

A Multimoment Bulk Microphysics Parameterization. Part II: A Proposed Three-Moment Closure and Scheme Description

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ABSTRACT

Many two-moment bulk schemes use a three-parameter gamma distribution of the form $N(D) = N_0 D^\alpha e^{-\lambda D}$ to describe the size spectrum of a given hydrometeor category. These schemes predict changes to the mass content and the total number concentration thereby allowing N_0 and λ to vary as prognostic parameters while fixing the shape parameter, α . As was shown in Part I of this study, the shape parameter, which represents the relative dispersion of the hydrometeor size spectrum, plays an important role in the computation of sedimentation and instantaneous growth rates in bulk microphysics schemes. Significant improvement was shown by allowing α to vary as a diagnostic function of the predicted moments rather than using a fixed-value approach. Ideally, however, α should be an independent prognostic parameter.

In this paper, a closure formulation is developed for calculating the source and sink terms of a third moment of the size distribution—the radar reflectivity. With predictive equations for the mass content, total number concentration, and radar reflectivity, α becomes a fully prognostic variable and a three-moment parameterization becomes feasible. A new bulk microphysics scheme is presented and described. The full version of the scheme predicts three moments for all precipitating hydrometeor categories.

Simulations of an idealized hailstorm in the context of a 1D kinematic cloud model employing the one-moment, two-moment, and three-moment versions of the scheme are compared. The vertical distribution of the hydrometeor mass contents using the two-moment version with diagnostic- α relations are much closer to the three-moment than the one-moment simulation. However, the evolution of the surface precipitation rate is notably different between the three-moment and two-moment schemes.

1. Introduction

Given the increasing importance of bulk microphysics parameterization schemes in atmospheric models, it is important to develop detailed yet computationally efficient schemes and to understand the strength and limitation of various approaches. Many two-moment bulk schemes use a three-parameter gamma size distribution of the form $N(D) = N_0 D^\alpha e^{-\lambda D}$. The schemes predict changes to the mass content and total number concentration thereby allowing N_0 and λ to vary as

prognostic parameters while fixing the spectral shape parameter, α . In Milbrandt and Yau (2005a, hereafter Part I), we examined the impact of changes to the relative dispersion of the hydrometeor size spectrum, as represented by the shape parameter. It was shown that α plays an important role on the computation of sedimentation and instantaneous growth rates in bulk microphysics schemes. A method that diagnoses α as a monotonically increasing function of the mean-mass diameter was introduced and shown to yield notable improvement over the standard fixed-value approach. It was also demonstrated, however, that α should be a fully prognosed parameter by predicting three moments of the size distribution.

In this paper, a closure formulation is developed for calculating the source and sink terms of a third moment

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of the size distribution, the radar reflectivity. With predictive equations for the mass content, total number concentration, and radar reflectivity, α becomes a fully prognostic variable and a three-moment parameterization becomes feasible. In view of the analysis in Part I, a new multimoment bulk scheme, with options to diagnose or prognose the shape parameter, has been developed and is presented here. In the following section, the proposed three-moment closure is described. Section 3 presents an overview of the new microphysics scheme followed by a complete description of the source/sink terms in section 4. In section 5, simulations of an idealized hailstorm using the various versions of the scheme in a 1D kinematic cloud model are discussed. Concluding remarks are given in section 6.

2. Prognostic relation for α —A proposed closure for the three-moment approach

From the results presented in Part I, it is clear that there are advantages to extend the bulk approach to allow the spectral shape parameter α_x , as well as the intercept parameter N_{0x} and the slope parameter λ_x , of a size distribution for hydrometeor species x to be independent. To predict the evolution of α_x , a prognostic equation for another moment such as the radar reflectivity Z_x with the form

$$\frac{\partial Z_x}{\partial t} = -\nabla \cdot (Z_x \mathbf{U}) + \text{TURB}(Z_x) + \frac{\partial}{\partial z} (Z_x V_{zx}) + \left. \frac{dZ_x}{dt} \right|_s, \quad (1)$$

must be added to the continuity equations for the mass and total number concentration [(8) and (9) in Part I], along with the closure equation [(6) in Part I]. The readers are referred to appendix C for a complete list of symbols.

The source term for Z_x in (1) is computed as the sum of the individual tendencies of Z_x for each microphysical process listed in appendix A. What remains, therefore, is to derive the source terms for Z_x for each process A in each hydrometeor category x .

We classify the source terms for Z_x into three types. For the first type, it is assumed that the change in α_x due to the particular process A is negligible. By differentiating the closure Eq. (6) in Part I, we can relate the tendency of Z_x to the tendencies of q_x and N_{Tx} as

$$\left. \frac{dZ_x}{dt} \right|_A = \frac{G(\alpha_x)}{c_x^2} \rho^2 \left[2 \frac{q_x}{N_{Tx}} \left. \frac{dq_x}{dt} \right|_A - \left(\frac{q_x}{N_{Tx}} \right)^2 \left. \frac{dN_{Tx}}{dt} \right|_A \right]. \quad (2)$$

Equation (2) is applied to collection, diffusional growth, and melting. The assumption that α_x does not change because of a particular process is analogous to holding λ_x constant for a process in order to relate the fractional change in N_{Tx} to the fractional change in q_x [e.g., Murakami (1990, hereafter M90); Ferrier (1994, hereafter F94); Harrington et al. (1995); Reisner et al. (1998, hereafter RRB)]. Since α_x is a measure of the relative dispersion of the size spectrum, our assumption can be interpreted physically to mean that the change in spectral width is negligible due to that particular process. While strictly speaking this assumption may not be completely valid, the analysis in Part I indicates that sedimentation can change α_x very quickly and hence if the effect of sedimentation is correctly modeled, the errors in neglecting the change in α by a process A will likely be small.

The second type of source terms is related to processes in which hydrometeors are being initiated in a category, such as during nucleation. In this case, the initial $Z_x^{\text{init}} = q_x^{\text{init}} = N_{Tx}^{\text{init}} = 0$ at the beginning of the time step, and q_x^{fin} and N_{Tx}^{fin} at the end of the time step are known from solving (8) and (9) in Part I [hereafter equation (x) in Part I will be referred to as equation (I.x)]. To obtain the tendency terms for Z_x , the value of α_x at the end of the time step for process A (α_{xA}) has to be specified. Values for different initiation processes are given in appendix C. Applying (I.6) at the end of a time step, one obtains

$$Z_x^{\text{fin}} = \frac{G(\alpha_{xA}) (\rho q_x^{\text{fin}})^2}{c_x^2 N_{Tx}^{\text{fin}}}. \quad (3)$$

By writing $(dZ_x/dt)|_A = (Z_x^{\text{fin}} - Z_x^{\text{init}})/\Delta t$, substituting (3) for Z_x^{fin} , and taking the limit as $\Delta t \rightarrow 0$, we obtain

$$\left. \frac{dZ_x}{dt} \right|_A = G(\alpha_{xA}) \left(\frac{\rho}{c_x} \right)^2 \left(\left. \frac{dq_x}{dt} \right|_A \right)^2 \left(\left. \frac{dN_{Tx}}{dt} \right|_A \right)^{-1}. \quad (4)$$

The third type of source terms occur when one category x is converted into another category y . In this case,

$$\left. \frac{dZ_x}{dt} \right|_A = - \left(\frac{c_y}{c_x} \right)^2 \left. \frac{dZ_y}{dt} \right|_A. \quad (5)$$

An example is the probabilistic freezing of rain to hail, where the tendency for Z_r is computed from (2) while the tendency of Z_h is computed from (5). The total radar reflectivity due to such a process is thus conserved.

3. Overview of the new scheme

A new multimoment bulk scheme has been developed. The scheme consists of six hydrometeor categories. As is standard in bulk parameterizations, the liquid water spectrum is partitioned into cloud, consisting of small nonsedimenting droplets, and rain, consisting of sedimenting drops. It has been shown by McCumber et al. (1991) that to properly model the ice phase, at least four frozen hydrometeor categories should be included. In view of this (and following F94), the proposed scheme includes ice, snow, graupel, and hail. The ice category represents pristine ice crystals. The snow category includes large crystals (with radii greater than 100 μm) and aggregates. The graupel category includes moderate-density graupel, formed from heavily rimed ice or snow. The hail category includes high-density hail and frozen raindrops. The size distribution of cloud droplets is described by (I.1) with a fixed value of $\nu_c = 3$, following Cohard and Pinty (2000a; hereafter CP00a). The size distributions of rain and of all frozen hydrometeors are described by (I.3)–(I.6). All hydrometeor categories x , with $x \in [c, r, i, s, g, h]$ referring to cloud, rain, ice, snow, graupel, and hail, respectively, have the mass–diameter relationship $m_x(D_x) = c_x D_x^{d_x}$ (with $d_x = 3$). Except for ice, all particles are assumed to be spherical with $c_x = (\pi/6)\rho_x$ where ρ_x is the bulk density of the particles summarized in Table 1. Ice crystals are assumed to be bullet rosettes, which are believed to be the dominant crystal habit in thunderstorms, and have $c_i = 440 \text{ kg m}^{-3}$ (F94).

There are three main versions of the proposed scheme. The single-moment version predicts q_x using (I.8) allowing λ_x defined by (I.5) to vary prognostically, and has fixed values for N_{Tc} and N_{0x} for the precipitat-

TABLE 2. Terminal fall velocity parameters for hydrometeor category x (see Ferrier 1994 for references).

Category	$a_x (\text{m}^{1-b_x} \text{s}^{-1})$	b_x	$f_x (\text{m}^{-1})$
Rain	4854.00	1.0	195
Ice	71.34	0.6635	0
Snow	8.996	0.42	0
Graupel	19.30	0.37	0
Hail	206.89	0.6384	0

ing categories and α_x for all categories. The two-moment version includes predictive equations for q_x and also for N_{Tx} , given by (I.9), where the corresponding prognostic parameters are N_{0x} , defined by (I.4), and λ_x . The parameter α_x can be either fixed or diagnosed from (I.13) and (I.14). The constants for these equations are listed in Table 1 of Part I. The three-moment version also has the predictive Eq. (1) for Z_x for all categories except cloud water, and α_x is obtained by solving (I.6).

Sedimentation is computed following the equations described in the appendix of Part I. The terminal fall velocity parameters for each category are listed in Table 2. The microphysical source/sink terms in the continuity equations listed in appendix A were taken and adapted from various existing schemes. The equations for the warm-rain processes follow closely those of Cohard et al. (1998, hereafter CPB98) and CP00a. The expressions for ice phase processes are mainly adapted from Cotton et al. (1986, hereafter C86), F94, Kong and Yau (1997, hereafter KY97), Lin et al. (1983, hereafter LFO), Meyers et al. (1997, hereafter M97), and M90. Various modifications and simplifications to many of the parameterizations were made, as detailed below.

4. Description of source/sink terms

The source/sink terms described in this section are listed in appendix A. The notation for the terms involving two interacting categories are denoted by $VAB_{y,x}$, where V is the prognostic variable under consideration (Q for mixing ratio, N for total concentration, or Z for radar reflectivity), AB represents the microphysical process (CL for collection, CN for conversion, FZ for freezing, IM for ice multiplication, ML for melting, NU for nucleation, SH for shedding, VD for diffusional growth), and the subscript y, x indicates that mass is being transferred from category y to x [for $x, y \in (c, r, i, s, g, h, v)$, where v denotes water vapor]. If the tendency for a prognostic variable is not the same for the interacting categories, then a subscript representing the affected category is added to the prognostic variable. For example, $V_x AB_{y,x}$ is the rate of change of variable V in category x due to $AB_{y,x}$ while $V_y AB_{y,x}$ is the rate

TABLE 1. Bulk densities and minimum particle sizes of hydrometeor categories in the proposed scheme.

Category	Bulk density (kg m^{-3})	Minimum sizes*
Cloud	1000	n/a
Rain	1000	82 μm^*
Ice	500	10^{-12} kg
Snow	100	$4.4 \times 10^{-10} \text{ kg}$
Graupel	400	$1.6 \times 10^{-10} \text{ kg}$
Hail	900	**

* For rain, this is the “hump” diameter that separates the cloud and the rain spectra. Rain that is converted from cloud always has a mean drop diameter greater than this value; if the mean drop diameter goes lower than this value (e.g., because of evaporation), rain is redefined as cloud. For ice, snow, and graupel, this is the “embryo” particle mass (upon nucleation or conversion).

** Depends on temperature and LWC [see (48)].

of change of variable V in category y for the same process. If the tendencies are the same for the two interacting categories, no subscript is added to the prognostic variable.

For source/sink terms involving three interacting categories like three-component freezing where the destination category may be different from the two interacting categories, a three-letter subscript follows the microphysical process. For example, $NCL_{y,x,z}$ is the tendency for total number concentration due to the collection growth involving categories x and y giving rise to category z .

a. Cloud nucleation (NNU_{uc})

CPB98 developed an expression relating the concentration of nucleated cloud droplets N_{CCN} in ascending air to the maximum supersaturation. CP00a described an iterative procedure to solve for the maximum supersaturation as a function of updraft speed w , temperature T , and pressure p . Given the total number concentration of cloud droplets N_{Tc} at a certain time, the cloud nucleation rate is

$$NNU_{uc} = \frac{\max[N_{CCN}(w, T, p) - N_{Tc}, 0]}{\Delta t}. \quad (6)$$

To reduce computational cost, we performed a least-square fit to $N_{CCN}(w, T, p)$ of CPB98 solved by the iteration procedure of CP00a.

b. Condensation and evaporation of cloud and rain (QVD_{uc} , QVD_{vr} , NVD_{uc} , NVD_{vr} , ZVD_{vr})

The rate of change in cloud mixing ratio due to nucleation and subsequent growth by condensation, QVD_{uc} , is parameterized following the saturation adjustment technique of KY97. For the rate of evaporation of rain in subsaturated air, we write

$$QVD_{vr} = \frac{2\pi(S_w - 1)N_{0r}VENT_r}{AB_w}, \quad (7)$$

where

$$VENT_r = A_r \frac{\Gamma[2 + \alpha_r]}{\lambda_r^{2+\alpha_r}} + B_r S_c^{1/3} \left(\frac{\gamma a_x}{\nu_{kin}} \right)^{1/2} \frac{\Gamma(4 + \alpha_x + b_x)}{\lambda_r^{4+\alpha_x+b_x}} \quad (8)$$

is the bulk ventilation coefficient for rain and

$$AB_w = \frac{L_v^2}{K_a R_v T^2} + \frac{1}{\rho q_s \psi} \quad (9)$$

is the thermodynamic function (Byers 1965).

During evaporation, N_{Tc} and N_{Tr} decrease at the rates of NVD_{uc} , which is given by an equation of the form of (B1) in appendix B for $x \in [c, r]$ and Z_r decreases at the rate of ZVD_{vr} , given by an equation of the form of (B3).

c. Warm-rain collection (QCN_{cr} , QCL_{cr} , $N_c CN_{cr}$, $N_r CN_{cr}$, NCL_{rr} , ZCN_{cr} , ZCL_{cr} , ZCL_{rr})

The source terms for the mixing ratios and number concentrations of cloud and rain due to the warm-rain collection process come from CP00a, which are based on analytic solutions to the stochastic collection equation (SCE) using Long's (1974) polynomial approximation for the collection kernel. A minor modification was made to the way autoconversion is computed (J.-P. Pinty 2001, personal communication).

The q_x tendency for autoconversion, QCN_{cr} , is adopted from CP00a. To compute the change in concentration of rain particles due to autoconversion, $N_r CN_{cr}$, we note that in general, for a microphysical process generating new particles of mean diameter D_{mr_new} , its N_{Tx} tendency is related to its q_x tendency by (B2) where $D_{x0} = D_{mx_new}^{d_x}$. To determine D_{mr_new} of rain due to autoconversion, a threshold mean diameter D_{mr_aut} is first computed using the empirical formula of Berry and Reinhardt (1974),

$$D_{mr_aut} = \frac{1.26 \times 10^{-3}}{(0.5 \times 10^6) \sigma_c - 3.5}, \quad (10)$$

where σ_c is the standard deviation of the size of the cloud droplets (see CP00a). If the existing mean-drop diameter $D_{mr} = [(\rho q_r / c_r N_{Tr})]^{1/3}$ exceeds D_{mr_aut} , then $D_{mr_new} = D_{mr}$; otherwise $D_{mr_new} = D_{mr_aut}$. Thus, $N_r CN_{cr}$ is given by (B2) with $D_{x0} = D_{mr_new}^3$ and the equation for ZCN_{cr} is of the form of (B4) with $\alpha_{xAB} = \alpha_{rAUT}$.

For the q_x and N_{Tx} tendencies due to accretion (QCL_{cr} and NCL_{cr}) and self-collection ($N_c CN_{cr}$ and NCL_{rr}), we exactly follow CP00a. Note that the N_{Tc} tendency due to autoconversion is parameterized as cloud droplet self-collection. Spontaneous breakup of rain is parameterized by including a mean-drop-size limiter in the form of a size-dependent reduction factor in the bulk collection efficiency in NCL_{rr} (see Cohard and Pinty 2000b). The Z_r tendencies for accretion (ZCL_{cr}) and self-collection (ZCL_{rr}) are identical to the form of (B3).

d. Collection involving ice particles

1) GENERAL COLLECTION EQUATIONS

The rates of collection amongst frozen and liquid particles are calculated by integrating the following

SCEs (Walko et al. 1995, hereafter W95; M97) for particle category x collecting category y :

$$QCL_{yx} = \frac{1}{\rho} \frac{\pi}{4} \int_0^\infty \int_0^\infty |V_x(D_x) - V_y(D_y)| (D_x + D_y)^2 m_y(D_y) E(x, y) N_y(D_y) N_x(D_x) dD_y dD_x \quad (11)$$

$$N_y CL_{yx} = -\frac{\pi}{4} \int_0^\infty \int_0^\infty |V_x(D_x) - V_y(D_y)| (D_x + D_y)^2 E(x, y) N_y(D_y) N_x(D_x) dD_y dD_x. \quad (12)$$

Bulk collection efficiencies, E_{xy} , are used. For the collection of cloud droplets by graupel and hail, an approximate empirical formula from Macklin and Bailey (1966) is used,

$$E_{xc}(D_{mx}, D_{mc}) = \exp[-8.68 \times 10^{-7} D_{mc}^{-1.6} D_{mx}], \quad (13)$$

where $x \in [g, h]$. For dry collection among ice particles, we adopted the following temperature-dependent collection efficiencies following Ferrier et al. (1995):

$$E_{is} = \min[0.05 \exp(0.1 T_c), 1], \quad (14)$$

$$E_{ig} = E_{sg} = E_{ih} = E_{sh} = \min[0.01 \exp(0.1 T_c), 1]. \quad (15)$$

However, during wet growth of hail, we set $E_{ih} = E_{sh} = 1$.

The values for the other bulk collection efficiencies are $E_{ci} = E_{ri} = 1$, $E_{ii} = 0.1 E_{is}$, $E_{cs} = 1$, $E_{rs} = 1$, $E_{ss} = 0.1 E_{is}$, $E_{rg} = 1$, $E_{gg} = 0$, $E_{rh} = 1$, $E_{gh} = 0$, and $E_{hh} = 0$.

(i) *Collection amongst rain and frozen categories*

$$(QCL_{ri}, QCL_{ir}, QCL_{rs}, QCL_{sr}, QCL_{rg}, QCL_{gr}, QCL_{rh}, QCL_{is}, QCL_{ig}, QCL_{ih}, QCL_{sh}, NCL_{ri}, NCL_{ir}, NCL_{rs}, NCL_{sr}, NCL_{rg}, NCL_{gr}, NCL_{rh}, NCL_{is}, NCL_{ig}, NCL_{ih}, NCL_{sh}, ZCL_{ri}, ZCL_{ir}, ZCL_{rs}, ZCL_{sr}, ZCL_{rg}, ZCL_{gr}, ZCL_{rh}, ZCL_{is}, ZCL_{ig}, ZCL_{ih}, ZCL_{sh}, ZCL_{ri}, ZCL_{ir}, ZCL_{rs}, ZCL_{sr}, ZCL_{rg}, ZCL_{gr}, ZCL_{rh}, ZCL_{is}, ZCL_{ig}, ZCL_{ih}, ZCL_{sh})$$

For collection amongst sedimenting categories, M90 proposed the following approximation:

$$\Delta \bar{V} \equiv |V_x(D_x) - V_y(D_y)| \approx \sqrt{(V_{Qx} - V_{Qy})^2 + 0.04 V_{Qx} V_{Qy}}. \quad (16)$$

Using (16) and the bulk collection efficiencies, (11) and (12) can be integrated analytically to yield

$$QCL_{yx} = \frac{c_y}{\rho} \frac{\pi}{4} E_{xy} \Delta \bar{V} \frac{N_{Tx} N_{Ty}}{\Gamma(1 + \alpha_x) \Gamma(1 + \alpha_y)} \times \left[\frac{\Gamma(3 + \alpha_x) \Gamma(4 + \alpha_y)}{\lambda_x^2 \lambda_y^3} + \frac{2 \Gamma(2 + \alpha_x) \Gamma(5 + \alpha_y)}{\lambda_x \lambda_y^4} + \frac{\Gamma(1 + \alpha_x) \Gamma(6 + \alpha_y)}{\lambda_y^5} \right] \quad (17)$$

and

$$NCL_{yx} = \frac{\pi}{4} E_{xy} \Delta \bar{V} \frac{N_{Tx} N_{Ty}}{\Gamma(1 + \alpha_x) \Gamma(1 + \alpha_y)} \times \left[\frac{\Gamma(3 + \alpha_x) \Gamma(1 + \alpha_y)}{\lambda_x^2} + \frac{2 \Gamma(2 + \alpha_x) \Gamma(2 + \alpha_y)}{\lambda_x \lambda_y} + \frac{\Gamma(1 + \alpha_x) \Gamma(3 + \alpha_y)}{\lambda_y^2} \right], \quad (18)$$

and where ZCL_{yx} is of the form of (B3).

Equations (17), (18), and the equation for ZCL_{yx} apply to the collection of rain by all frozen categories (CL_{rx}), collection of ice, snow, or graupel by rain (CL_{xr}) and collection of one frozen category by another frozen category (CL_{xy}), except for hail when it is undergoing wet growth (see section 4c). Equations of the form of (B3) are also used to compute ZCL_{cr} and ZCL_{rr} (with $QCL_{rr} = 0$).

(ii) *Collection of cloud water by frozen categories* (QCL_{ci} , QCL_{cs} , QCL_{cg} , QCL_{ch} , NCL_{ci} , NCL_{cs} , NCL_{cg} , NCL_{ch} , ZCL_{ci} , ZCL_{cs} , ZCL_{cg} , ZCL_{ch})

For the collection of cloud by any frozen category, the approximation for $\Delta \bar{V}$ need not be made because cloud droplets are assumed to have negligible terminal fall velocity. Thus,

$$QCL_{cx} = \gamma a_x \frac{\rho_w}{\rho} \frac{\pi^2}{24} E_{xc} \frac{N_{Tx} N_{Tc}}{\Gamma(1 + \alpha_x) \Gamma(1 + \alpha_c)} \times \left[\frac{\Gamma(3 + \alpha_x + b_x) \Gamma(1 + \alpha_c + 3/\nu_c)}{\lambda_x^{2+b_x} \lambda_c^3} + \frac{2 \Gamma(2 + \alpha_x + b_x) \Gamma(1 + \alpha_c + 4/\nu_c)}{\lambda_x^{1+b_x} \lambda_c^4} + \frac{\Gamma(1 + \alpha_x + b_x) \Gamma(1 + \alpha_c + 5/\nu_c)}{\lambda_x^{b_x} \lambda_c^5} \right], \quad (19)$$

$$\begin{aligned}
NCL_{cx} = & \gamma a_x \frac{1}{\rho} \frac{\pi}{4} E_{xc} \frac{N_{Tx} N_{Tc}}{\Gamma(1 + \alpha_x) \Gamma(1 + \alpha_c)} \\
& \times \left[\frac{\Gamma(3 + \alpha_x + b_x) \Gamma(1 + \alpha_y)}{\lambda_x^{2+b_x}} \right. \\
& + \frac{2\Gamma(2 + \alpha_x + b_x) \Gamma(1 + \alpha_y + 1/\nu_c)}{\lambda_x^{1+b_x} \lambda_c} \\
& \left. + \frac{\Gamma(1 + \alpha_x + b_x) \Gamma(1 + \alpha_c + 2/\nu_c)}{\lambda_x^{b_x} \lambda_c^2} \right], \quad (20)
\end{aligned}$$

and ZCL_{cx} is calculated using (B3) with $NCL_{yx} = 0$, $x \in [i, s, g, h]$, and $y = c$.

2) AGGREGATION FOR SNOW (NCL_{ss} , ZCL_{ss})

For snow aggregation, the rate of decrease in N_{Ts} (NCL_{ss}) follows F94. The corresponding rate of change in radar reflectivity, ZCL_{ss} , is of the form of (B3) with $QCL_{ss} = 0$.

3) WET GROWTH OF HAIL (NSH_{hr} , ZSH_{hr} , QCL_{rh})

In nature, a hailstone grows mainly by accreting liquid water. However, its surface temperature can increase if the hailstone cannot dissipate all of the latent heat released due to freezing and deposition. When the surface of the hailstone warms to the point that all of the accreted water cannot be frozen, it is said to have reached the Shumann–Ludlam limit (SLL; Young 1993). Beyond the SLL, the hailstone enters the wet growth mode and begins to shed some of the accreted water.

For dry growth, the mass growth rate of hail is equal to $QCL_{ch} + QCL_{rh} + QCL_{ih} + QCL_{sg}$, with each of the terms calculated using (18). For wet growth, the approach of LFO is followed to determine the criteria for wet growth and the rate for mass increase, Q_h WET, based on the heat balance equation (Musil 1970). The actual growth rate is chosen to be the smaller of the two rates. If the wet growth rate is chosen, then QCL_{ch} , QCL_{ih} , and QCL_{sh} are recomputed using collection efficiencies of 1 to obtain QCL'_{ch} , QCL'_{ih} , and QCL'_{sh} . Likewise, the N_{Tx} tendencies NCL_{ch} , NCL_{ih} , and NCL_{sh} are also recalculated using collection efficiencies of 1 and ZCL_{ih} and ZCL_{sh} are computed using the appropriate rates in equations of the form of (B3). Finally, the collection rate for rain is recomputed as the difference between Q_h WET and the sum of the new (wet) growth rates for collecting cloud, ice and snow

$$QCL'_{rh} = Q_h \text{WET} - [QCL'_{ch} + QCL'_{ih} + QCL'_{sh}]. \quad (21)$$

Also the difference between the dry collection rate QCL_{rh} and the wet collection rate QCL'_{rh} is assumed to be the rate that the collected water mass by the hailstone is shed to form rain (see LFO for discussion).

The change in N_{Tr} because of shedding is determined by assuming a mean size for the shed drops which has been observed to be between 0.5 and 2.0 mm in diameter with a modal size of 1 mm (Rasmussen and Heymsfield 1987; Lesins et al. 1980). Applying (11) with $D_{mr_new} = D_{shed} = 1$ mm, we obtain

$$NSH_{hr} = \frac{\rho}{c_r D_{shed}^3} [QCL_{rh} - QCL'_{rh}]. \quad (22)$$

The Z_r tendency due to shedding is given by

$$ZSH_{hr} = G(\alpha_{rSH}) \left(\frac{\rho}{c_r} \right)^2 (QCL_{rh} - QCL'_{rh})^2 NSH_{hr}^{-1}, \quad (23)$$

where $\alpha_{rSH} = 2$.

e. Ice nucleation

Ice crystals are initiated via three modes: primary nucleation, rime splintering, and homogeneous freezing of cloud droplets.

1) PRIMARY NUCLEATION (QNU_{vi} , NNU_{vi} , ZNU_{vi})

Primary nucleation includes contact nucleation, deposition nucleation, and condensation-freezing nucleation. The N_{Ti} tendency due to contact nucleation, NuCONT, is parameterized following Young (1974) as described in C86 and W95. The combination of deposition and condensation-freezing nucleation is parameterized by the empirical formula of Meyers et al. (1992), which gives N_{Ti} as a function of the saturation ratio with respect to ice, S_i :

$$N_{Ti}(S_i) = 1000 \exp[12.96(S_i - 1) - 0.639]. \quad (24)$$

The N_{Ti} tendency due to deposition/condensation-freezing nucleation is thus

$$\text{NuDEPSOR} = \frac{N_{Ti}(S_i)}{2\Delta t}. \quad (25)$$

A method of estimating the supersaturation with respect to ice, as a function of vertical velocity, temperature, and pressure only, and that is not influenced by the model time step is also used, thus reducing excessive ice nucleation. The nucleation rate for primary ice nucleation, NNU_{vi} , is the sum of NuCONT and NuDEPSOR. To prevent overdepletion of water vapor in one time step, a maximum nucleation rate, analogous

to the maximum depositional growth rate is imposed (following KY97),

$$NNU_{\max} = \frac{\rho}{m_{i0}} \frac{(q - q_{si})}{1 + \frac{5806.485 L_s q_{si}}{C_p (T - 7.66)^2}} \frac{1}{2\Delta t}. \quad (26)$$

Also, primary nucleation can result in an increase in the number of ice crystals present, but will never result in a decrease. Thus,

$$NNU_{vi} = \min \left[NNU_{\max}, \max \left((\text{NuDEPSOR} + \text{NuCONT}) - \frac{N_{Ti}}{2\Delta t}, 0 \right) \right]. \quad (27)$$

The mixing ratio and reflectivity factor tendencies are calculated using an assumed nucleated ice crystal mass $m_{i0} = 10^{-12}$ kg (KY97; RRB), giving

$$QNU_{vi} = \frac{m_{i0}}{\rho} NNU_{vi} \quad (28)$$

and, where ZNU_{vi} is of the form of (B4), with $\alpha_{xAB} = \alpha_{iNU} = 0$.

2) ICE MULTIPLICATION (QIM_{si} , QIM_{gi} , NIM_{ii} , NIM_{si} , NIM_{gi} , ZIM_{ii} , ZIM_{si} , ZIM_{gi})

Ice multiplication, or rime splintering, for riming of ice, snow, and graupel at temperatures between -3° and -8°C is based on Hallet and Mossop (1974) and is parameterized following the equations of RRB for the q_x and N_{Tx} tendencies and with the Z_x tendencies given by equations following the form of (B4), with $\alpha_{xAB} = \alpha_{iIM}$ and $y \in [i, s, g]$. Note that for rime splintering due to riming of ice, the value of QIM_{ii} used to compute ZIM_{ii} is formulated the same as for QIM_{si} and QIM_{gi} (see RRB) but there is no change in the ice mixing ratio [i.e., $QIM_{ii} = 0$ and does not appear in (A4)].

3) HOMOGENOUS FREEZING OF CLOUD DROPLETS (QFZ_{ci} , NFZ_{ci} , ZFZ_{ci})

Another source of ice crystals is the homogenous freezing of cloud droplets at temperatures below -30°C . DeMott et al. (1994) give the number of droplets ΔN_{freeze} that freeze in time Δt at a given temperature as

$$\Delta N_{\text{freeze}} = \int_0^\infty [1 - \exp(-JV\Delta t)] N_{Tc}(D) dD, \quad (29)$$

where

$$\log_{10}(J) = -606.3952 - 52.6611 T_c - 1.7439 T_c^2 - 2.65 \times 10^{-2} T_c^3 - 1.536 \times 10^{-4} T_c^4, \quad (30)$$

and V is the droplet volume. By substituting V with $\bar{V} = (\pi/6) D_{mc}^3$, the mean-droplet volume in (29), we obtain

$$f_{fr} = \frac{\Delta N_{\text{freeze}}}{N_{Tc}} = \left[1 - \exp\left(-J \frac{\pi}{6} D_{mc}^3 \Delta t\right) \right], \quad (31)$$

the fraction of the total cloud concentration that freezes in one time step. Hence

$$NFZ_{ci} = \frac{f_{fr} N_{Tc}}{\Delta t}, \quad (32)$$

$$QFZ_{ci} = \frac{f_{fr} q_c}{\Delta t}, \quad (33)$$

and the equation for ZFZ_{ci} follows the form of (B3). For $T_c > -30^\circ\text{C}$, $f_{fr} = 0$, while for $T_c < -50^\circ\text{C}$, $f_{fr} = 1$. For continental cloud condensation nuclei (CCN), this approach produces appreciable amounts of freezing at temperatures several degrees warmer than -40°C , which is sometimes used as a threshold temperature for homogeneous freezing (e.g., KY97; RRB).

f. Deposition/sublimation (QVD_{vi} , QVD_{us} , QVD_{vg} , QVD_{vh} , NVD_{vi} , NVD_{us} , NVD_{vg} , NVD_{vh} , ZVD_{vi} , ZVD_{us} , ZVD_{vg} , ZVD_{vh})

All frozen categories undergo deposition (sublimation) in an environment supersaturated (subsaturated) with respect to ice. The diffusional growth rate for frozen category $x \in [i, s, g, h]$ is calculated by

$$QVD_{ux} = \frac{1}{AB_i} \left[2\pi(S_i - 1)N_{0x} \text{VENT}_x - \frac{L_s L_f}{K_a R_v T^2} (QCL_{cx} + QCL_{rx}) \right], \quad (34)$$

where

$$AB_i = \frac{L_s^2}{K_a R_v T^2} + \frac{1}{\rho q_{is} \psi} \quad (35)$$

is the thermodynamic function and

$$\begin{aligned} \text{VENT}_x &= A_x \frac{\Gamma(2 + \alpha_x)}{\lambda_x^{2 + \alpha_x}} \\ &+ B_x S_c^{1/3} \left(\frac{\gamma a_x}{\nu} \right)^{1/2} \frac{\Gamma(2.5 + \alpha_x + 0.5b_x)}{\lambda_x^{2.5 + \alpha_x + 0.5b_x}} \end{aligned} \quad (36)$$

is the mass-weighted ventilation factor (F94). The second term on the right hand side of (34) represents the

decrease (increase) in the deposition (sublimation) rate due to the latent heating of the surface of the frozen particle due to accretion of liquid water. To prevent excessive supersaturation or subsaturation resulting from excessive diffusional growth in one time step (which is possible if the time step is large), a maximum diffusional growth rate $VD_{\max}$ is computed similar to that for condensation/evaporation (see KY97).

For particles undergoing sublimation, the number concentrations are reduced at the rate NVD_w given by (B1), following F94, but no change in the concentrations of frozen particles occurs during depositional growth. For both deposition and sublimation the reflectivity factor is changed by ZVD_w , which is of the form of (B3).

g. Freezing of rain

1) PROBABILISTIC FREEZING (QFZ_{rh} , NFZ_{rh} , Z_rFZ_{rh} , Z_hFZ_{rh})

When the ambient air temperature is below 0°C, rain can undergo spontaneous or probabilistic freezing to form small, frozen drops or hail embryos (e.g., Ziegler 1985, hereafter Z85). The rate of change of number concentration is given by Bigg (1953) as

$$NFZ_{rh} = -B'[\exp(A'T_c) - 1] \frac{\rho q_r}{\rho_w}, \quad (37)$$

and the rate of change in mass by

$$QFZ_{rh} = \left(\frac{q_r}{N_{Tr}} \right) NFZ_{rh}, \quad (38)$$

where $A' = 0.66 \text{ K}^{-1}$ and $B' = 100 \text{ m}^{-3} \text{ s}^{-1}$. The reflectivity change rates are given by Z_rFZ_{rh} , which is of the form of (B3), and Z_hFZ_{rh} , which is of the form of (B5).

2) COLLISIONAL (THREE-COMPONENT) FREEZING (NCL_{irg} , NCL_{irh} , NCL_{srs} , NCL_{srg} , NCL_{srh} , ZCL_{irg} , ZCL_{irh} , ZCL_{srs} , ZCL_{srg} , ZCL_{srh})

When $T < 0^\circ\text{C}$, rain drops also freeze when they come into contact with particles in a frozen category x . A simplification of F94's three-component freezing method is used. A new frozen category z is produced and the density of which (ρ_z) is calculated as

$$\pi/6(\rho_w D_{mr}^3 + \rho_x D_{mx}^3) = \pi/6(\rho_z D_{mz}^3), \quad (39)$$

where $x \in [i, s, g]$, the destination category $z \in [s, g, h]$, and $D_{mz} = \max(D_{mx}, D_{mr})$. We assume here that during contact, water is evenly distributed throughout the volume of the colliding frozen particle to increase its mass (and bulk density) but not its volume. The desti-

nation particle is then classified as belonging to the frozen category with the closest bulk density to ρ_z . It is classified as snow if $\rho_z \leq 0.5(\rho_s + \rho_g)$, as graupel if $0.5(\rho_s + \rho_g) < \rho_z \leq 0.5(\rho_g + \rho_h)$, and as hail if $\rho_z > (\rho_g + \rho_h)$.

The sink terms for the mixing ratios, number concentrations, and reflectivities of rain and category x are computed by the collection equations described above. The terms for rain collecting category x are QCL_{xr} , NCL_{xr} , and ZCL_{xr} . The terms for category x collecting rain are QCL_{rx} , NCL_{rx} , and ZCL_{rx} . The source term for the mixing ratio of the destination category z is the sum of the mixing ratio sink terms for the two colliding categories. Thus the delta function variable $\delta_{xrz} = 1$ for the destination category z , but is 0 for the other frozen categories. For example, if rain and snow collide to form graupel, then $\delta_{srg} = 1$ while $\delta_{srs} = \delta_{srh} = 0$ so the rate of change of the graupel mixing ratio is $(QCL_{rg} + QCL_{gr})$. The N_{Tz} tendency for the destination category is calculated using the mean-mass diameter D_{mz} . Thus,

$$NCL_{xrz} = \frac{\rho \delta_{xrz} (QCL_{xr} + QCL_{rx})}{(\pi/6)\rho_z \max(D_{mr}, D_{mx})^3}, \quad (40)$$

where ρ_z is the actual density of category z , not the density calculated from (39) used to determine the destination category. The Z_z tendency for the resulting category is given by

$$ZCL_{xrz} = G(\alpha_{zCL}) \left(\frac{\rho}{c_z} \right)^2 (QCL_{xr} + QCL_{rx})^2 NCL_{xrz}^{-1}, \quad (41)$$

where $\alpha_{zCL} = 0$ is the assumed α_z for the newly formed particles.

h. Conversion processes

1) ICE TO SNOW (QCN_{is} , N_iCN_{is} , N_sCN_{is} , Z_iCN_{is} , Z_sCN_{is})

Ice is converted to snow by growth of ice crystals from riming and deposition to the size of "embryo" snow particles and also by aggregation. Assuming $m_{s0} = 4.4 \times 10^{-10} \text{ kg}$ as the mass of an embryo snow particle, the total conversion rate is the sum of the conversion rates by deposition, riming, and aggregation (M90; RRB),

$$QCN_{is} = QCN_{is}^{\text{dep+rim}} + QCN_{is}^{\text{aggr}}. \quad (42)$$

The change in N_{Ts} is calculated with the assumption that all snow particles converted from ice have an initial mass m_{s0} , giving the equation for N_sCN_{is} of the form of (B2). The change in N_{Ti} due to conversion to snow by deposition and riming is computed as the negative rate

of change in N_{Ts} due to conversion from ice deposition and riming. For conversion by ice aggregation, the N_{Ti} tendency is given by M90,

$$\left. \frac{dN_{Ti}}{dt} \right|_{\text{aggr}} = -\frac{1}{2} K_i N_{Ti}, \quad (43)$$

where

$$K_i = \frac{\pi}{6} D_{mi}^2 V_i E_{ii} X_{\text{disp}}, \quad (44)$$

and D_{mi} is the mean ice crystal diameter, V_i is the fall velocity of ice crystals, E_{ii} is the (dry, temperature dependent) collection efficiency amongst ice crystals and X_{disp} is the dispersion of the fall velocity spectrum of the ice crystals (assumed for simplicity to be 0.25, following M90). The total N_{Ti} tendency due to conversion to snow is therefore

$$N_i \text{CN}_{is} = \left[\left(\frac{\rho}{m_{s0}} \right) Q \text{CN}_{is}^{\text{dep}+\text{rim}} + \frac{1}{2} K_i N_{Ti}^2 \right]. \quad (45)$$

The reflectivity changes are given by $Z_i \text{CN}_{is}$, which is of the form of (B3) with $x = i$, and $Z_s \text{CN}_{is}$, which is of the form of (B4) with $\alpha_{xAB} = \alpha_{sCN} = 0$ and with $x = s$.

2) ICE TO GRAUPEL ($Q \text{CN}_{ig}$, $N_i \text{CN}_{ig}$, $N_g \text{CN}_{ig}$, $Z_i \text{CN}_{ig}$, $I_g \text{CN}_{ig}$)

Ice is converted to graupel when the riming rate of ice crystals exceeds its depositional growth rate (following RRB). The tendency of ice mass converted to graupel is given by twice the difference between the tendency of growth by riming and that by deposition; that is

$$Q \text{CN}_{ig} = 2 \times \max[Q \text{CL}_{ci} - \max(Q \text{VD}_{vi}, 0), 0]. \quad (46)$$

The N_{Tg} tendency is calculated based on an assumed embryo graupel mass $m_{g0} = 1.6 \times 10^{-10}$ kg, giving the equation for $N_g \text{CN}_{ig}$ of the form of (B2), while N_{Ti} is reduced following M90 by $N_i \text{CN}_{ig}$, which is of the form of (B1). The reflectivity tendencies are given by $Z_i \text{CN}_{ig}$, which is of the form of (B3) with $x = i$, and $Z_g \text{CN}_{ig}$, which is of the form of (B4) with $\alpha_{xAB} = \alpha_{gCN} = 0$ and with $x = g$.

3) SNOW TO GRAUPEL ($Q \text{CN}_{sg}$, $N \text{CN}_{sg}$, $Z_s \text{CN}_{sg}$, $Z_g \text{CN}_{sg}$)

Snow is converted to graupel by riming. The snow-to-graupel conversion rate is given by the sum of the riming rate (collection of cloud water by snow) and the rate at which a portion of snow is converted to graupel in unit time following M90. Conversion occurs if the

riming rate $Q \text{CL}_{cs}$ exceeds the depositional growth rate $Q \text{VD}_{us}$. It is assumed that the change in snow particle size due to riming is negligibly small. The conversion rate is

$$Q \text{CN}_{sg} = Q \text{CL}_{cs} \frac{\rho_g}{\rho_g - \rho_s}. \quad (47)$$

The concentration tendency $N \text{CN}_{sg}$ is given by (B2) assuming that newly converted graupel particles are embryos with mass m_{g0} (RRB). This is a source for N_{Tg} and a sink for N_{Ts} . The reflectivity tendencies are given by $Z_s \text{CN}_{sg}$, which is of the form of (B3) with $x = s$, and $Z_g \text{CN}_{sg}$, which is of the form of (B5) with $x = g$.

4) GRAUPEL TO HAIL ($Q \text{CN}_{gh}$, $N \text{CN}_{gh}$, $Z_g \text{CN}_{gh}$, $Z_h \text{CN}_{gh}$)

When a frozen particle growing by accreting liquid water first reaches the SLL, it is termed a hailstone (Young 1993). The conversion of graupel to hail is parameterized with the premise that a graupel particle growing by accretion becomes redefined as hail the moment it first reaches the SLL. The following exponential function approximates the size of a particle at the SLL, or the embryo diameter of a hailstone, as a function of the environmental temperature and water and ice contents (Z85)

$$D_{h0} = 0.01 \langle \exp\{-T_c/[1.1 \times 10^4(q_c + q_r) - 1.3 \times 10^3 q_i + 1 \times 10^{-3}]\} - 1 \rangle. \quad (48)$$

For a spectrum of graupel particles, all particles smaller than D_{h0} will continue to undergo dry growth while all particles larger than D_{h0} undergo wet growth and convert to hail. The conversion rate is thus parameterized by

$$Q \text{CN}_{gh} = \frac{D_{mg}}{2D_{h0}} (Q \text{CL}_{cg} + Q \text{CL}_{rg} + Q \text{CL}_{ig}). \quad (49)$$

However, (49) needs to be modified as D_{h0} can be much smaller than the mean graupel diameter when, for example, the ambient temperature is relatively warm and/or the LWC is relatively high. To prevent the situation that the total mass that is converted to hail becomes larger than the total graupel mass plus the water and ice mass that the graupel accretes, the following limit is placed on the conversion rate:

$$Q \text{CN}_{gh} = \min[Q \text{CN}_{gh}, q_g + (Q \text{CL}_{cg} + Q \text{CL}_{rg} + Q \text{CL}_{ig})]. \quad (50)$$

When the ambient temperature is relatively cold and/or the LWC is low, D_{h0} is very large and wet growth of graupel and conversion to hail are not expected. A lower limit of 0.1 is therefore placed on the ratio D_{mg}/D_{h0} below which the conversion rate is set to zero. This value was found appropriate in preventing graupel from converting to hail when wet growth is not expected.

The rate of change in number concentrations due to conversion of graupel to hail is calculated from (B2) with $D_{x0} = D_{h0}^3$. The N_{Tg} tendency is set to the negative of the N_{Th} tendency. The Z_x tendencies are given by $Z_g CN_{gh}$, which is of the form of (B3) with $x = g$, and $Z_h CN_{gh}$, which is of the form of (B5) with $x = h$.

Melting of frozen particles (QML_{ir} , QML_{sr} , QML_{gr} , QML_{hr} , NML_{ir} , NML_{sr} , NML_{gr} , NML_{hr} , $Z_i ML_{ir}$, $Z_r ML_{ir}$, $Z_s ML_{sr}$, $Z_r ML_{sr}$, $Z_g ML_{gr}$, $Z_r ML_{gr}$, $Z_h ML_{hr}$, $Z_r ML_{hr}$)

Ice melts instantaneously to rain upon falling into warm ($T > 0^\circ\text{C}$) air. Thus,

$$QML_{ir} = \frac{q_i}{2\Delta t} \quad (51)$$

and

$$NML_{ir} = \frac{N_{Ti}}{2\Delta t}. \quad (52)$$

For snow, graupel, and hail, the melting rate is based on a heat balance with cooling by melting offset by heating from conduction and convection at the particle surface, latent heat of condensation/evaporation of water to/from the particle surface, and sensible heating from the collected cloud and rainwater (Wisner et al. 1972; LFO). The q_x and N_{Tx} tendencies are

$$QML_{xr} = \frac{2\pi}{L_f \rho} N_{0x} (K_a T_c - L_v \psi \rho \Delta q_s) \text{VENT}_x + \frac{C_w T_c}{L_f} (QCL_{cx} + QCL_{rx}), \quad (53)$$

where VENT_x is the mass-weighted ventilation factor, and NML_{xr} , given by (B1). For all frozen categories, the Z_x tendencies are given by $Z_x ML_{xr}$, which is of the form of (B3), and $Z_y ML_{xr}$, which is of the form of (B5) with the x and y indices reversed.

5. Results in a 1D kinematic model

For testing and demonstration, the three main versions of the scheme—the one-moment version (SM),

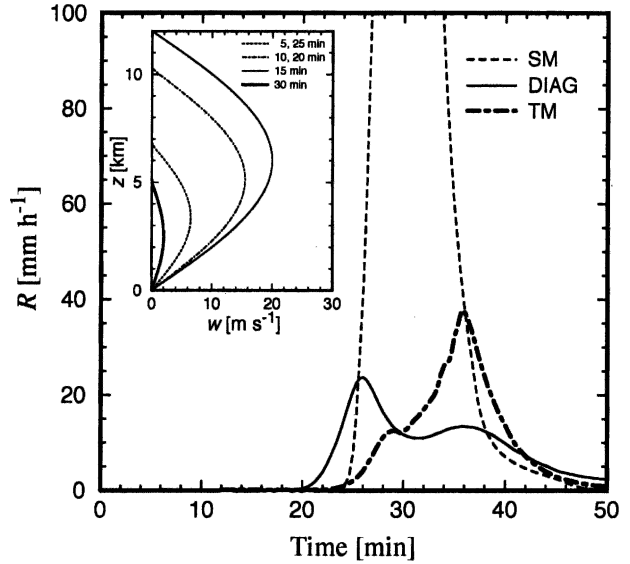


FIG. 1. Total surface precipitation rates vs time for idealized simulations with a 1D kinematic column model using the one-moment (heavy dashed), two-moment (solid), and three-moment (heavy dot-dashed) versions of the microphysics scheme. (The peak precipitation rate for the one-moment simulation is 340 mm h^{-1} .) Inset shows the prescribed updraft profiles every 5 min.

the two-moment with the diagnosed- α_x (DIAG), and the three-moment (TM) versions—have been interfaced with a 1D kinematic column model and used to simulate an idealized hailstorm. The model was initialized using a conditionally unstable sounding (not shown) to provide the initial temperature and water vapor mixing ratio at each level in a 12-km vertical domain. The 0°C isotherm is at approximately 3.5 km in the initial sounding. A time-dependent, kinematic updraft (shown in the inset of Fig. 1) is prescribed, growing sinusoidally from an initial peak value of 2 m s^{-1} to a maximum of 20 m s^{-1} over 15 min and then decreasing to zero. At each time step, all quantities are advected upward and the microphysics scheme is invoked, computing changes to the various hydrometeor moments, the temperature, the water vapor content (as described in section 4 and appendix A) and also sedimentation (as described in the appendix of Part I). To incorporate a constant low-level moisture supply into the domain, the water vapor mixing ratio in the lowest 1 km is not permitted to decrease below half of its initial value. The column model uses a two-time-level semi-Lagrangian advection scheme and the following simulations were performed with a vertical grid spacing of 240 m and a time step of 20 s.

The time series for the total surface precipitation rates for the three simulations during the first 50 min are shown in Fig. 1. Figure 2 depicts instantaneous pro-

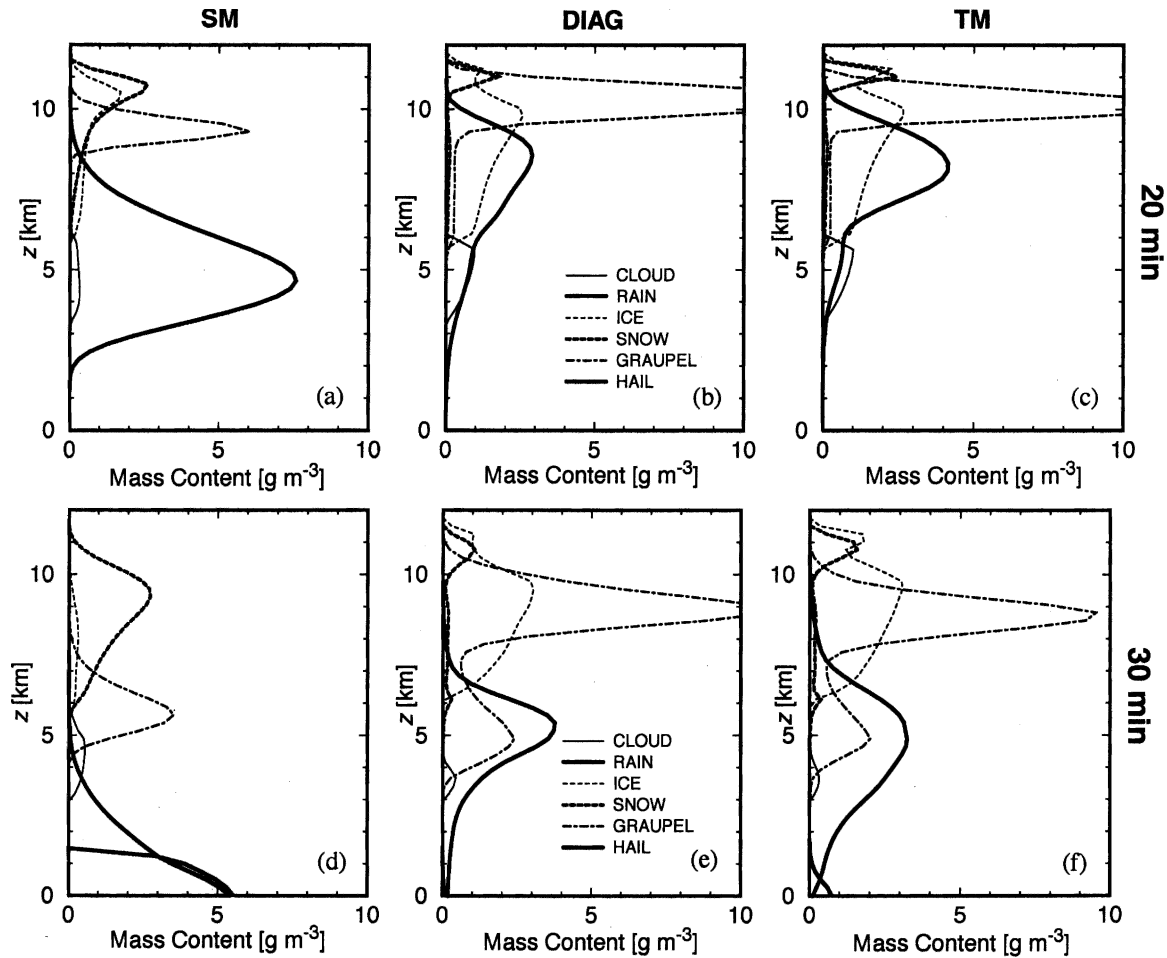


FIG. 2. Profiles of the mass contents of cloud (solid), rain (thick gray), ice (dashed), snow (thick dashed), graupel (dot-dashed), and hail (thick solid) for idealized 1D kinematic column model simulations using the (a), (d) one-moment, (b), (e) two-moment (with diagnosed α_v), and (c), (f) three-moment versions of the proposed microphysics scheme at (top) 20 and (bottom) 30 min.

files of the mass concentrations of each hydrometeor at 20 and 30 min for each run. The surface precipitation shown in all of these simulations comes from a combination of hail and rain (from melted hail) as can be seen in Figs. 2d,e,f (at times later than 50 min other particle types eventually precipitate out as well). The peak precipitation rate in SM is much larger than that of DIAG and TM, with values of 340 mm h^{-1} compared to 23 and 38 mm h^{-1} , respectively. This is largely related to the fact that the bulk hail fall velocity, V_{Qh} , in SM is monotonically related to the hail mass content, Q_h , and an unrealistically large accumulation zone results. At 20 min, the peak updraft velocity is approximately 16 m s^{-1} (Fig. 1 inset). With the constant supply of low-level water vapor, there is continuous condensation to cloud water that is then quickly accreted by hail. Figure 2a shows that the hail mass content is ~ 5 to 7.5 g m^{-3} between 4 and 6 km in the vertical. The bulk fall ve-

locity of hail turns out to be approximately $14\text{--}18 \text{ m s}^{-1}$, which closely matches the updraft speed. As the updraft decreases in strength, the large quantity of hail sediments resulting very quickly in a sudden spike of very large precipitation rates at the surface. This is not the case in DIAG and TM, where N_{Th} and V_{Qh} are not monotonically related to Q_h and where the size-sorting mechanism allows hail with high number concentrations, and hence relatively lower bulk fall velocities, to be advected aloft and away from the region of high LWC (Figs. 2b,c). On the other hand, hail with lower number concentrations and higher bulk fall velocities is able to fall through the updraft. Overall, it can be observed that the general prediction of the hydrometeor mass distributions between the two-moment and three-moment versions of the scheme are quite similar, though differences in the timing and characteristics of the surface precipitation are apparent. In contrast, the

results for the single-moment version of the scheme are considerably different.

6. Conclusions

A three-moment closure formulation for bulk microphysics parameterizations has been proposed. By introducing a tendency equation for a third moment, the radar reflectivity, as a function of the tendencies of the other two predicted moments for each microphysical process, the spectral shape parameter, α , of the gamma size distribution becomes an independent prognostic variable. In view of the advantages of the variable α approaches, discussed in Part I, a new multimoment bulk scheme has been developed. The two-moment version of the scheme predicts the zeroth and the third moments of the size spectrum of each hydrometeor category and uses the diagnostic equations for the shape parameter, based on the mean-particle size, introduced in Part I. The three-moment version also predicts α by an additional prognostic equation for radar reflectivity.

It was shown in Part I that the two-moment approach is superior to the one-moment approach, the diagnosed- α two-moment method is an improvement over the fixed- α method, and the fully prognosed- α (three-moment) technique is the best. The 1D kinematic model simulations presented in this paper show that while the two-moment (diagnosed α) version of the new scheme does a much better job at reproducing the results of the full three-moment version than the one-moment version, there are still notable differences in certain aspects such as the surface precipitation rate. Thus, there appears to be a gain in skill for the prognosed- α over the diagnosed- α approach.

Note, however, that additional computational costs are involved with both of these variable- α methods. For the diagnostic- α compared to the fixed- α two-moment approach, although no additional prognostic variable needs to be advected, many of the growth equations contain expressions involving the gamma function with α_x as an argument (see section 4); these expressions must be computed at each time step and grid point if α_x is a variable, whereas they may be precomputed and hardwired if α_x is a constant. Thus the diagnostic- α two-moment approach involves additional computational

cost compared to the fixed- α approach, even though all of the parametric equations are identical.

Since sedimentation and the source/sink terms feed back onto one another and can significantly affect the evolution of the microphysics and dynamics of a modeled storm system, detailed examination of the overall affects of multiple-moment bulk schemes should be carried out in the context of a full 3D dynamical model. Further examination of the validity of the proposed diagnostic relations for the shape parameter, and possible improvements to these equations, should also be done in that context since processes other than sedimentation will act to change the shape parameter in a three-moment scheme. The new microphysics scheme has been implemented into the Canadian Mesoscale Compressible Community (MC2) model (Benoit et al. 1997) and applied to successfully simulate a severe hailstorm using a horizontal grid spacing of 1 km. Future papers in this series (Milbrandt and Yau 2005b,c, manuscripts submitted to *J. Atmos. Sci.*) will present the results of the control simulation, using the full three-moment approach, along with sensitivity experiments using the various versions of the microphysics scheme.

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APPENDIX A

Source and Sink Terms

The microphysical source/sink terms of the continuity equations of the proposed scheme are listed below. The following equations are for the full three-moment version of the scheme. For the two-moment version, (A14)–(A18) are not used, nor are any equations for tendencies of Z_x . For the one-moment version, (A8)–(A18) are not used, nor are any equations for the tendencies of N_{Tx} and Z_x .

The tendencies for the mass mixing ratios are

$$\left. \frac{dq_v}{dt} \right|_S = -QVD_{uc} - QVD_{vr} - QNU_{vi} - QVD_{vi} - QVD_{us} - QVD_{vg} - QVD_{vh} \quad (\text{A1})$$

$$\left. \frac{dq_c}{dt} \right|_S = QVD_{uc} - QCN_{cr} - QCL_{cr} - QFZ_{ci} - QCL_{ci} - QCL_{cs} - QCL_{cg} - QCL_{ch} \quad (\text{A2})$$

$$\left. \frac{dq_r}{dt} \right|_s = \begin{cases} QCN_{cr} + QCL_{cr} + QVD_{vr} + QML_{ir} + QML_{sr} + QML_{gr} + QML_{hr} - QCL_{ri} \\ - QCL_{rs} - QCL_{rg} - QCL_{rh} - QFZ_{rh} \end{cases} \quad (A3)$$

$$\left. \frac{dq_i}{dt} \right|_s = \begin{cases} QNU_{vi} + QFZ_{ci} + QVD_{vi} + QIM_{si} + QIM_{gi} + QCL_{ci} - QCL_{ir} - QCL_{is} - QCL_{ig} \\ - QCL_{ih} - QCN_{is} - QCN_{ig} - QML_{ir} \end{cases} \quad (A4)$$

$$\left. \frac{dq_s}{dt} \right|_s = \begin{cases} \delta_{srs} (QCL_{rs} + QCL_{sr}) + QCN_{is} + QVD_{us} + QCL_{cs} + QCL_{is} - QCN_{sg} - QCL_{sr} \\ - QCL_{sh} - QIM_{si} - QML_{sr} \end{cases} \quad (A5)$$

$$\left. \frac{dq_g}{dt} \right|_s = \begin{cases} \delta_{irg} (QCL_{ir} + QCL_{ri}) + \delta_{srg} (QCL_{sr} + QCL_{rs}) + \delta_{grg} (QCL_{gr} + QCL_{rg}) + QCN_{ig} \\ + QCN_{sg} + QCL_{cg} + QCL_{ig} - QCL_{gr} + QVD_{vg} - QCN_{gh} - QML_{gr} - QIM_{gi} \end{cases} \quad (A6)$$

$$\left. \frac{dq_h}{dt} \right|_s = \begin{cases} \delta_{irh} (QCL_{ir} + QCL_{ri}) + \delta_{srh} (QCL_{sr} + QCL_{rs}) + \delta_{grh} (QCL_{gr} + QCL_{rg}) \\ + QFZ_{rh} + QCN_{gh} + QCL_{ch} + QCL_{rh} + QCL_{ih} + QCL_{sh} + QVD_{vh} - QML_{hr} \end{cases} \quad (A7)$$

The tendencies for the total number concentrations are

$$\left. \frac{dN_{Tc}}{dt} \right|_s = NNU_{vc} - N_c CN_{cr} - NCL_{cr} - NVD_{vc} - NCL_{ci} - NCL_{cs} - NCL_{cg} - NCL_{ch} - NFZ_{ci} \quad (A8)$$

$$\left. \frac{dN_{Tr}}{dt} \right|_s = \begin{cases} N_r CN_{cr} - NCL_{rr} - NVD_{vr} - NCL_{ri} - NCL_{rs} - NCL_{rg} - NCL_{rh} - NFZ_{rh} \\ + NML_{ir} + NML_{sr} + NML_{gr} + NML_{hr} + NSH_{hr} \end{cases} \quad (A9)$$

$$\left. \frac{dN_{Ti}}{dt} \right|_s = \begin{cases} NNU_{vi} + NFZ_{ci} + NIM_{ii} + NIM_{si} + NIM_{gi} - NCL_{ir} - NCL_{is} - NCL_{ig} - NCL_{ih} \\ - N_i CN_{ig} - N_i CN_{is} + NVD_{vi} - NML_{ir} \end{cases} \quad (A10)$$

$$\left. \frac{dN_{Ts}}{dt} \right|_s = N_s CN_{is} + NVD_{us} - NCN_{sg} - NML_{sr} + NCL_{ss} - NCL_{sr} - NCL_{sh} + NCL_{srs} \quad (A11)$$

$$\left. \frac{dN_{Tg}}{dt} \right|_s = \begin{cases} N_g CN_{ig} + NCN_{sg} + NCL_{irg} + NCL_{srg} + NCL_{grg} - NCL_{gr} - NCN_{gh} \\ + NVD_{vg} - NML_{gr} \end{cases} \quad (A12)$$

$$\left. \frac{dN_{Th}}{dt} \right|_s = NFZ_{rh} + NCN_{gh} + NCL_{irh} + NCL_{srh} + NCL_{grh} + NVD_{vh} - NML_{hr}. \quad (A13)$$

The tendencies for the radar reflectivity factors are

$$\left. \frac{dZ_r}{dt} \right|_s = \begin{cases} ZCN_{cr} + ZCL_{cr} + ZCL_{rr} + ZVD_{vr} + Z_r ML_{ir} + Z_r ML_{sr} + Z_r ML_{gr} + ZML_{hr} \\ - ZCL_{ri} - ZCL_{rs} - ZCL_{rg} - Z_r CL_{rh} - Z_r FZ_{rh} \end{cases} \quad (A14)$$

$$\left. \frac{dZ_i}{dt} \right|_s = \begin{cases} ZNU_{vi} + ZFZ_{ci} + ZIM_{ii} + ZIM_{si} + ZIM_{gi} + ZCL_{ci} + ZVD_{vi} - Z_i CN_{is} \\ - Z_i CN_{ig} - Z_i ML_{ir} - ZCL_{ir} - Z_i CL_{is} - Z_i CL_{ig} - Z_i CL_{ih} \end{cases} \quad (A15)$$

$$\left. \frac{dZ_s}{dt} \right|_s = \begin{cases} ZCL_{cs} + Z_s CL_{is} + ZVD_{us} - ZIM_{si} - Z_s ML_{sr} + Z_s CN_{is} - Z_s CN_{sg} - ZCL_{sr} \\ - Z_s CL_{sh} + ZCL_{ss} + Z_s CL_{srs} \end{cases} \quad (A16)$$

$$\left. \frac{dZ_g}{dt} \right|_s = \begin{cases} ZCL_{cg} + Z_g CL_{ig} + ZVD_{vg} - ZIM_{gi} - Z_g ML_{gr} - Z_g CN_{gh} + Z_g CN_{ig} + Z_g CN_{sg} \\ - ZCL_{gr} + ZCL_{irg} + ZCL_{srg} + ZCL_{grg} \end{cases} \quad (A17)$$

$$\left. \frac{dZ_h}{dt} \right|_s = \begin{cases} ZCL_{ch} + Z_h CL_{rh} + Z_h CL_{ih} + Z_h CL_{sh} + ZVD_{vh} - Z_h ML_{hr} + Z_h CN_{gh} + Z_h FZ_{rh} \\ + ZCL_{grh} + ZCL_{irh} + ZCL_{srh} \end{cases}. \quad (A18)$$

The temperature change equation is

$$\left. \frac{dT}{dt} \right|_s = \left\{ L_f \left(QCL_{ci} + QCL_{cs} + QCL_{cg} + QCL_{ch} + QCL_{ri} + QCL_{rs} + QCL_{rg} + QCL_{rh} + QFZ_{ci} + QFZ_{rh} - QML_{ir} - QML_{sr} - QML_{gr} - QML_{hr} \right) + L_s(QNU_{vi} + QVD_{vi} + QVD_{us} + QVD_{vg} + QVD_{vh}) + L_v(QVD_{uc} + QVD_{ur}) \right\}. \quad (A19)$$

APPENDIX B

General Forms of the Tendency Equations for N_{Tx} and Z_x

Many of the tendency equations for N_{Tx} can be described by one of the two following general equations, using the notation for the source/sink terms described in section 4 for hydrometeor category x interacting with category y through process AB. The first type of equation,

$$NAB_{yx} = \left(\frac{N_{Tx}}{q_x} \right) QAB_{yx}, \quad (B1)$$

is based on the assumption that the mean particle mass does not change due to process AB. The second type of equation,

$$NAB_{yx} = \left(\frac{\rho}{m_{x0}} \right) QAB_{yx}, \quad (B2)$$

assumes that particles of mass m_{x0} are being initiated

into category x . In some cases, the initial particle mass is specified with a prescribed initial size D_{x0} , where $m_{x0} = c_x D_{x0}^{d_x}$ in (B2).

The three types of tendency equations for Z_x are given by (2), (4), and (5). Using the notation in section 4, the equations can be expressed as

$$ZAB_{yx} = \frac{G(\alpha_x)}{c_x^2} \rho^2 \left[2 \frac{q_x}{N_{Tx}} QAB_{yx} - \left(\frac{q_x}{N_{Tx}} \right)^2 NAB_{yx} \right], \quad (B3)$$

$$ZAB_{yx} = G(\alpha_{xAB}) \left(\frac{\rho}{c_x} \right)^2 \frac{(QAB_{yx})^2}{NAB_{yx}}, \quad (B4)$$

respectively, and

$$Z_x AB_{xy} = \left(\frac{c_y}{c_x} \right)^2 Z_y AB_{yx}. \quad (B5)$$

Note that the negative sign that appears in (5) has been dropped in (B5); the signs are applied appropriately in (A14)–(A18).

APPENDIX C

List of Symbols

Symbol	Description	Value	Units
a_x	Fall speed parameter for category x		$m^{1-b_x} s^{-1}$
A_x	Ventilation factor for category x	0.78	
AB_i	Thermodynamic function for deposition		$m^{-2} s$
AB_w	Thermodynamic function for rain evaporation		$m^{-2} s$
A'	Parameter in Bigg freezing equation	0.66	K^{-1}
b_x	Fall speed parameter for category x		
B'	Parameter in Bigg freezing equation	100	$m^{-3} s^{-1}$
B_x	Ventilation factor for category x	0.31	
c_i	Mass parameter for ice	440	$kg m^{-3}$
c_x	Mass parameter for x [$\rho_x(\pi/6)$ for $x \in (c, r, s, g, h)$]		$kg m^{-3}$
C	Cloud nucleation parameter		m^{-3}
C_p	Specific heat of dry air	1005.46	$J K^{-1} kg^{-1}$
C_i	Specific heat of ice	2093	$J kg^{-1} K^{-1}$
C_w	Specific heat of liquid water	4218	$J kg^{-1} K^{-1}$
d_x	Mass parameter for category x	3	
D_{h0}	Diameter of embryo hailstone		m
D_{oh1}	Parameter in diagnostic relation for α_h	0.009	m
D_{oh2}	Parameter in diagnostic relation for α_h	0.001	m
D_{mx}	Mean-mass diameter of category x		m
D_{mrMAX}	Maximum allowable raindrop diameter	0.005	m
D_{r_new}	Diameter of drops formed from autoconversion (computed)		m
D_{r_aut}	Diameter of drops formed from autoconversion [applied; $\min(D_r, D_{r_new})$]		m
D_{shed}	Mean diameter of drops during wet growth	0.001	m

List of Symbols—Continued

Symbol	Description	Value	Units
D_x	Diameter of a particle of category x		m
E_{xy}	Bulk collection efficiency amongst categories x and y		
$E(x, y)$	Collection efficiency amongst particles x and y		
f_{fr}	Fraction of cloud droplets that freeze in Δt		
f_x	Fall velocity parameter for category x		m^{-1}
$f(D)$	Ventilation factor for a particle of diameter D		
F	Hypergeometric function		
$G(T, p)$	Thermodynamic function for diffusion growth		$\text{m}^2 \text{s}^{-1}$
K_a	Thermal conductivity of air		$\text{J m}^{-1} \text{s}^{-1} \text{K}^{-1}$
$K(x, y)$	Collection kernel for drops of mass x and y		
L	Characteristic water content for autoconversion		kg m^{-3}
L_f	Latent heat of fusion	334×10^3	J kg^{-1}
L_s	Latent heat of sublimation	283.5×10^4	J kg^{-1}
L_v	Latent heat of condensation	250.1×10^4	J kg^{-1}
$m_x(D_x)$	Mass of a particle with diameter D_x		kg
m_i	Mass of an ice crystal		kg
m_{i0}	Mass of an embryo ice crystal	10^{-12}	kg
m_{g0}	Mass of an embryo graupel particle	1.6×10^{-10}	kg
m_{so}	Mass of an embryo snow particle	4.4×10^{-10}	kg
$\bar{m}_x$	Mass of an ice crystal		kg
$M_x(p)$	p th moment of $N_x(D)$ of category x		
N_a	Concentration of active contact nuclei (for ice)		m^{-3}
N_{CCN}	Concentration of activated CCN		m^{-3}
N_{Tx}	Total number concentration of category x		m^{-3}
$N_x(D)$	Size distribution function of category x		$\text{m}^{-\text{TM}-1}$
N_{0x}	Intercept parameter for category x		$\text{m}^{-(4+\alpha x)}$
N_{ti}	Ice concentration given by (24)		m^{-3}
q_v	Water vapor mixing ratio		kg kg^{-1}
q_{s0}	Saturation mixing ratio w.r.t. water at $T = 0^\circ\text{C}$		kg kg^{-1}
q_{si}	Saturation vapor mixing ratio w.r.t. ice (at $\tau-1, *$)		kg kg^{-1}
q_s	Saturation mixing ratio at temperature T (at $*$)		kg kg^{-1}
q_v	Mixing ratio of water vapor		kg kg^{-1}
q_{v_max}	Max mixing ratio of water vapor during nucleation		kg kg^{-1}
q_x	Mixing ratio of category x		kg kg^{-1}
Q_x	Mass content of category x		kg m^{-3}
Δq_s	$q_{s0} - q_v$		kg kg^{-1}
r_i	Mean ice crystal radius		m
r_{s0}	Radius of embryo snow particle	1×10^{-4}	m
R_d	Gas constant for dry air	287.05×10^3	$\text{J K}^{-1} \text{kg}^{-1}$
R_e	Reynolds number		
R_v	Gas constant for water vapor	461.51×10^3	$\text{J K}^{-1} \text{kg}^{-1}$
s	Supersaturation w.r.t. water ($q/q_s - 1$)		
S_c	Schmidt number ($S_c = \nu/\psi$)		
S_i	Saturation ratio w.r.t. ice		
S_{i_max}	Max saturation ratio w.r.t. ice (q_{v_max}/q_{si}) during nucleation		
S_w	Saturation ratio w.r.t. water		
s_{v_max}	Max supersaturation w.r.t water during nucleation		
T	Temperature of air		K
T_c	Temperature of air in Celsius		$^\circ\text{C}$
T_{cc}	Temperature of the cloud droplet		K
T_0	Triple point of water	273.15	K
$\mathbf{U}$	Three-dimensional velocity vector		m s^{-1}
$\bar{V}$	Mean-droplet volume		m^3
V_c	Volume of a cloud droplet		m^3
$V_x(D_x)$	Fall velocity for particle of category x of size D_x		m s^{-1}
V_{Nx}	Concentration-weighted fall velocity of category x		m s^{-1}
V_{Qx}	Mass-weighted fall velocity of category x		m s^{-1}
V_{Zx}	Reflectivity-weighted fall velocity of category x		m s^{-1}
VENT_x	Mass-weighted ventilation factor for category x		$\text{m s}^{-2+\alpha x}$
w	Vertical air velocity		m s^{-1}

List of Symbols—Continued

Symbol	Description	Value	Units
X	Maximum allowable condensation/evaporation		kg kg^{-1}
X_{disp}	Dispersion of the fall velocity spectrum of ice	0.25	
Z_x	Radar reflectivity factor of category x		m^3
Z_{ex}	Equivalent radar reflectivity factor of category x		m^3
ΔN_{freeze}	Number of cloud droplets that freeze in Δt		
α_{IM}	Value of α_i of new ice crystals from ice multiplication	0	
α_{INU}	Value of α_i of new ice crystals from nucleation	0	
α_{rAUT}	Value of α_r of new raindrops from autoconversion	2	
α_{rSH}	Value of α_r of new raindrops from shedding	2	
α_{zCL}	Value of α_z for destination category for three-component freezing	0	
α_c	Shape parameter for cloud	1	
α_x	Shape parameter for category $x \in (r, i, s, g, h)$		
δ_{xyz}	Delta function for the three-component freezing between x and y to produce z		0 or 1
$\Gamma(x)$	Complete gamma function		
λ_x	Slope parameter for frozen category x		m^{-1}
γ	Density correction factor for fall velocity, $(\rho/\rho_0)^{1/2}$		
ρ	Density of air		kg m^{-3}
ρ_0	Surface air density		kg m^{-3}
ρ_w	Density of water	1000	kg m^{-3}
ρ_x	Bulk density of category x		kg m^{-3}
σ_c	Standard deviation of the cloud size distribution		m
τ	Characteristic time scale for autoconversion		s
λ_x	Slope parameter of category x		m^{-1}
μ	Dynamic viscosity of air		$\text{kg m}^{-1} \text{s}^{-1}$
ν_c	Shape parameter for cloud	3	
ν_x	Shape parameter for category $x \in (r, i, s, g, h)$	1	
ν_{kin}	Kinematic viscosity coefficient		$\text{m}^2 \text{s}^{-1}$
ψ	Diffusivity of water vapor in air		$\text{m}^2 \text{s}^{-1}$
Δt	Time step		s

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