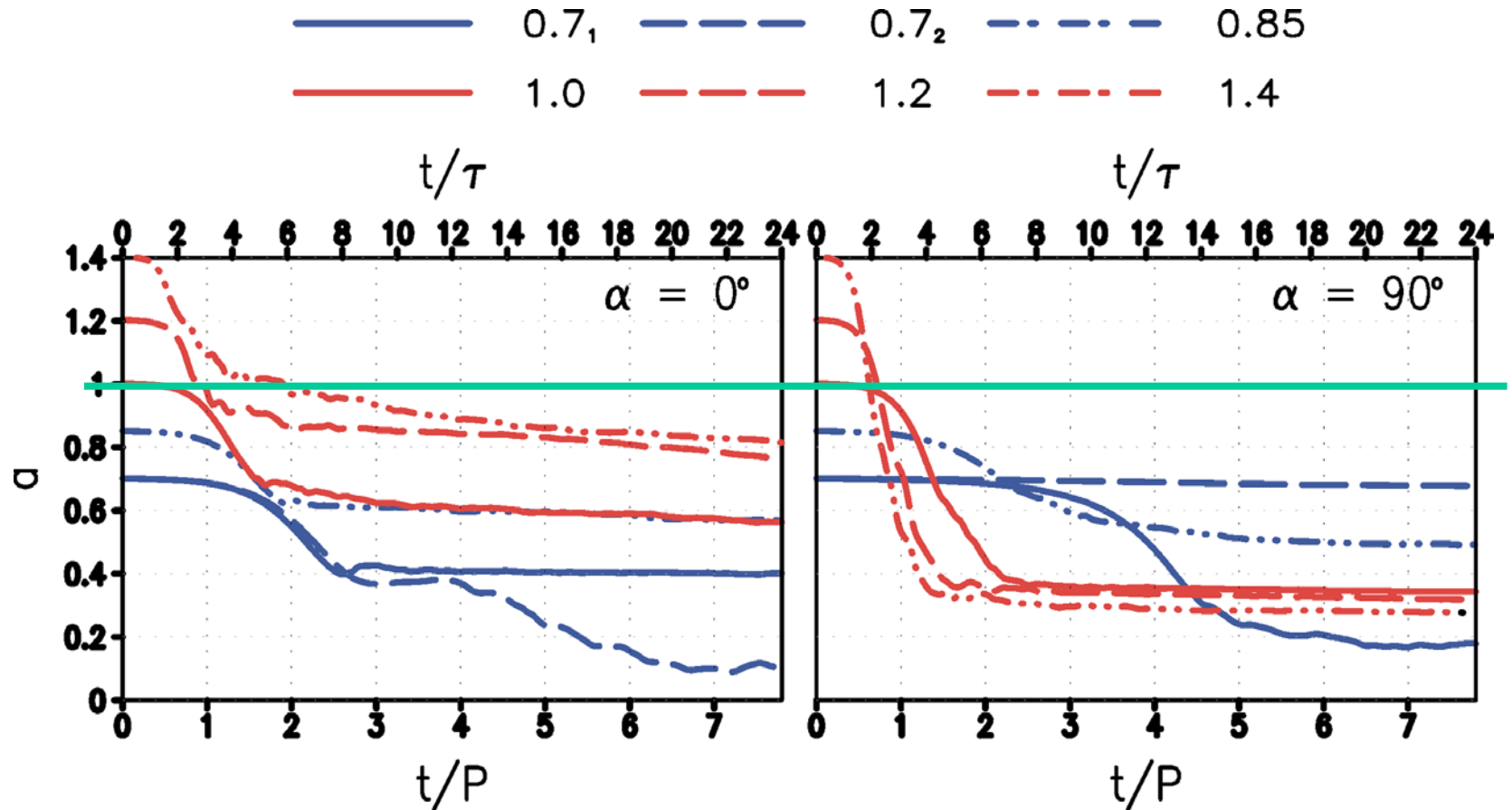
$$\begin{pmatrix} \mathbf{v} \\ b \end{pmatrix}(\xi, y, \phi, t=0) = \begin{pmatrix} \mathbf{v} \\ b \end{pmatrix}_{\text{SW}}(\phi) + \begin{pmatrix} \mathbf{v} \\ b \end{pmatrix}_{\text{NM,SV}}(\phi) \exp \left[\underbrace{i(\kappa \xi + \lambda y)}_{kx_{\parallel}} \right]$$

NMs: Growth Rates

($\Theta = 70^\circ$)



GW amplitude after a perturbation by a NM (DNS):



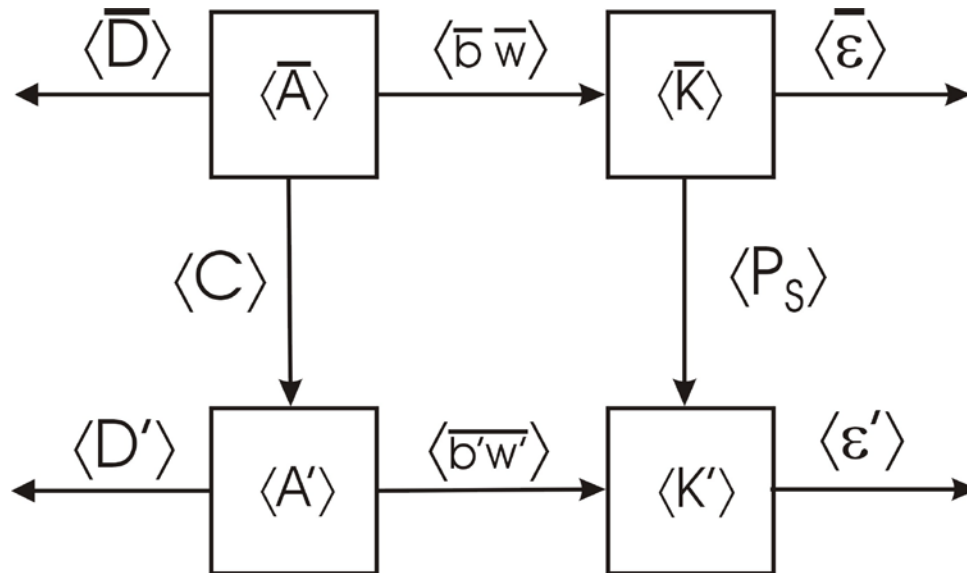
Energetics

- average over ξ and $y \rightarrow (\bar{\mathbf{v}}, \bar{b})$
 - deviation $\rightarrow (\mathbf{v}', b')$
-



Energetics

- average over ξ and $y \rightarrow (\bar{\mathbf{v}}, \bar{b})$
- deviation $\rightarrow (\mathbf{v}', b')$



$$K = \frac{1}{2} |\mathbf{v}|^2$$

$$A = \frac{b^2}{2N^2}$$

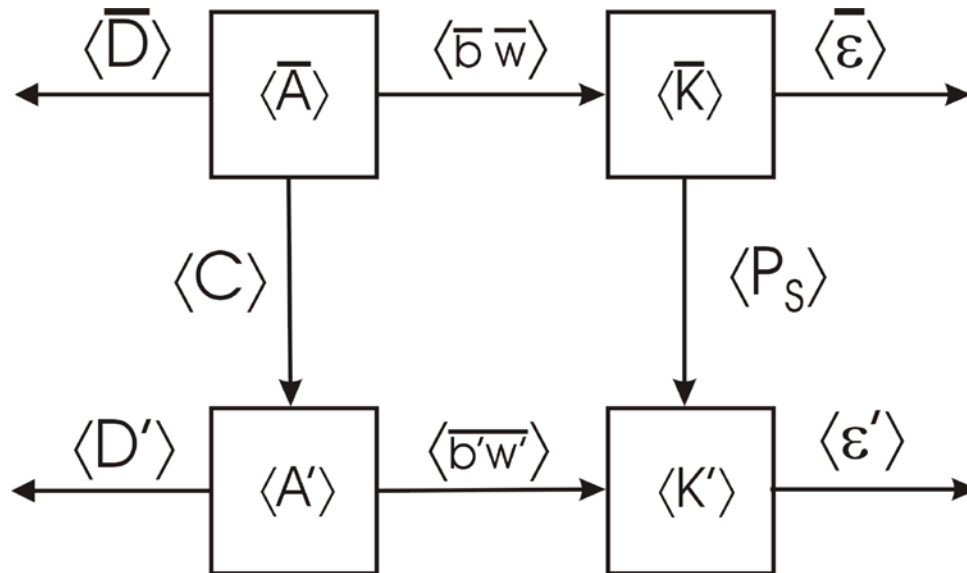
$$P_s = -\overline{\mathbf{v}' u'_\phi} \cdot k \frac{d\bar{\mathbf{v}}}{d\phi}$$

$$C = -\overline{b' u'_\phi} k \frac{d\bar{b}}{d\phi}$$

not the gradients in z matter,
but those in ϕ !

Energetics

- average over ξ and $y \rightarrow (\bar{\mathbf{v}}, \bar{b})$
- deviation $\rightarrow (\mathbf{v}', b')$



$$K = \frac{1}{2} |\mathbf{v}|^2$$

$$A = \frac{b^2}{2N^2}$$

$$P_S = -\bar{\mathbf{v}}' u'_{\phi} \cdot k \frac{d\bar{\mathbf{v}}}{d\phi}$$

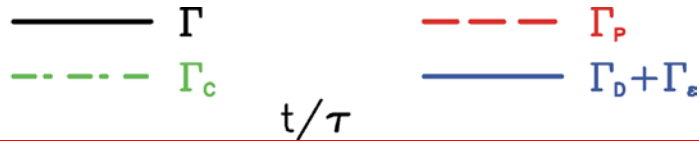
$$C = -\bar{b}' u'_{\phi} k \frac{d\bar{b}}{d\phi}$$

not the gradients in z matter,
but those in ϕ !

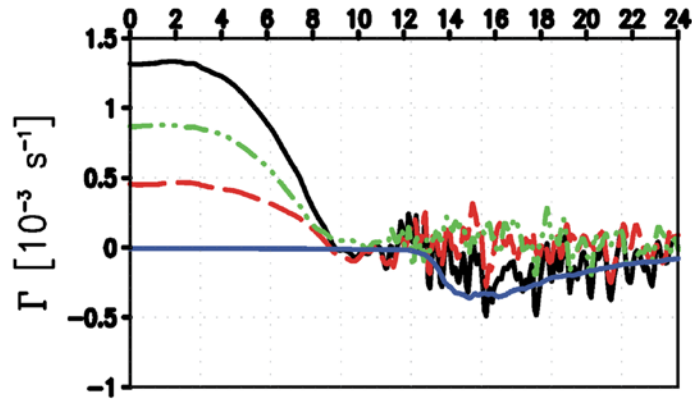
instantaneous growth rate:

$$E' = K' + A' \Rightarrow \Gamma = \frac{d\langle E' \rangle / dt}{2\langle E' \rangle} = \Gamma_P + \Gamma_C + \Gamma_D + \Gamma_{\epsilon}$$

Energetics of a Breaking HGW with $a_0 < 1$

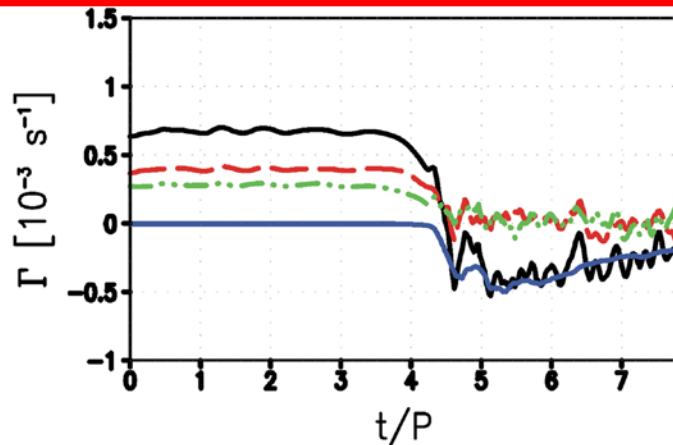


$\alpha = 0^\circ$



Strong growth perturbation energy
buoyancy instability

$\alpha = 90^\circ$

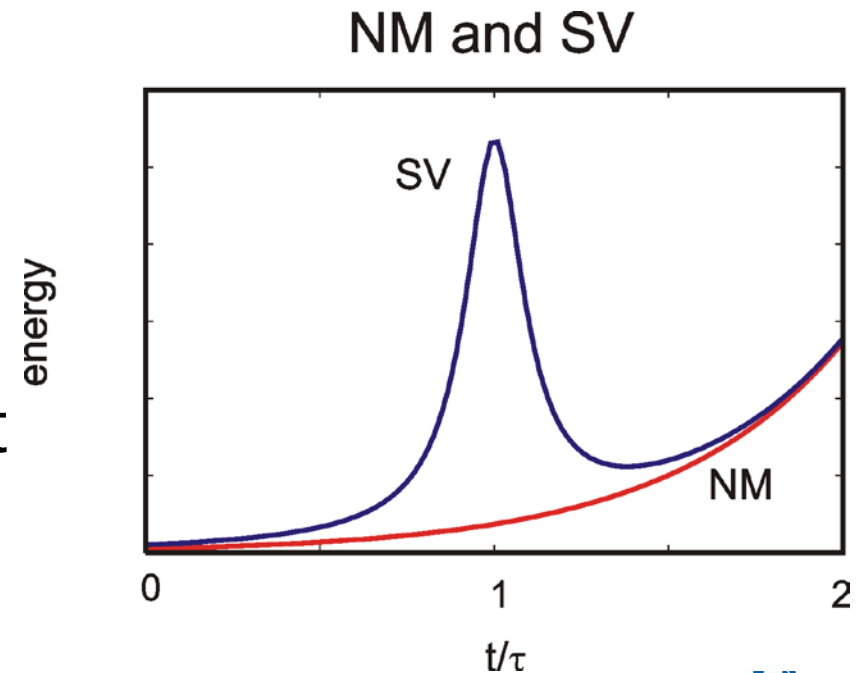


$$\Gamma = \frac{d\langle E' \rangle / dt}{2\langle E' \rangle} = \Gamma_P + \Gamma_C + \Gamma_D + \Gamma_\varepsilon$$

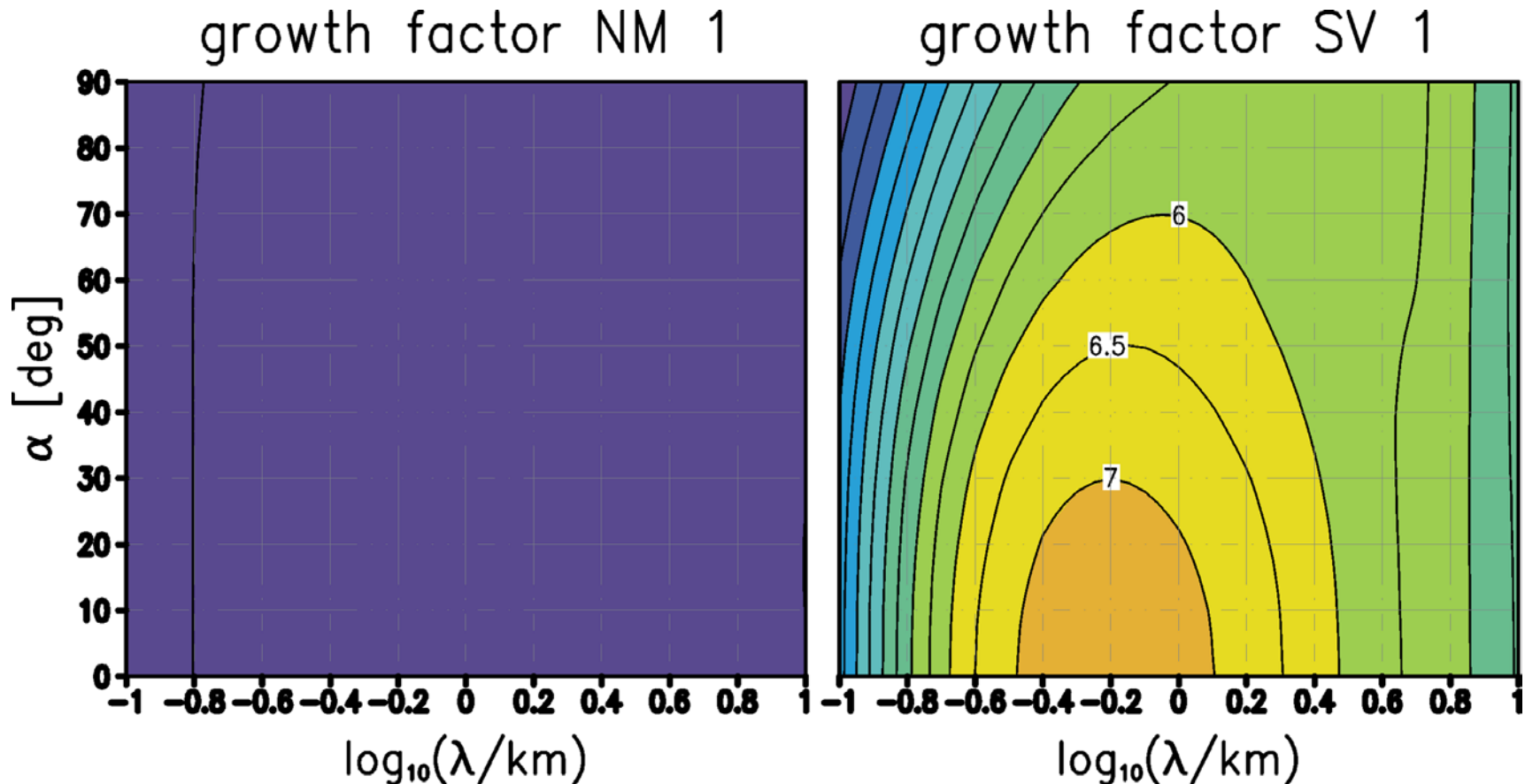
Singular Vectors:

characteristic perturbations in a linear initial value problem:

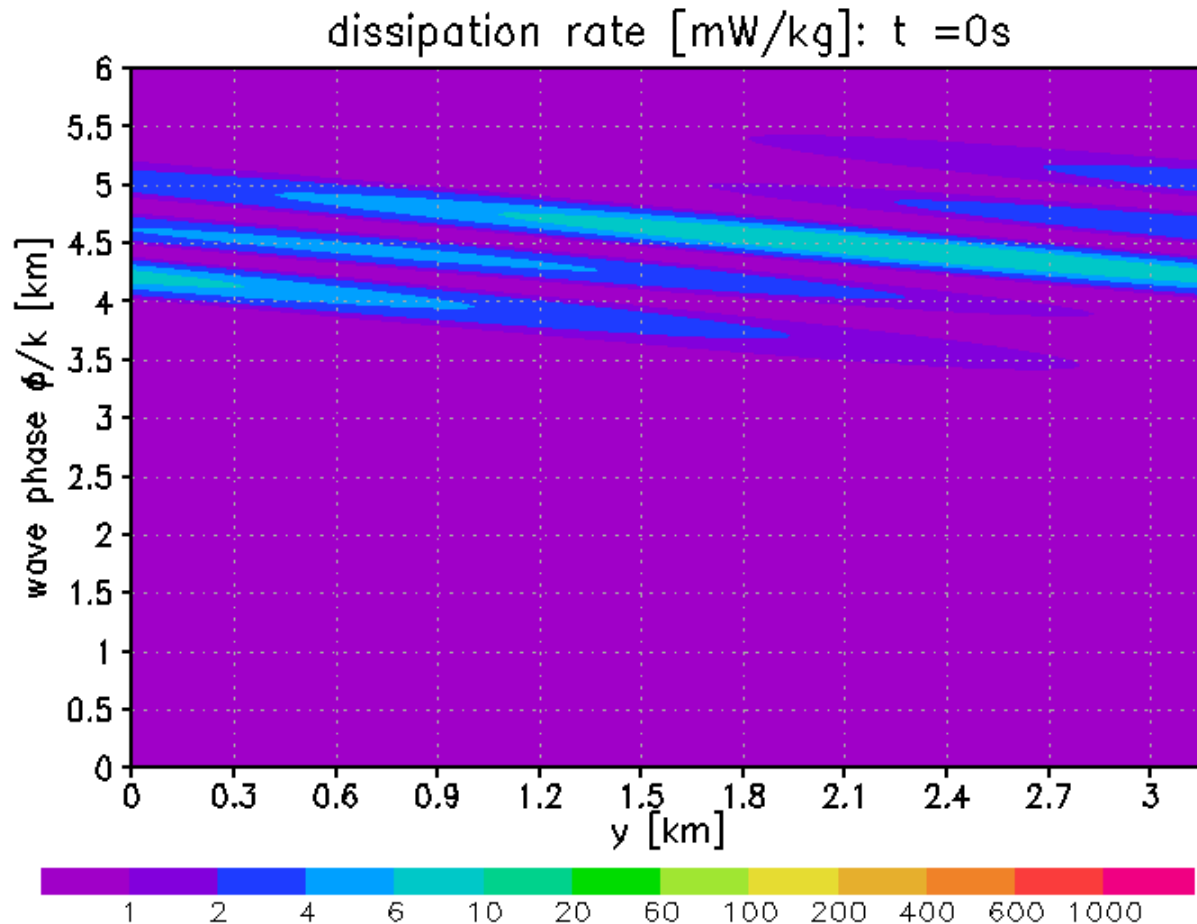
- **normal modes:**
Exponential energy growth
- **singular vectors:**
optimal growth over a finite time τ
(Farrell 1988, Trefethen et al. 1993)



NMs and SVs of an IGW ($Ri > \frac{1}{4}$): growth within 5min



Dissipation rate breaking IGW ($a < 1$, $Ri > 1/4$):



Measured dissipation rates 1...1000 mW/kg (Lübken 1997, Müllemann et al. 2003)

In comparison to assumptions in GW parameterizations:

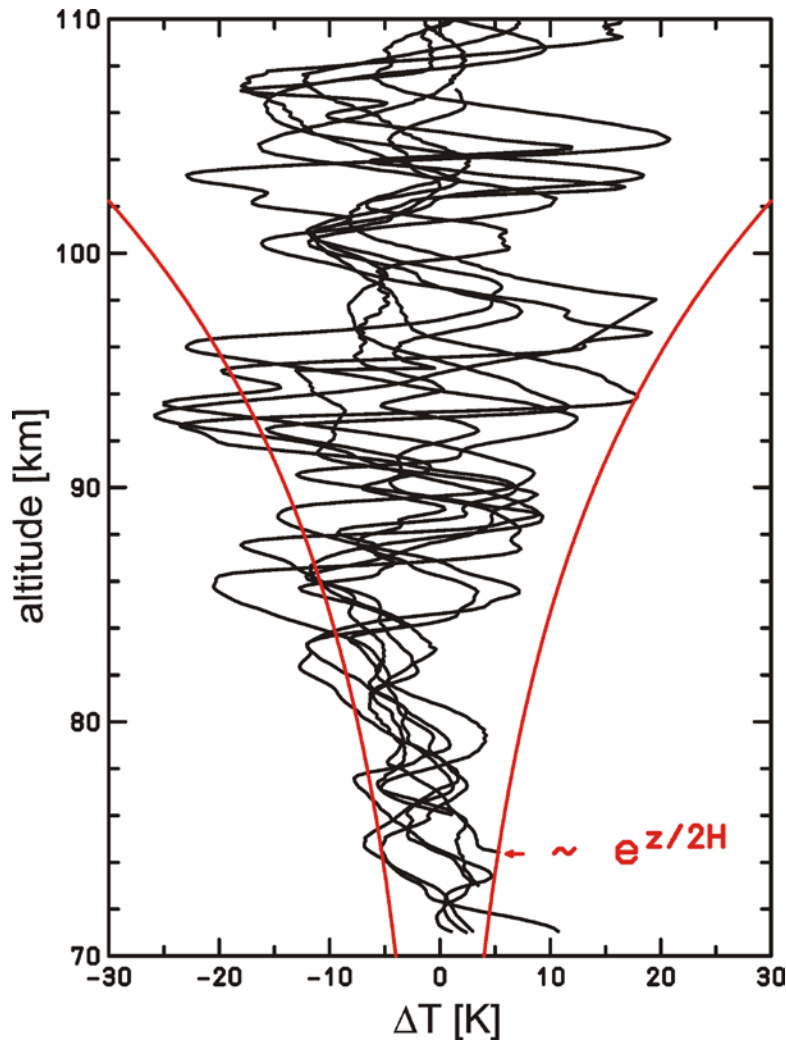
- GW breaking sets in at *lower amplitudes*, i.e.
it sets in *earlier (at lower altitudes)*

In comparison to assumptions in GW parameterizations:

- GW breaking sets in at **lower amplitudes**, i.e.
it sets in **earlier (at lower altitudes)**
- GW **dissipation stronger** than assumed, i.e.
more momentum deposition

Soundproof Modelling and Multi-Scale Asymptotics

GW breaking in the middle atmosphere



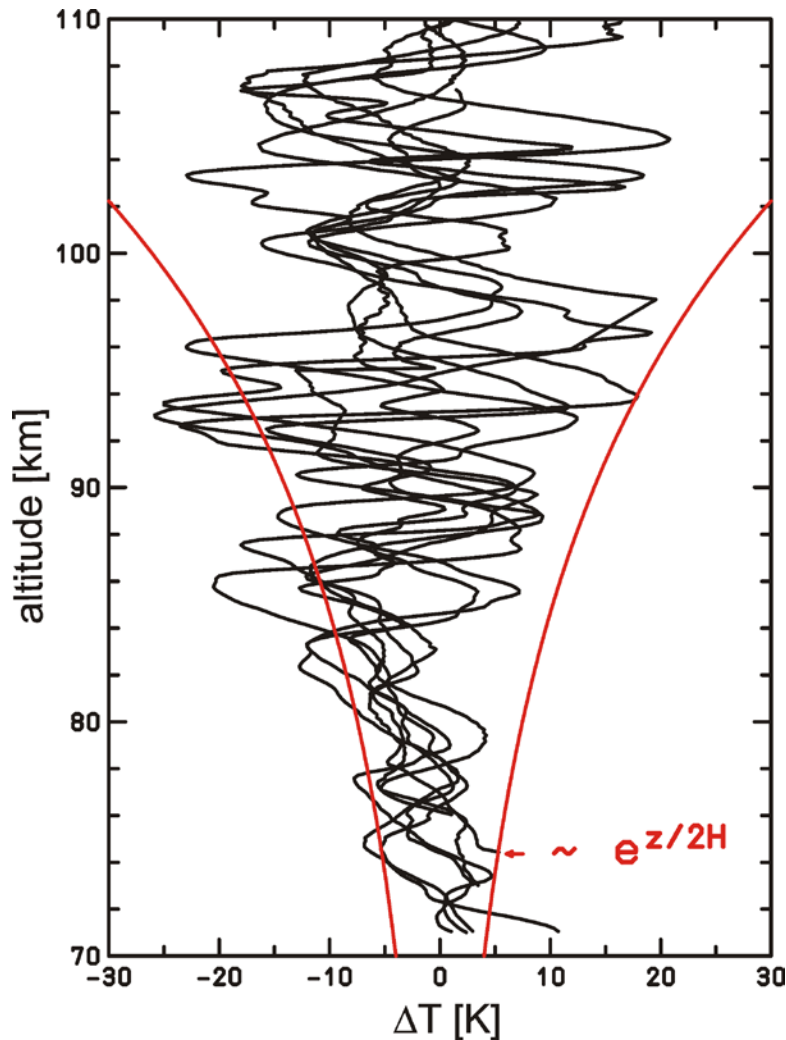
Rapp et al. (priv. comm.)

- Conservation

$$\frac{\rho_0}{2} (|\mathbf{v}|^2 + \dots) \Rightarrow \boxed{\mathbf{v} \propto \frac{1}{\sqrt{\rho_0}}}$$

- **Instability** at large altitudes
- Momentum deposition...

GW breaking in the middle atmosphere



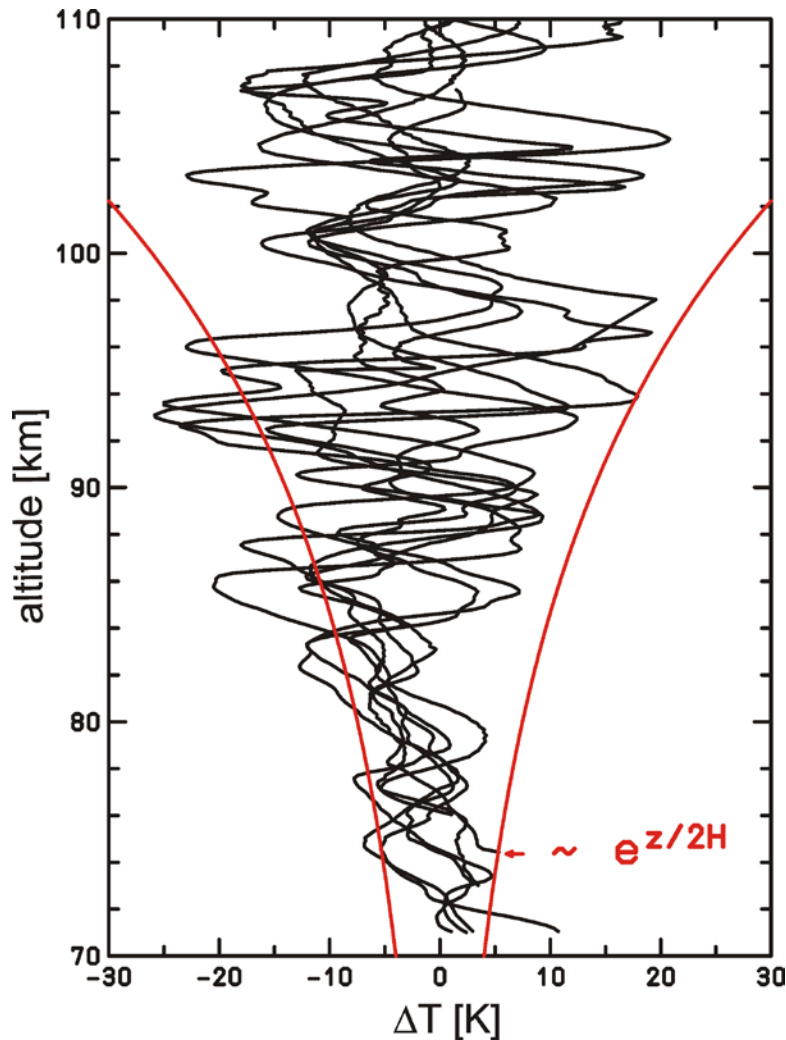
Rapp et al. (priv. comm.)

- Conservation

$$\frac{\rho_0}{2} (|\mathbf{v}|^2 + \dots) \Rightarrow \boxed{\mathbf{v} \propto \frac{1}{\sqrt{\rho_0}}}$$

- **Instability** at large altitudes
- Momentum deposition...
- **Competition between wave growth and dissipation:**
 - not in Boussinesq theory

GW breaking in the middle atmosphere



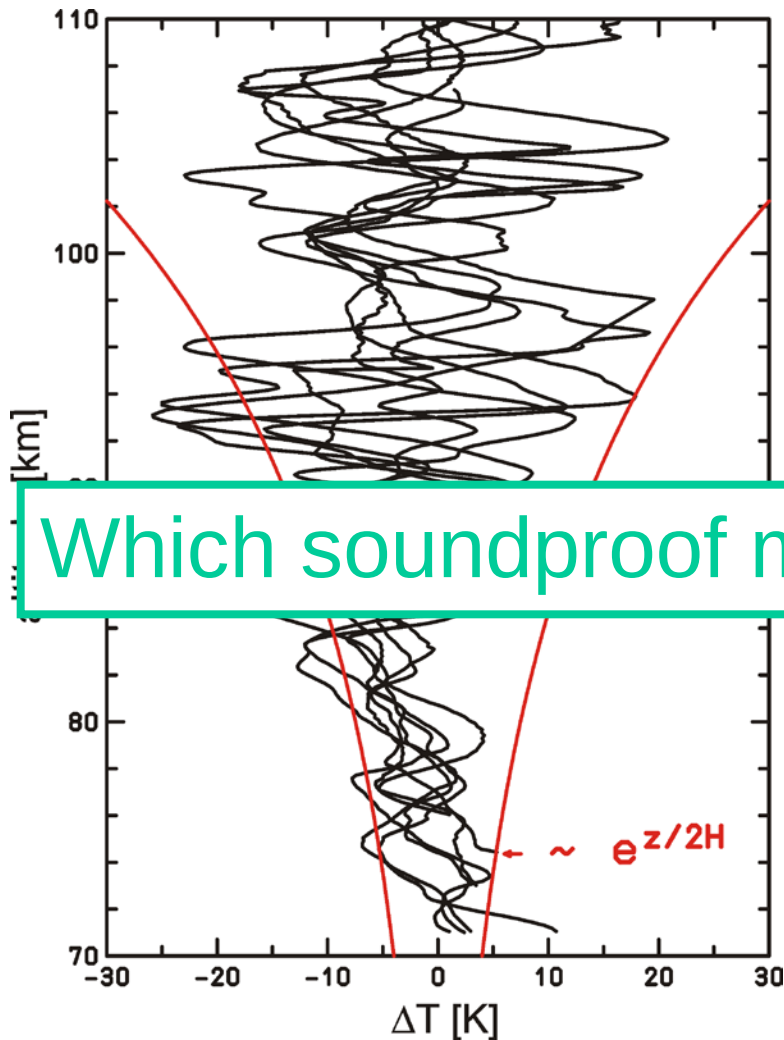
Rapp et al. (priv. comm.)

- Conservation

$$\frac{\rho_0}{2} (|\mathbf{v}|^2 + \dots) \Rightarrow \boxed{\mathbf{v} \propto \frac{1}{\sqrt{\rho_0}}}$$

- **Instability** at large altitudes
- Momentum deposition...
- **Competition between wave growth and dissipation:**
 - not in Boussinesq theory
 - Soundproof candidates:
 - Anelastic
(Ogura and Philips 1962, Lipps and Hemler 1982)
 - Pseudo-incompressible
(Durrán 1989)

GW breaking in the middle atmosphere



Rapp et al. (priv. comm.)

- Conservation

$$\frac{\rho_0}{2} (|\mathbf{v}|^2 + \dots) \Rightarrow \boxed{\mathbf{v} \propto \frac{1}{\sqrt{\rho_0}}}$$

- **Instability** at large altitudes
- Momentum deposition

Which soundproof model should be used?

growth and dissipation:

- not in Boussinesq theory
- Soundproof candidates:
- Anelastic
(Ogura and Philips 1962,
Lipps and Hemler 1982)
- Pseudo-incompressible
(Durrán 1989)

Scales: time and space

- for simplicity from now on: 2D

Scales: time and space

- for simplicity from now on: 2D
- non-hydrostatic GWs: same spatial scale in horizontal and vertical

$$x = L\hat{x}$$

$$z = L\hat{z}$$

$$L = 1/K = \text{characteristic length scale}$$

Scales: time and space

- for simplicity from now on: 2D
- non-hydrostatic GWs: same spatial scale in horizontal and vertical

$$x = L\hat{x}$$

$$z = L\hat{z}$$

$$L = 1/K = \text{characteristic length scale}$$

- time scale set by GW frequency

$$t = T\hat{t}$$

$$T = 1/\Omega = \text{characteristic time scale}$$

Scales: time and space

- for simplicity from now on: 2D
- non-hydrostatic GWs: same spatial scale in horizontal and vertical

$$x = L\hat{x}$$

$$z = L\hat{z}$$

$$L = 1/K = \text{characteristic length scale}$$

- time scale set by GW frequency

$$t = T\hat{t}$$

$$T = 1/\Omega = \text{characteristic time scale}$$

dispersion relation for $K \gg 1/2H$

$$\omega^2 = \frac{N^2 k^2}{k^2 + m^2 + \frac{1}{4H^2}} \approx \frac{N^2 k^2}{k^2 + m^2} \Rightarrow$$

$$\Omega = N = \frac{g}{\sqrt{c_p T_{00}}}$$

Scales: velocities

- winds determined by polarization relations:

$$\tilde{u} = -i \frac{m}{k} \frac{\omega}{N^2} \tilde{b}$$

$$\tilde{w} = i \frac{\omega}{N^2} \tilde{b}$$

what is $\tilde{b}$?

Scales: velocities

- winds determined by polarization relations:

$$\tilde{u} = -i \frac{m}{k} \frac{\omega}{N^2} \tilde{b}$$

$$\tilde{w} = i \frac{\omega}{N^2} \tilde{b}$$

what is $\tilde{b}$?

- most interesting dynamics when GWs are close to breaking, i.e. locally

$$\frac{\partial \theta'}{\partial z} + \frac{d\bar{\theta}}{dz} = 0 \quad \Rightarrow \quad \frac{\partial b'}{\partial z} + N^2 w = 0$$

$\Rightarrow$

$$|\tilde{b}| = \frac{N^2}{|m|}$$

$\Rightarrow$

$$\mathbf{v} = U \hat{\mathbf{v}}$$
$$U = \frac{\Omega}{K} = \frac{L}{T}$$

Non-dimensional Euler equations

• using: $\mathbf{x} = L\hat{\mathbf{x}}$

$$t = T\hat{t}$$

$$\mathbf{v} = U\hat{\mathbf{v}}$$

$$\pi = \hat{\pi}$$

$$\theta = T_0\hat{\theta}$$

Non-dimensional Euler equations

• using: $\mathbf{x} = L\hat{\mathbf{x}}$

$$t = T\hat{t}$$

$$\mathbf{v} = U\hat{\mathbf{v}}$$

$$\pi = \hat{\pi}$$

$$\theta = T_0\hat{\theta}$$

yields

$$\varepsilon^2 \frac{D\hat{\mathbf{v}}}{D\hat{t}} = -\hat{\theta}\hat{\nabla}\hat{\pi} - \varepsilon\mathbf{e}_z$$

$$\frac{D\hat{\pi}}{D\hat{t}} + \frac{\kappa}{1-\kappa}\hat{\pi}\hat{\nabla}\cdot\hat{\mathbf{v}} = 0$$

$$\frac{D\hat{\theta}}{D\hat{t}} = 0$$

$$\varepsilon = \frac{L}{H_\theta} \ll 1$$

$$H_\theta = \frac{c_p}{R} H = \frac{c_p T_{00}}{g}$$

isothermal potential-
temperature scale height

Scales: thermodynamic wave fields

$$|\tilde{b}| = \frac{N^2}{|m|}$$

$\Rightarrow$

- potential temperature:

$$\frac{\theta'}{T_0} = \frac{\bar{\theta}}{T_0} \frac{\tilde{b}}{g} = O(\varepsilon)$$

Scales: thermodynamic wave fields

$$\left| \tilde{b} \right| = \frac{N^2}{\left| m \right|}$$

$\Rightarrow$

- potential temperature:

$$\frac{\theta'}{T_0} = \frac{\bar{\theta}}{T_0} \frac{\tilde{b}}{g} = O(\varepsilon)$$

- Exner pressure:

$$\tilde{\pi} = -i \frac{\omega^2}{N^2} \frac{m}{c_p \bar{T} k^2} \tilde{b} \Rightarrow \pi' = O(\varepsilon^2)$$

Multi-Scale Asymptotics

Additional vertical scale needed:

- $\bar{\pi}$ and $\bar{\theta}$ have vertical scale $H_\theta = \frac{L}{\varepsilon}$

Multi-Scale Asymptotics

Additional vertical scale needed:

- $\bar{\pi}$ and $\bar{\theta}$ have vertical scale $H_\theta = \frac{L}{\varepsilon}$
- scale of wave growth is $\frac{H}{2} = \frac{\kappa}{2} H_\theta = \frac{\kappa}{2} \frac{L}{\varepsilon}$

Multi-Scale Asymptotics

Additional vertical scale needed:

- $\bar{\pi}$ and $\bar{\theta}$ have vertical scale $H_{\theta} = \frac{L}{\varepsilon}$
- scale of wave growth is $\frac{H}{2} = \frac{\kappa}{2} H_{\theta} = \frac{\kappa}{2} \frac{L}{\varepsilon}$

Therefore: Multi-scale-asymptotic ansatz

$$\begin{pmatrix} \hat{\mathbf{v}} \\ \hat{\theta} \\ \hat{\pi} \end{pmatrix} = \sum_{i=0}^{\infty} \varepsilon^i \begin{pmatrix} \mathbf{v}^{(i)} \\ \theta^{(i)} \\ \pi^{(i)} \end{pmatrix} (\hat{\mathbf{x}}, \hat{t}, \zeta) \quad \zeta = \varepsilon \hat{z}$$

Multi-Scale Asymptotics

Additional vertical scale needed:

- $\bar{\pi}$ and $\bar{\theta}$ have vertical scale $H_{\theta} = \frac{L}{\varepsilon}$
- scale of wave growth is $\frac{H}{2} = \frac{\kappa}{2} H_{\theta} = \frac{\kappa}{2} \frac{L}{\varepsilon}$

Therefore: Multi-scale-asymptotic ansatz

$$\begin{pmatrix} \hat{\mathbf{v}} \\ \hat{\theta} \\ \hat{\pi} \end{pmatrix} = \sum_{i=0}^{\infty} \varepsilon^i \begin{pmatrix} \mathbf{v}^{(i)} \\ \theta^{(i)} \\ \pi^{(i)} \end{pmatrix} (\hat{\mathbf{x}}, \hat{t}, \zeta) \quad \zeta = \varepsilon \hat{z}$$

also assumed:

$$\begin{aligned} \hat{\nabla} \theta^{(0)} &= 0 \\ \pi^{(1)} &= 0 \end{aligned}$$

Scale asymptotics Euler: results

$$\begin{aligned}\hat{\nabla} \pi^{(0)} &= 0 \\ \frac{\partial \theta^{(0)}}{\partial \hat{t}} &= \frac{\partial \pi^{(0)}}{\partial \hat{t}} = 0 \\ \frac{\partial \pi^{(0)}}{\partial \zeta} &= -\frac{1}{\theta^{(0)}}\end{aligned}$$

Hydrostatic, large-scale, background

Scale asymptotics Euler: results

$$\begin{aligned}\hat{\nabla} \pi^{(0)} &= 0 \\ \frac{\partial \theta^{(0)}}{\partial \hat{t}} &= \frac{\partial \pi^{(0)}}{\partial \hat{t}} = 0 \\ \frac{\partial \pi^{(0)}}{\partial \zeta} &= -\frac{1}{\theta^{(0)}}\end{aligned}$$

Hydrostatic, large-scale, background

$$\begin{aligned}\frac{D_0 u^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{x}} &= 0 \\ \frac{D_0 w^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{z}} &= \frac{\theta^{(1)}}{\theta^{(0)}} \\ \frac{D_0 \theta^{(1)}}{D\hat{t}} + w^{(0)} \frac{\partial \theta^{(0)}}{\partial \zeta} &= 0\end{aligned}$$

Momentum equations and entropy equations
as in Boussinesq or anelastic theory

Scale asymptotics Euler: results

$$\begin{aligned}\hat{\nabla} \pi^{(0)} &= 0 \\ \frac{\partial \theta^{(0)}}{\partial \hat{t}} &= \frac{\partial \pi^{(0)}}{\partial \hat{t}} = 0 \\ \frac{\partial \pi^{(0)}}{\partial \zeta} &= -\frac{1}{\theta^{(0)}}\end{aligned}$$

Hydrostatic, large-scale, background

$$\begin{aligned}\frac{D_0 u^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{x}} &= 0 \\ \frac{D_0 w^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{z}} &= \frac{\theta^{(1)}}{\theta^{(0)}} \\ \frac{D_0 \theta^{(1)}}{D\hat{t}} + w^{(0)} \frac{\partial \theta^{(0)}}{\partial \zeta} &= 0\end{aligned}$$

Momentum equations and entropy equations
as in Boussinesq or anelastic theory

$$\begin{aligned}\hat{\nabla} \cdot \mathbf{v}^{(0)} &= 0 \\ w^{(0)} \frac{\partial \pi^{(0)}}{\partial \zeta} + \frac{\kappa}{1-\kappa} \pi^{(0)} \left(\hat{\nabla} \cdot \mathbf{v}^{(1)} + \frac{\partial w^{(0)}}{\partial \zeta} \right) &= 0\end{aligned}$$

Exner-pressure equation:

- leading order incompressibility (Boussinesq)
- next order yields density effect on amplitude

Scale asymptotics: pseudo-incompressible equations

scale-asymptotic
analysis of

$$\frac{D\mathbf{v}}{Dt} = -c_p \theta \nabla \pi - \mathbf{e}_z g$$

$$\frac{D\theta}{Dt} = 0$$

$$\nabla \cdot (\overline{\rho \theta \mathbf{v}}) = 0$$

Scale asymptotics: pseudo-incompressible equations

scale-asymptotic
analysis of

$$\begin{aligned}\frac{D\mathbf{v}}{Dt} &= -c_p \theta \nabla \pi - \mathbf{e}_z g \\ \frac{D\theta}{Dt} &= 0 \\ \nabla \cdot (\overline{\rho \theta \mathbf{v}}) &= 0\end{aligned}$$

results:

$$\begin{aligned}\frac{D_0 u^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{x}} &= 0 \\ \frac{D_0 w^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{z}} &= \frac{\theta^{(1)}}{\theta^{(0)}} \\ \frac{D_0 \theta^{(1)}}{D\hat{t}} + w^{(0)} \frac{\partial \theta^{(0)}}{\partial \zeta} &= \\ \hat{\nabla} \cdot \mathbf{v}^{(0)} &= 0 \\ w^{(0)} \frac{\partial \pi^{(0)}}{\partial \zeta} + \frac{\kappa}{1-\kappa} \pi^{(0)} \left(\hat{\nabla} \cdot \mathbf{v}^{(1)} + \frac{\partial w^{(0)}}{\partial \zeta} \right) &= 0\end{aligned}$$

Scale asymptotics: pseudo-incompressible equations

scale-asymptotic
analysis of

$$\begin{aligned}\frac{D\mathbf{v}}{Dt} &= -c_p \theta \nabla \pi - \mathbf{e}_z g \\ \frac{D\theta}{Dt} &= 0 \\ \nabla \cdot (\overline{\rho \theta \mathbf{v}}) &= 0\end{aligned}$$

results:

$$\begin{aligned}\frac{D_0 u^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{x}} &= 0 \\ \frac{D_0 w^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{z}} &= \frac{\theta^{(1)}}{\theta^{(0)}} \\ \frac{D_0 \theta^{(1)}}{D\hat{t}} + w^{(0)} \frac{\partial \theta^{(0)}}{\partial \zeta} &= \\ \hat{\nabla} \cdot \mathbf{v}^{(0)} &= 0 \\ w^{(0)} \frac{\partial \pi^{(0)}}{\partial \zeta} + \frac{\kappa}{1-\kappa} \pi^{(0)} \left(\hat{\nabla} \cdot \mathbf{v}^{(1)} + \frac{\partial w^{(0)}}{\partial \zeta} \right) &= 0\end{aligned}$$

- same scale asymptotics as Euler
- such a result **cannot** be obtained from the anelastic equations

Scale asymptotics: anelastic equations

scale-asymptotic
analysis of

$$\begin{aligned}\frac{D\mathbf{v}}{Dt} &= -\nabla\left(\frac{p'}{\rho}\right) + \mathbf{e}_z b \\ \frac{D\theta}{Dt} &= 0 \\ \nabla \cdot (\bar{\rho}\mathbf{v}) &= 0\end{aligned}$$

Scale asymptotics: anelastic equations

scale-asymptotic
analysis of

$$\begin{aligned}\frac{D\mathbf{v}}{Dt} &= -\nabla\left(\frac{p'}{\rho}\right) + \mathbf{e}_z b \\ \frac{D\theta}{Dt} &= 0 \\ \nabla \cdot (\bar{\rho}\mathbf{v}) &= 0\end{aligned}$$

results:

$$\begin{aligned}\frac{D_0 u^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{x}} &= 0 \\ \frac{D_0 w^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{z}} &= \frac{\theta^{(1)}}{\theta^{(0)}} \\ \frac{D_0 \theta^{(1)}}{D\hat{t}} + w^{(0)} \frac{\partial \theta^{(0)}}{\partial \zeta} &= 0 \\ \hat{\nabla} \cdot \mathbf{v}^{(0)} &= 0 \\ w^{(0)} \frac{\partial \pi^{(0)}}{\partial \zeta} + \frac{\kappa}{1-\kappa} \pi^{(0)} \left(\hat{\nabla} \cdot \mathbf{v}^{(1)} + \frac{\partial w^{(0)}}{\partial \zeta} \right) \\ + \frac{\kappa}{1-\kappa} \pi^{(0)} \frac{w^{(0)}}{\theta^{(0)}} \frac{\partial \theta^{(0)}}{\partial \zeta} &= 0\end{aligned}$$

Scale asymptotics: anelastic equations

scale-asymptotic
analysis of

$$\begin{aligned}\frac{D\mathbf{v}}{Dt} &= -\nabla\left(\frac{p'}{\rho}\right) + \mathbf{e}_z b \\ \frac{D\theta}{Dt} &= 0 \\ \nabla \cdot (\bar{\rho}\mathbf{v}) &= 0\end{aligned}$$

results:

$$\begin{aligned}\frac{D_0 u^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{x}} &= 0 \\ \frac{D_0 w^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{z}} &= \frac{\theta^{(1)}}{\theta^{(0)}} \\ \frac{D_0 \theta^{(1)}}{D\hat{t}} + w^{(0)} \frac{\partial \theta^{(0)}}{\partial \zeta} &= 0 \\ \hat{\nabla} \cdot \mathbf{v}^{(0)} &= 0 \\ w^{(0)} \frac{\partial \pi^{(0)}}{\partial \zeta} + \frac{\kappa}{1-\kappa} \pi^{(0)} \left(\hat{\nabla} \cdot \mathbf{v}^{(1)} + \frac{\partial w^{(0)}}{\partial \zeta} \right) \\ + \frac{\kappa}{1-\kappa} \pi^{(0)} \frac{w^{(0)}}{\theta^{(0)}} \frac{\partial \theta^{(0)}}{\partial \zeta} &= 0\end{aligned}$$

Scale asymptotics: anelastic equations

scale-asymptotic
analysis of

$$\begin{aligned} \frac{D\mathbf{v}}{Dt} &= -\nabla \left(\frac{p'}{\rho} \right) + \mathbf{e}_z b \\ \frac{D\theta}{Dt} &= 0 \\ \nabla \cdot (\bar{\rho} \mathbf{v}) &= 0 \end{aligned}$$

anelastic equations only
consistent if:

$$\left| \frac{\kappa}{1-\kappa} \frac{1}{\theta^{(0)}} \frac{\partial \theta^{(0)}}{\partial \zeta} \right| \ll \left| \frac{1}{\pi^{(0)}} \frac{\partial \pi^{(0)}}{\partial \zeta} \right|$$

results:

$$\begin{aligned} \frac{D_0 u^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{x}} &= 0 \\ \frac{D_0 w^{(0)}}{D\hat{t}} + \theta^{(0)} \frac{\partial \pi^{(2)}}{\partial \hat{z}} &= \frac{\theta^{(1)}}{\theta^{(0)}} \\ \frac{D_0 \theta^{(1)}}{D\hat{t}} + w^{(0)} \frac{\partial \theta^{(0)}}{\partial \zeta} &= 0 \\ \hat{\nabla} \cdot \mathbf{v}^{(0)} &= 0 \\ w^{(0)} \frac{\partial \pi^{(0)}}{\partial \zeta} + \frac{\kappa}{1-\kappa} \pi^{(0)} \left(\hat{\nabla} \cdot \mathbf{v}^{(1)} + \frac{\partial w^{(0)}}{\partial \zeta} \right) \\ &+ \frac{\kappa}{1-\kappa} \pi^{(0)} \frac{w^{(0)}}{\theta^{(0)}} \frac{\partial \theta^{(0)}}{\partial \zeta} = 0 \end{aligned}$$

i.e. potential-temperature scale >> Exner-pressure scale

Large-Amplitude WKB

Large-amplitude WKB

$$\hat{\mathbf{V}} = \tilde{\mathbf{V}}^{(0)}$$

$$\hat{\theta} = \hat{\theta}^{(0)} + \varepsilon \tilde{\theta}^{(1)}$$

$$\hat{\pi} = \hat{\pi}^{(0)} + \varepsilon^2 \tilde{\pi}^{(2)}$$

Large-amplitude WKB

$$\hat{\mathbf{v}} = \tilde{\mathbf{v}}^{(0)}$$

$$\hat{\theta} = \hat{\theta}^{(0)} + \varepsilon \tilde{\theta}^{(1)}$$

$$\hat{\pi} = \hat{\pi}^{(0)} + \varepsilon^2 \tilde{\pi}^{(2)}$$

$$\tilde{\mathbf{v}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

Large-amplitude WKB

$$\hat{\mathbf{V}} = \tilde{\mathbf{V}}^{(0)}$$

$$\hat{\theta} = \hat{\theta}^{(0)} + \varepsilon \tilde{\theta}^{(1)}$$

$$\hat{\pi} = \hat{\pi}^{(0)} + \varepsilon^2 \tilde{\pi}^{(2)}$$

$$\tilde{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\underbrace{\varepsilon \hat{t}}_{\tau}, \underbrace{\varepsilon \hat{x}}_{\chi}, \underbrace{\varepsilon \hat{z}}_{\zeta}) + \Re \left\{ \hat{\mathbf{V}}_1^{(0)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \varphi(\tau, \chi, \zeta) \right] \right\}$$

Large-amplitude WKB

$$\hat{\mathbf{v}} = \tilde{\mathbf{v}}^{(0)}$$

$$\hat{\theta} = \hat{\theta}^{(0)} + \varepsilon \tilde{\theta}^{(1)}$$

$$\hat{\pi} = \hat{\pi}^{(0)} + \varepsilon^2 \tilde{\pi}^{(2)}$$

$$\tilde{\mathbf{v}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\underbrace{\varepsilon \hat{t}}_{\tau}, \underbrace{\varepsilon \hat{x}}_{\chi}, \underbrace{\varepsilon \hat{z}}_{\zeta}) + \Re \left\{ \hat{\mathbf{V}}_1^{(0)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \varphi(\tau, \chi, \zeta) \right] \right\}$$

Mean flow with only large-scale dependence

Large-amplitude WKB

$$\hat{\mathbf{v}} = \tilde{\mathbf{v}}^{(0)}$$

$$\hat{\theta} = \hat{\theta}^{(0)} + \varepsilon \tilde{\theta}^{(1)}$$

$$\hat{\pi} = \hat{\pi}^{(0)} + \varepsilon^2 \tilde{\pi}^{(2)}$$

$$\tilde{\mathbf{v}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\underbrace{\hat{\tau}}_{\tau}, \underbrace{\hat{\chi}}_{\chi}, \underbrace{\hat{\zeta}}_{\zeta}) + \Re \left\{ \hat{\mathbf{V}}_1^{(0)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \varphi(\tau, \chi, \zeta) \right] \right\}$$

Wavepacket with

- large-scale amplitude
- wavenumber and frequency with large-scale dependence

$$\mathbf{k} = \nabla_{(\chi, \zeta)} \varphi$$

$$\omega = \frac{\partial \varphi}{\partial \tau}$$

Large-amplitude WKB

$$\hat{\mathbf{V}} = \tilde{\mathbf{V}}^{(0)}$$

$$\hat{\theta} = \hat{\theta}^{(0)} + \varepsilon \tilde{\theta}^{(1)}$$

$$\hat{\pi} = \hat{\pi}^{(0)} + \varepsilon^2 \tilde{\pi}^{(2)}$$

$$\tilde{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\underbrace{\varepsilon \hat{t}}_{\tau}, \underbrace{\varepsilon \hat{x}}_{\chi}, \underbrace{\varepsilon \hat{z}}_{\zeta}) + \Re \left\{ \hat{\mathbf{V}}_1^{(0)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \varphi(\tau, \chi, \zeta) \right] \right\}$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \Re \sum_{\alpha=1}^{\infty} \left\{ \hat{\mathbf{V}}_{\alpha}^{(1)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \alpha \varphi(\tau, \chi, \zeta) \right] \right\}$$

Large-amplitude WKB

$$\hat{\mathbf{v}} = \tilde{\mathbf{v}}^{(0)}$$

$$\hat{\theta} = \hat{\theta}^{(0)} + \varepsilon \tilde{\theta}^{(1)}$$

$$\hat{\pi} = \hat{\pi}^{(0)} + \varepsilon^2 \tilde{\pi}^{(2)}$$

$$\tilde{\mathbf{v}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\underbrace{\varepsilon \hat{t}}_{\tau}, \underbrace{\varepsilon \hat{x}}_{\chi}, \underbrace{\varepsilon \hat{z}}_{\zeta}) + \Re \left\{ \hat{\mathbf{V}}_1^{(0)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \varphi(\tau, \chi, \zeta) \right] \right\}$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \Re \sum_{\alpha=1}^{\infty} \left\{ \hat{\mathbf{V}}_{\alpha}^{(1)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \alpha \varphi(\tau, \chi, \zeta) \right] \right\}$$

Wave induced mean flow

Large-amplitude WKB

$$\hat{\mathbf{V}} = \tilde{\mathbf{V}}^{(0)}$$

$$\hat{\theta} = \hat{\theta}^{(0)} + \varepsilon \tilde{\theta}^{(1)}$$

$$\hat{\pi} = \hat{\pi}^{(0)} + \varepsilon^2 \tilde{\pi}^{(2)}$$

$$\tilde{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\underbrace{\varepsilon \hat{t}}_{\tau}, \underbrace{\varepsilon \hat{x}}_{\chi}, \underbrace{\varepsilon \hat{z}}_{\zeta}) + \Re \left\{ \hat{\mathbf{V}}_1^{(0)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \varphi(\tau, \chi, \zeta) \right] \right\}$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \Re \sum_{\alpha=1}^{\infty} \left\{ \hat{\mathbf{V}}_{\alpha}^{(1)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \alpha \varphi(\tau, \chi, \zeta) \right] \right\}$$

Harmonics of the wavepacket due to nonlinear interactions

Large-amplitude WKB

$$\hat{\mathbf{v}} = \tilde{\mathbf{v}}^{(0)}$$

$$\hat{\theta} = \hat{\theta}^{(0)} + \varepsilon \tilde{\theta}^{(1)}$$

$$\hat{\pi} = \hat{\pi}^{(0)} + \varepsilon^2 \tilde{\pi}^{(2)}$$

$$\tilde{\mathbf{v}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\underbrace{\hat{\tau}}_{\tau}, \underbrace{\hat{\chi}}_{\chi}, \underbrace{\hat{\zeta}}_{\zeta}) + \Re \left\{ \hat{\mathbf{V}}_1^{(0)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \varphi(\tau, \chi, \zeta) \right] \right\}$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \Re \sum_{\alpha=1}^{\infty} \left\{ \hat{\mathbf{V}}_{\alpha}^{(1)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \alpha \varphi(\tau, \chi, \zeta) \right] \right\}$$

- collect equal powers in ε
- collect equal powers in $\exp(i\varphi/\varepsilon)$
- **no linearization!**

Large-amplitude WKB: leading order

$$i\mathbf{k} \cdot \hat{\mathbf{V}}_1^{(0)} = 0$$

$$\underbrace{\begin{pmatrix} -i\hat{\omega} & 0 & 0 & ik \\ 0 & -i\hat{\omega} & -N & im \\ 0 & N & -i\hat{\omega} & 0 \\ ik & im & 0 & 0 \end{pmatrix}}_{M(\hat{\omega}, \mathbf{k})} \begin{pmatrix} \hat{U}_1^{(0)} \\ \hat{W}_1^{(0)} \\ \frac{1}{N} \frac{\hat{\Theta}_1^{(1)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_1^{(2)} \end{pmatrix} = 0$$

$$\hat{\omega} = \omega - k\hat{U}_0^{(0)} \quad \text{intrinsic frequency}$$

Large-amplitude WKB: leading order

$$i\mathbf{k} \cdot \hat{\mathbf{V}}_1^{(0)} = 0$$

$$\underbrace{\begin{pmatrix} -i\hat{\omega} & 0 & 0 & ik \\ 0 & -i\hat{\omega} & -N & im \\ 0 & N & -i\hat{\omega} & 0 \\ ik & im & 0 & 0 \end{pmatrix}}_{M(\hat{\omega}, \mathbf{k})} \begin{pmatrix} \hat{U}_1^{(0)} \\ \hat{W}_1^{(0)} \\ \frac{1}{N} \frac{\hat{\Theta}_1^{(1)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_1^{(2)} \end{pmatrix} = 0$$

$$\hat{\omega} = \omega - k\hat{U}_0^{(0)} \quad \text{intrinsic frequency}$$

dispersion relation and structure as
from Boussinesq

$$\det(M) = 0 \Rightarrow$$

$$\hat{\omega}^2 = N^2 \frac{k^2}{k^2 + m^2}$$

$$\begin{pmatrix} \hat{U}_1^{(0)} \\ \hat{W}_1^{(0)} \\ \frac{1}{N} \frac{\hat{\Theta}_1^{(1)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_1^{(2)} \end{pmatrix} = \text{Nullvector of } M$$

Large-amplitude WKB: 1st order

$$M(\hat{\omega}, \mathbf{k}) \begin{pmatrix} \hat{U}_1^{(1)} \\ \hat{W}_1^{(1)} \\ \frac{1}{N} \frac{\hat{\Theta}_1^{(2)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_1^{(3)} \end{pmatrix} = \begin{pmatrix} \dots \\ \dots \\ \dots \\ -\frac{\partial \hat{U}_1^{(0)}}{\partial \chi} - \frac{\partial \hat{W}_1^{(0)}}{\partial \zeta} - \frac{1-\kappa}{\kappa} \frac{\hat{W}_1^{(0)}}{\hat{\pi}^{(0)}} \frac{\partial \hat{\pi}^{(0)}}{\partial \zeta} \end{pmatrix}$$

Large-amplitude WKB: 1st order

$$M(\hat{\omega}, \mathbf{k}) \begin{pmatrix} \hat{U}_1^{(1)} \\ \hat{W}_1^{(1)} \\ \frac{1}{N} \frac{\hat{\Theta}_1^{(2)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_1^{(3)} \end{pmatrix} = \begin{pmatrix} \dots \\ \dots \\ \dots \\ -\frac{\partial \hat{U}_1^{(0)}}{\partial \chi} - \frac{\partial \hat{W}_1^{(0)}}{\partial \zeta} - \frac{1-\kappa}{\kappa} \frac{\hat{W}_1^{(0)}}{\hat{\pi}^{(0)}} \frac{\partial \hat{\pi}^{(0)}}{\partial \zeta} \end{pmatrix}$$

Solvability condition
leads to wave-action
conservation

(Bretherton 1966,
Grimshaw 1975,
Müller 1976)

$$\frac{\partial}{\partial \tau} \left(\frac{E'}{\hat{\omega}} \right) + \nabla_{(\chi, \zeta)} \cdot \left(\mathbf{c}_g \frac{E'}{\hat{\omega}} \right) = 0$$

$$E' = \frac{\hat{\rho}^{(0)}}{2} \left(\frac{|\hat{\mathbf{V}}_1^{(0)}|^2}{2} + \frac{1}{2N^2} \left| \frac{\hat{\Theta}_1^{(0)}}{\hat{\theta}^{(0)}} \right|^2 \right) \quad \text{wave energy}$$

$$\mathbf{c}_g = \left(\hat{U}_0^{(0)} + \frac{\partial \hat{\omega}}{\partial k}, \frac{\partial \hat{\omega}}{\partial m} \right) \quad \text{group velocity}$$

Large-amplitude WKB: 1st order

$$M(\hat{\omega}, \mathbf{k}) \begin{pmatrix} \hat{U}_1^{(1)} \\ \hat{W}_1^{(1)} \\ \frac{1}{N} \frac{\hat{\Theta}_1^{(2)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_1^{(3)} \end{pmatrix} = \begin{pmatrix} \dots \\ \dots \\ \dots \\ -\frac{\partial \hat{U}_1^{(0)}}{\partial \chi} - \frac{\partial \hat{W}_1^{(0)}}{\partial \zeta} - \frac{1-\kappa}{\kappa} \frac{\hat{W}_1^{(0)}}{\hat{\pi}^{(0)}} \frac{\partial \hat{\pi}^{(0)}}{\partial \zeta} \end{pmatrix}$$

Pseudo-incompressible divergence needed!

Solvability condition leads to wave-action conservation

(Bretherton 1966, Grimshaw 1975, Müller 1976)

$$\frac{\partial}{\partial \tau} \left(\frac{E'}{\hat{\omega}} \right) + \nabla_{(\chi, \zeta)} \cdot \left(\mathbf{c}_g \frac{E'}{\hat{\omega}} \right) = 0$$

$$E' = \frac{\hat{\rho}^{(0)}}{2} \left(\frac{|\hat{\mathbf{V}}_1^{(0)}|^2}{2} + \frac{1}{2N^2} \left| \frac{\hat{\Theta}_1^{(0)}}{\hat{\theta}^{(0)}} \right|^2 \right) \quad \text{wave energy}$$

$$\mathbf{c}_g = \left(\hat{U}_0^{(0)} + \frac{\partial \hat{\omega}}{\partial k}, \frac{\partial \hat{\omega}}{\partial m} \right) \quad \text{group velocity}$$

Large-amplitude WKB: 1st order, 2nd harmonics

$$\hat{\mathbf{V}}^{(1)} = \dots + \Re \sum_{\alpha=1}^{\infty} \left\{ \hat{\mathbf{V}}_{\alpha}^{(1)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \alpha \varphi(\tau, \chi, \zeta) \right] \right\}$$

Large-amplitude WKB: 1st order, 2nd harmonics

$$\hat{\mathbf{V}}^{(1)} = \dots + \Re \sum_{\alpha=1}^{\infty} \left\{ \hat{\mathbf{V}}_{\alpha}^{(1)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \alpha \varphi(\tau, \chi, \zeta) \right] \right\}$$

$$\alpha = 2:$$

$$M(2\hat{\omega}, 2\mathbf{k}) \begin{pmatrix} \hat{U}_2^{(1)} \\ \hat{W}_2^{(1)} \\ \frac{1}{N} \frac{\hat{\Theta}_2^{(2)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_2^{(3)} \end{pmatrix} = \begin{pmatrix} \dots \\ \dots \\ \dots \\ \dots \end{pmatrix} \Rightarrow$$

Large-amplitude WKB: 1st order, 2nd harmonics

$$\hat{\mathbf{V}}^{(1)} = \dots + \Re \sum_{\alpha=1}^{\infty} \left\{ \hat{\mathbf{V}}_{\alpha}^{(1)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \alpha \phi(\tau, \chi, \zeta) \right] \right\}$$

$$\alpha = 2:$$

$$M(2\hat{\omega}, 2\mathbf{k}) \begin{pmatrix} \hat{U}_2^{(1)} \\ \hat{W}_2^{(1)} \\ \frac{1}{N} \frac{\hat{\Theta}_2^{(2)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_2^{(3)} \end{pmatrix} = \begin{pmatrix} \dots \\ \dots \\ \dots \\ \dots \end{pmatrix} \Rightarrow$$

$$\begin{pmatrix} \hat{U}_2^{(1)} \\ \hat{W}_2^{(1)} \\ \frac{1}{N} \frac{\hat{\Theta}_2^{(2)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_2^{(3)} \end{pmatrix} = M^{-1}(2\hat{\omega}, 2\mathbf{k}) \begin{pmatrix} \dots \\ \dots \\ \dots \\ \dots \end{pmatrix}$$

2nd harmonics are slaved

Large-amplitude WKB: 1st order, higher harmonics

$$\hat{\mathbf{V}}^{(1)} = \dots + \Re \sum_{\alpha=1}^{\infty} \left\{ \hat{\mathbf{V}}_{\alpha}^{(1)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \alpha \varphi(\tau, \chi, \zeta) \right] \right\}$$

Large-amplitude WKB: 1st order, higher harmonics

$$\hat{\mathbf{V}}^{(1)} = \dots + \Re \sum_{\alpha=1}^{\infty} \left\{ \hat{\mathbf{V}}_{\alpha}^{(1)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \alpha \varphi(\tau, \chi, \zeta) \right] \right\}$$

$$\alpha > 2:$$

$$\alpha > 2: \quad M(\alpha \hat{\omega}, \alpha \mathbf{k}) \begin{pmatrix} \hat{U}_{\alpha}^{(1)} \\ \hat{W}_{\alpha}^{(1)} \\ \frac{1}{N} \frac{\hat{\Theta}_{\alpha}^{(2)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_{\alpha}^{(3)} \end{pmatrix} = 0 \Rightarrow$$

Large-amplitude WKB: 1st order, higher harmonics

$$\hat{\mathbf{V}}^{(1)} = \dots + \Re \sum_{\alpha=1}^{\infty} \left\{ \hat{\mathbf{V}}_{\alpha}^{(1)}(\tau, \chi, \zeta) \exp \left[\frac{i}{\varepsilon} \alpha \varphi(\tau, \chi, \zeta) \right] \right\}$$

$$\alpha > 2:$$

$$\alpha > 2: \quad M(\alpha \hat{\omega}, \alpha \mathbf{k}) \begin{pmatrix} \hat{U}_{\alpha}^{(1)} \\ \hat{W}_{\alpha}^{(1)} \\ \frac{1}{N} \frac{\hat{\Theta}_{\alpha}^{(2)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_{\alpha}^{(3)} \end{pmatrix} = 0 \Rightarrow$$

$$\begin{pmatrix} \hat{U}_{\alpha}^{(1)} \\ \hat{W}_{\alpha}^{(1)} \\ \frac{1}{N} \frac{\hat{\Theta}_{\alpha}^{(2)}}{\hat{\theta}^{(0)}} \\ \hat{\theta}^{(0)} \hat{\Pi}_{\alpha}^{(3)} \end{pmatrix} = 0 \quad (\alpha > 2)$$

higher harmonics vanish
(nonlinearity is weak)

Large-amplitude WKB: mean flow

$$\tilde{\mathbf{v}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\tau, \chi, \zeta) + \dots$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \dots$$

Large-amplitude WKB: mean flow

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \chi} = 0$$

$$\tilde{\mathbf{v}}^{(0)} = \hat{\mathbf{v}}^{(0)} + \varepsilon \hat{\mathbf{v}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{v}}^{(0)} = \hat{\mathbf{v}}_0^{(0)}(\tau, \chi, \zeta) + \dots$$

$$\hat{\mathbf{v}}^{(1)} = \hat{\mathbf{v}}_0^{(1)}(\tau, \chi, \zeta) + \dots$$

Horizontal flow
horizontally
homogeneous
(non-divergent)

Large-amplitude WKB: mean flow

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \chi} = 0$$

$$\hat{W}_0^{(0)} = 0$$

$$\tilde{\mathbf{v}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\tau, \chi, \zeta) + \dots$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \dots$$

No zero-order
vertical flow

Large-amplitude WKB: mean flow

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \chi} = 0$$

$$\hat{W}_0^{(0)} = 0$$

$$\hat{\Theta}_0^{(1)} = 0$$

$$\tilde{\mathbf{v}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\tau, \chi, \zeta) + \dots$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \dots$$

No first-order potential
temperature

Large-amplitude WKB: mean flow

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \chi} = 0$$

$$\hat{W}_0^{(0)} = 0$$

$$\hat{\Theta}_0^{(1)} = 0$$

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \tau} + \hat{\theta}^{(0)} \frac{\partial \hat{\Pi}_0^{(0)}}{\partial \chi} = -\nabla \cdot \hat{\mathbf{F}}_{GW}^U$$

$$\tilde{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\tau, \chi, \zeta) + \dots$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \dots$$

- acceleration by GW-momentum-flux divergence

Large-amplitude WKB: mean flow

$$\tilde{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\tau, \chi, \zeta) + \dots$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \dots$$

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \chi} = 0$$

$$\hat{W}_0^{(0)} = 0$$

$$\hat{\Theta}_0^{(1)} = 0$$

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \tau} + \hat{\theta}^{(0)} \frac{\partial \hat{\Pi}_0^{(0)}}{\partial \chi} = -\nabla \cdot \hat{\mathbf{F}}_{GW}^U$$

$$\hat{\theta}^{(0)} \frac{\partial \hat{\Pi}_0^{(0)}}{\partial \zeta} - \frac{\hat{\Theta}_0^{(2)}}{\hat{\theta}^{(0)}} = -\nabla \cdot \hat{F}_{GW}^W - \frac{|\Theta_1^{(1)}|^2}{2\hat{\theta}^{(0)^2}}$$

- acceleration by GW-momentum-flux divergence
- GWs induce lower-order potential temperature variations

Large-amplitude WKB: mean flow

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \chi} = 0$$

$$\hat{W}_0^{(0)} = 0$$

$$\hat{\Theta}_0^{(1)} = 0$$

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \tau} + \hat{\theta}^{(0)} \frac{\partial \hat{\Pi}_0^{(0)}}{\partial \chi} = -\nabla \cdot \hat{\mathbf{F}}_{GW}^U$$

$$\hat{\theta}^{(0)} \frac{\partial \hat{\Pi}_0^{(0)}}{\partial \zeta} - \frac{\hat{\Theta}_0^{(2)}}{\hat{\theta}^{(0)}} = -\nabla \cdot \hat{F}_{GW}^W - \frac{|\Theta_1^{(1)}|^2}{2\hat{\theta}^{(0)^2}}$$

$$\tilde{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\tau, \chi, \zeta) + \dots$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \dots$$

- acceleration by GW-momentum-flux divergence
- GWs induce lower-order potential temperature variations
- p.-i. wave-correction not appearing in anelastic dynamics

Large-amplitude WKB: mean flow

$$\tilde{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}^{(0)} + \varepsilon \hat{\mathbf{V}}^{(1)} + o(\varepsilon) \quad (\text{e.g.})$$

$$\hat{\mathbf{V}}^{(0)} = \hat{\mathbf{V}}_0^{(0)}(\tau, \chi, \zeta) + \dots$$

$$\hat{\mathbf{V}}^{(1)} = \hat{\mathbf{V}}_0^{(1)}(\tau, \chi, \zeta) + \dots$$

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \chi} = 0$$

$$\hat{W}_0^{(0)} = 0$$

$$\hat{\Theta}_0^{(1)} = 0$$

$$\frac{\partial \hat{U}_0^{(0)}}{\partial \tau} + \hat{\theta}^{(0)} \frac{\partial \hat{\Pi}_0^{(0)}}{\partial \chi} = -\nabla \cdot \hat{\mathbf{F}}_{GW}^U$$

$$\hat{\theta}^{(0)} \frac{\partial \hat{\Pi}_0^{(0)}}{\partial \zeta} - \frac{\hat{\Theta}_0^{(2)}}{\hat{\theta}^{(0)}} = -\nabla \cdot \hat{\mathbf{F}}_{GW}^W - \frac{|\Theta_1^{(1)}|^2}{2\hat{\theta}^{(0)^2}}$$

$$\hat{W}_0^{(1)} \frac{\partial \hat{\theta}^{(0)}}{\partial \zeta} = -\nabla \cdot \hat{\mathbf{F}}_{GW}^\Theta = 0$$

- Wave-induced lower-order vertical flow vanishes

Summary

- **multi-scale asymptotics:**
 - nonlinear dynamics of GWs near breaking

Summary

- **multi-scale asymptotics:**
 - nonlinear dynamics of GWs near breaking
 - linear theory used for obtaining scale estimates
 - velocity

- **multi-scale asymptotics:**
 - nonlinear dynamics of GWs near breaking
 - linear theory used for obtaining scale estimates
 - velocity
 - thermodynamic wave fields

- **multi-scale asymptotics:**

- nonlinear dynamics of GWs near breaking
- linear theory used for obtaining scale estimates
 - velocity
 - thermodynamic wave fields
- unique small parameter:

$$\varepsilon = \frac{1}{KH_\theta} = \frac{L}{H_\theta} \ll 1$$

Summary

- **multi-scale asymptotics:**

- nonlinear dynamics of GWs near breaking
- linear theory used for obtaining scale estimates
 - velocity
 - thermodynamic wave fields
- unique small parameter:

$$\varepsilon = \frac{1}{KH_{\theta}} = \frac{L}{H_{\theta}} \ll 1$$

- **result:**

- same asymptotics for Euler equations and p.-i. equations

Summary

- **multi-scale asymptotics:**

- nonlinear dynamics of GWs near breaking
- linear theory used for obtaining scale estimates
 - velocity
 - thermodynamic wave fields
- unique small parameter:

$$\varepsilon = \frac{1}{KH_\theta} = \frac{L}{H_\theta} \ll 1$$

- **result:**

- same asymptotics for Euler equations and p.-i. equations
- no such result for anelastic theory

Summary

- **multi-scale asymptotics:**

- nonlinear dynamics of GWs near breaking
- linear theory used for obtaining scale estimates
 - velocity
 - thermodynamic wave fields
- unique small parameter:

$$\varepsilon = \frac{1}{KH_\theta} = \frac{L}{H_\theta} \ll 1$$

- **result:**

- same asymptotics for Euler equations and p.-i. equations
- no such result for anelastic theory
- large-amplitude WKB (consistent with p.i. equations)

Summary

- **multi-scale asymptotics:**

- nonlinear dynamics of GWs near breaking
- linear theory used for obtaining scale estimates
 - velocity
 - thermodynamic wave fields
- unique small parameter:

$$\varepsilon = \frac{1}{KH_\theta} = \frac{L}{H_\theta} \ll 1$$

- **result:**

- same asymptotics for Euler equations and p.-i. equations
- no such result for anelastic theory
- large-amplitude WKB (consistent with p.i. equations)

- **conclusion:**

- use pseudo-incompressible equations for studies of GW dynamics with altitude-dependent amplitude

