



Environment and  
Climate Change Canada

Environnement et  
Changement climatique Canada

# Overview of the P3 Microphysics Scheme

## Applications to Research and Operational NWP

Jason Milbrandt, ECCC  
and  
Hugh Morrison, NCAR



Environment and Climate Change Canada's 50<sup>th</sup> anniversary  
50<sup>e</sup> anniversaire d'Environnement et Changement climatique Canada

Meteorological Service of Canada's 150<sup>th</sup> anniversary  
150<sup>e</sup> anniversaire du Service météorologique du Canada

**WRF-MPAS 2021 Workshop**  
June 7-10, 2021 (virtual)



Canada 

## OUTLINE of PRESENTATION:

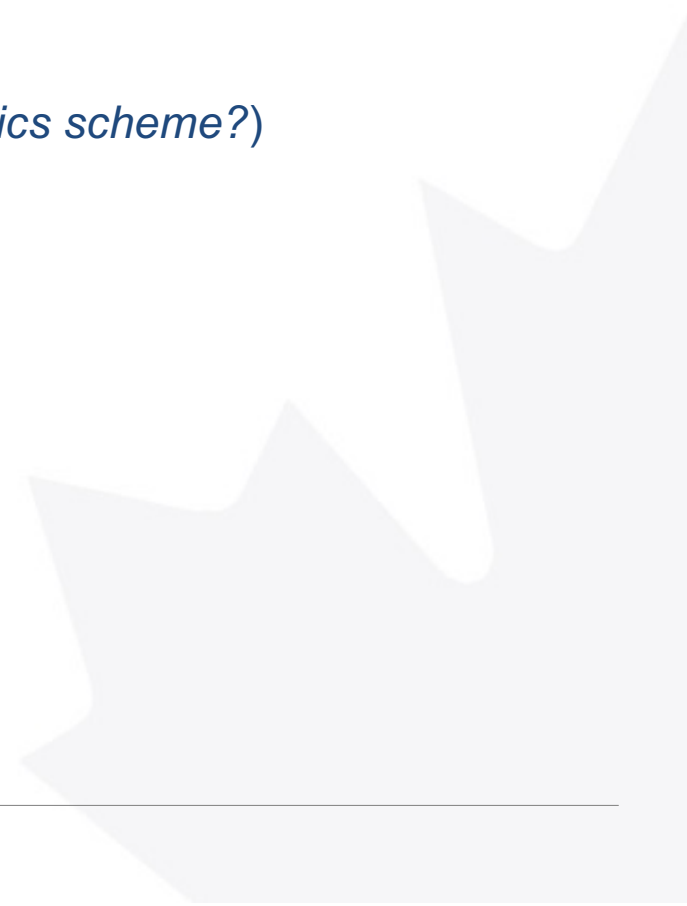
1. Overview of P3 scheme

Motivation (*Why build a new microphysics scheme?*)

Description

2. Recent developments

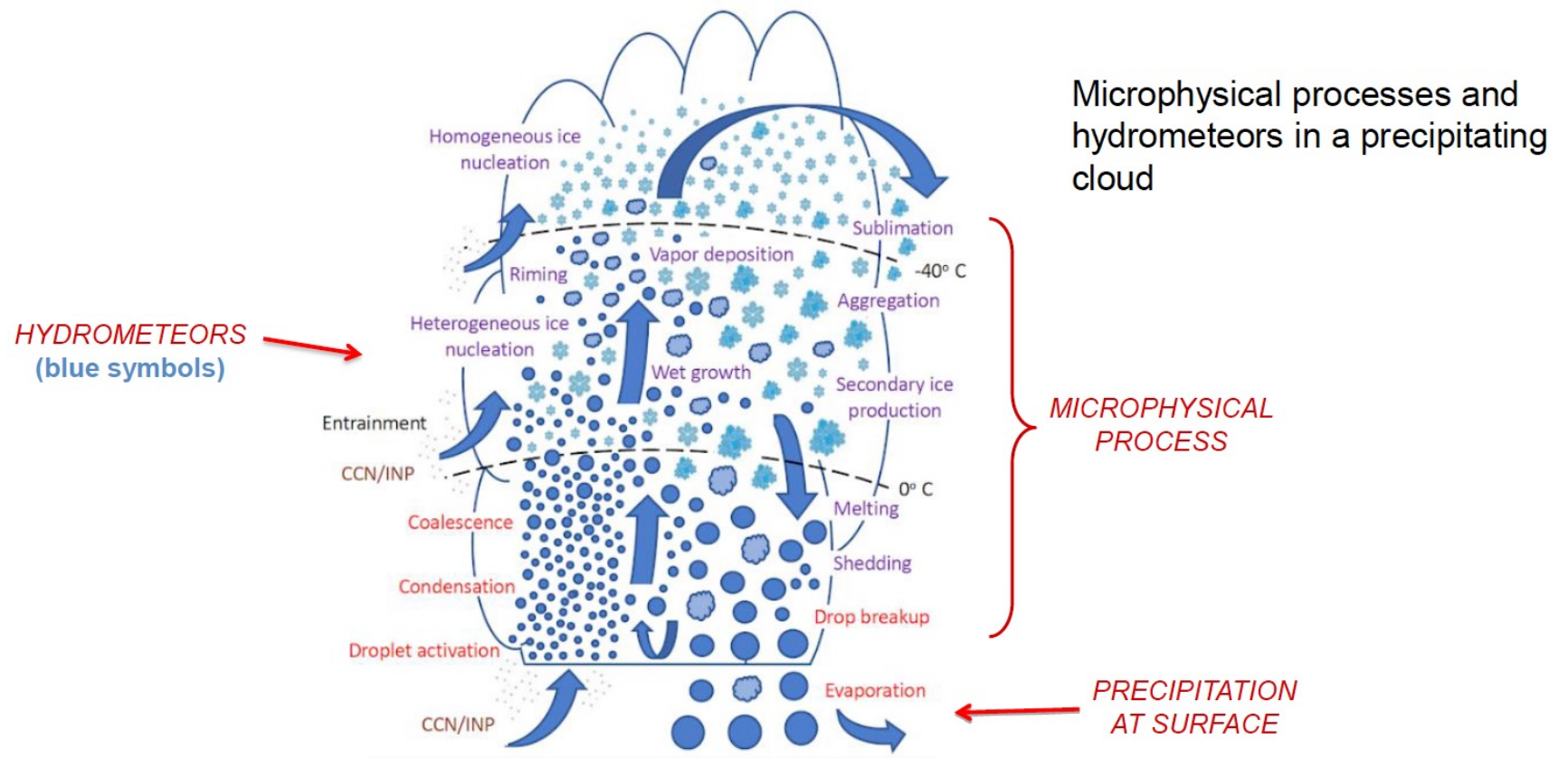
3. Applications



## BACKGROUND:

## Parameterizing cloud microphysics

In atmospheric models, **condensation schemes** parameterize the bulk effects of a complex set of **cloud microphysical** and **precipitation** processes

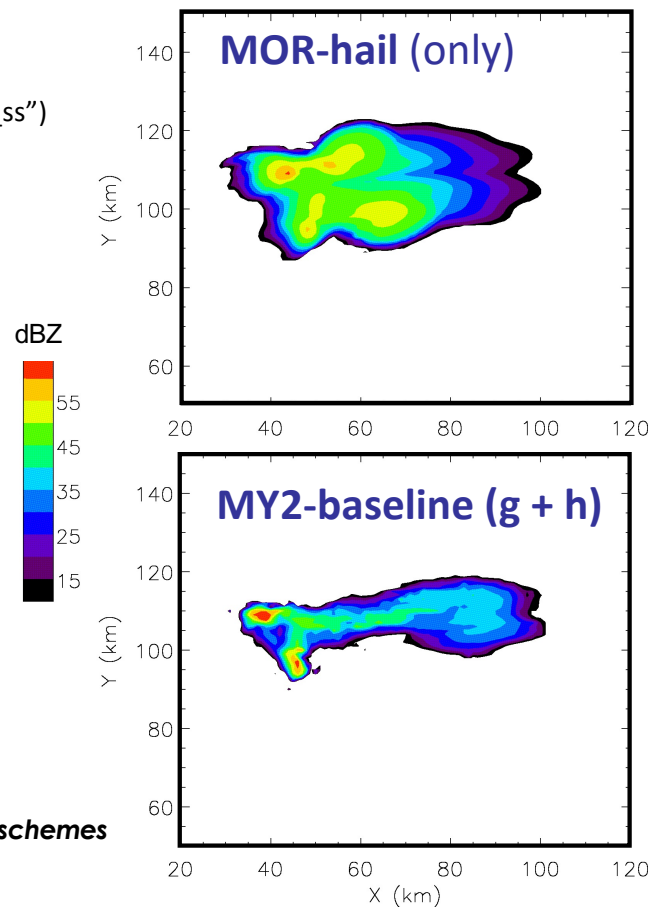


Morrison et al. (2020), JAMES

## BACKGROUND:

## Comparison of detailed 2-moment schemes in WRF

- idealized 1-km supercell simulations (WRF's "em\_quarter\_ss")
- base reflectivity



?

### Microphysics Schemes:

**MOR:** Morrison et al. (2005, 2009)

**MY2:** Milbrandt and Yau (2005a,b)

**Similar 2-moment, multi-category bulk schemes**



## BACKGROUND:

## Comparison of detailed 2-moment schemes in WRF

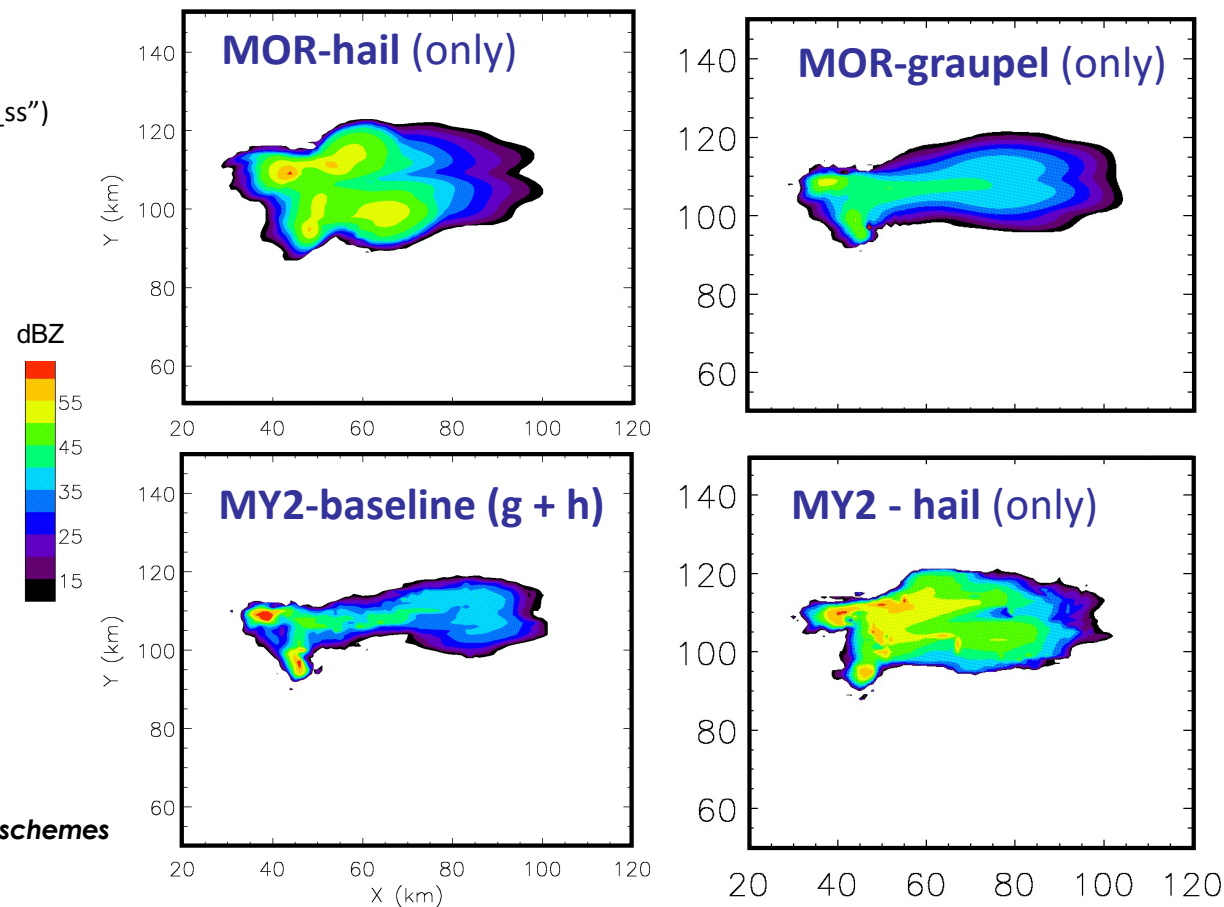
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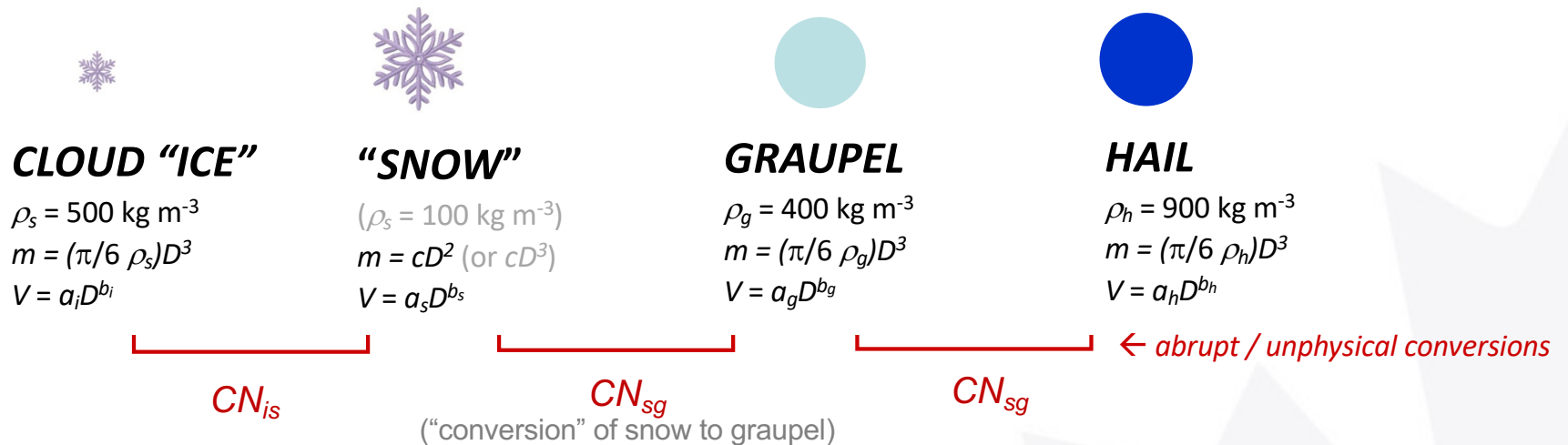
Morrison and Milbrandt (2011), MWR

## BACKGROUND:

## Representation of ice-phase hydrometeors

### TRADITIONAL APPROACH:

Partition hydrometeors into pre-defined categories:

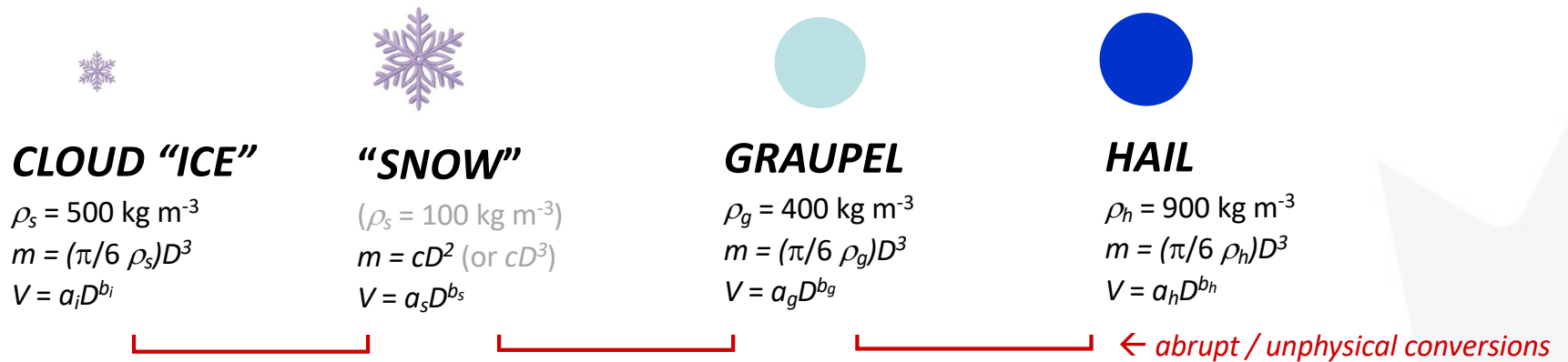


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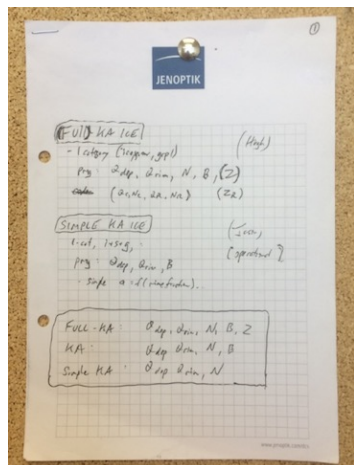
### ALTERNATIVE APPROACH:

Focus on the prediction of continuously evolving physical properties of "free" ice-phase categories

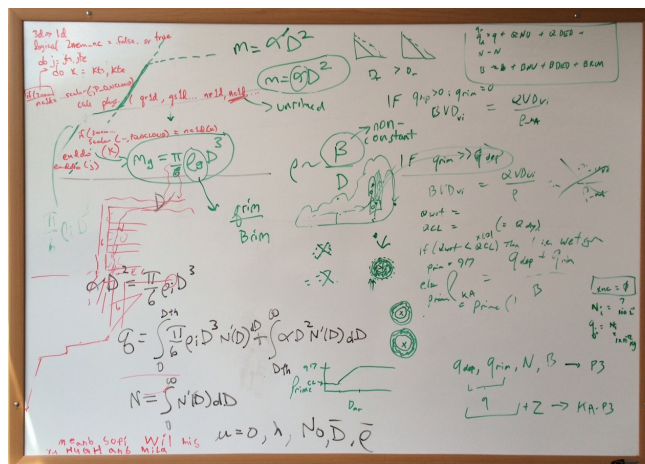
→ the *Predicted Particle Property* (P3) approach

# THE P3 SCHEME:

# Development

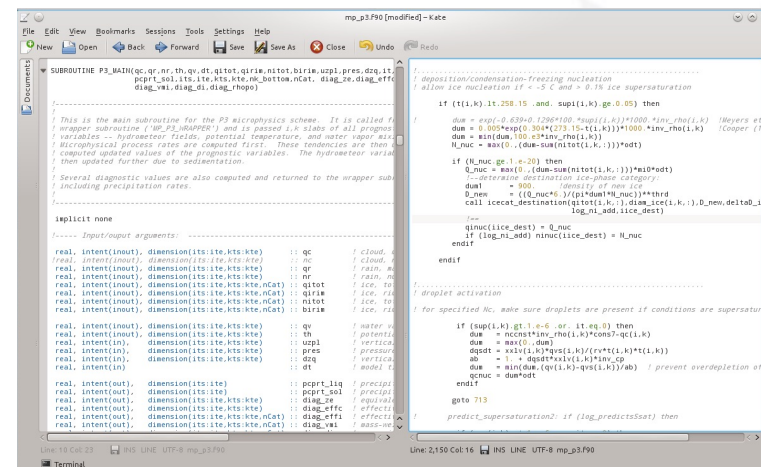


The back of the envelop  
(ICCP 2012 stationary)



The drawing board  
(Hugh's office, August 2013)

P3 was co-developed by Hugh Morrison (NCAR)  
and Jason Milbrandt (ECCC)



The original code

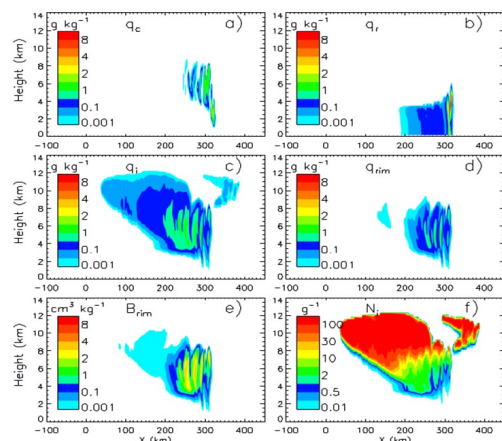


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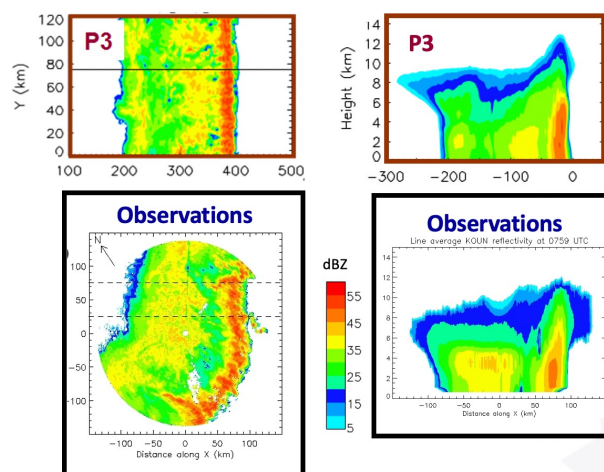
Morrison and Milbrandt (2015), JAS  
Milbrandt and Morrison (2016), JAS

## THE P3 SCHEME:

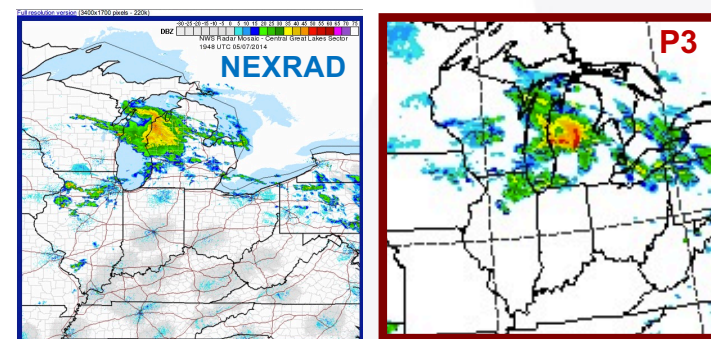
## Initial tests



Idealized WRF 2D squall line



Semi-idealized WRF 3D squall line



Real-time tests

Morrison and Milbrandt (2015), JAS

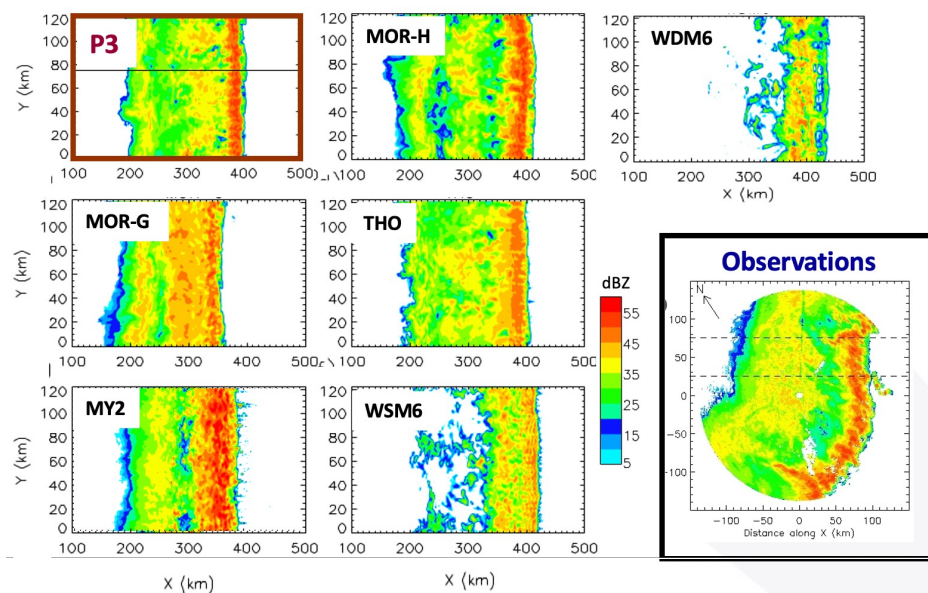
Morrison et al. (2015), JAS

Oklahoma University CAPS Ensemble,  
HWT 2014 Spring Experiment

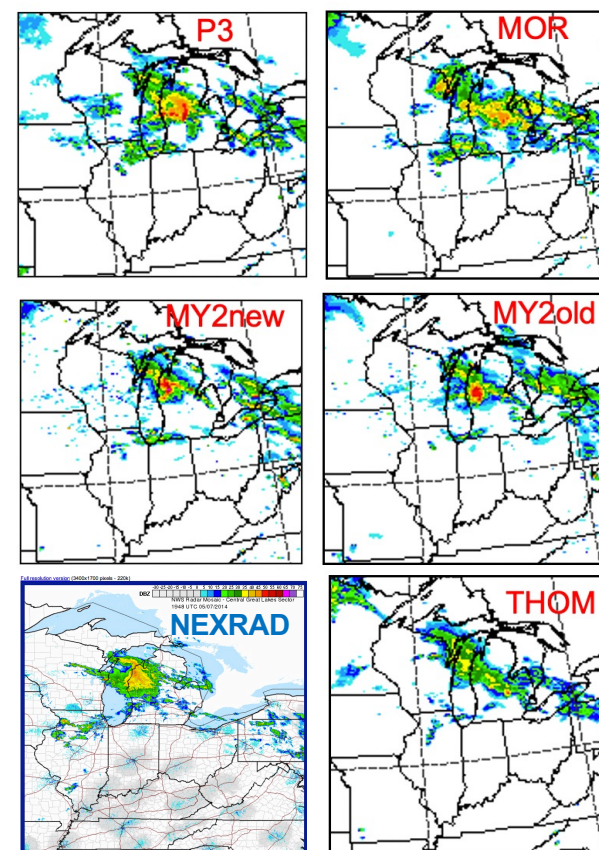


## THE P3 SCHEME:

## Comparison to existing (traditional) schemes



Semi-idealized WRF 3D squall line



Real-time tests

Morrison et al. (2015), JAS

Oklahoma University CAPS Ensemble,  
HWT 2014 Spring Experiment



## OVERVIEW OF P3:

## Prognostic (advected) variables

### LIQUID PHASE:

2 categories, 2-moment:

$Q_c$  – cloud mass mixing ratio [kg kg<sup>-1</sup>]

$Q_r$  – rain mass mixing ratio [kg kg<sup>-1</sup>]

OPTIONAL:  $N_c$  – cloud number mixing ratio [#kg<sup>-1</sup>]

$N_r$  – rain number mixing ratio [#kg<sup>-1</sup>]

### ICE PHASE: OPTIONAL: *nCat* categories, 4-6 prognostic variables each:

$Q_{dep(n)^*}$  – deposition ice mass mixing ratio [kg kg<sup>-1</sup>]

$Q_{rim(n)}$  – rime ice mass mixing ratio [kg kg<sup>-1</sup>]

OPTIONAL:  $Q_{liq(n)}$  – liquid portion of total ice mixing ratio [kg kg<sup>-1</sup>]

$N_{tot(n)}$  – total ice number mixing ratio [ # kg<sup>-1</sup>]

$B_{rim(n)}$  – rime ice volume mixing ratio [m<sup>3</sup> kg<sup>-1</sup>]

OPTIONAL:  $Z_{tot(n)}$  – reflectivity mixing ratio [m<sup>6</sup> kg<sup>-1</sup>]

OPTIONAL: Subgrid-scale cloud fraction (diagnostic)

\*  $Q_{tot} = Q_{dep} + Q_{rim}$ , total ice mass mixing ratio (actual advected variable)

A given free category can represent most types of ice-phase hydrometeors

### **Prognostic Variables:**

$Q_{dep}$ – deposition ice mass mixing ratio	[kg kg <sup>-1</sup> ]
$Q_{rim}$ – rime ice mass mixing ratio	[kg kg <sup>-1</sup> ]
$Q_{liq}$ – liquid mass mixing ratio	[kg kg <sup>-1</sup> ]
$N_{tot}$ – total ice number mixing ratio	[# kg <sup>-1</sup> ]
$B_{rim}$ – rime ice volume mixing ratio	[m <sup>3</sup> kg <sup>-1</sup> ]
$Z_{tot}$ – reflectivity mixing ratio	[m <sup>6</sup> kg <sup>-1</sup> ]

### **Predicted Properties:**

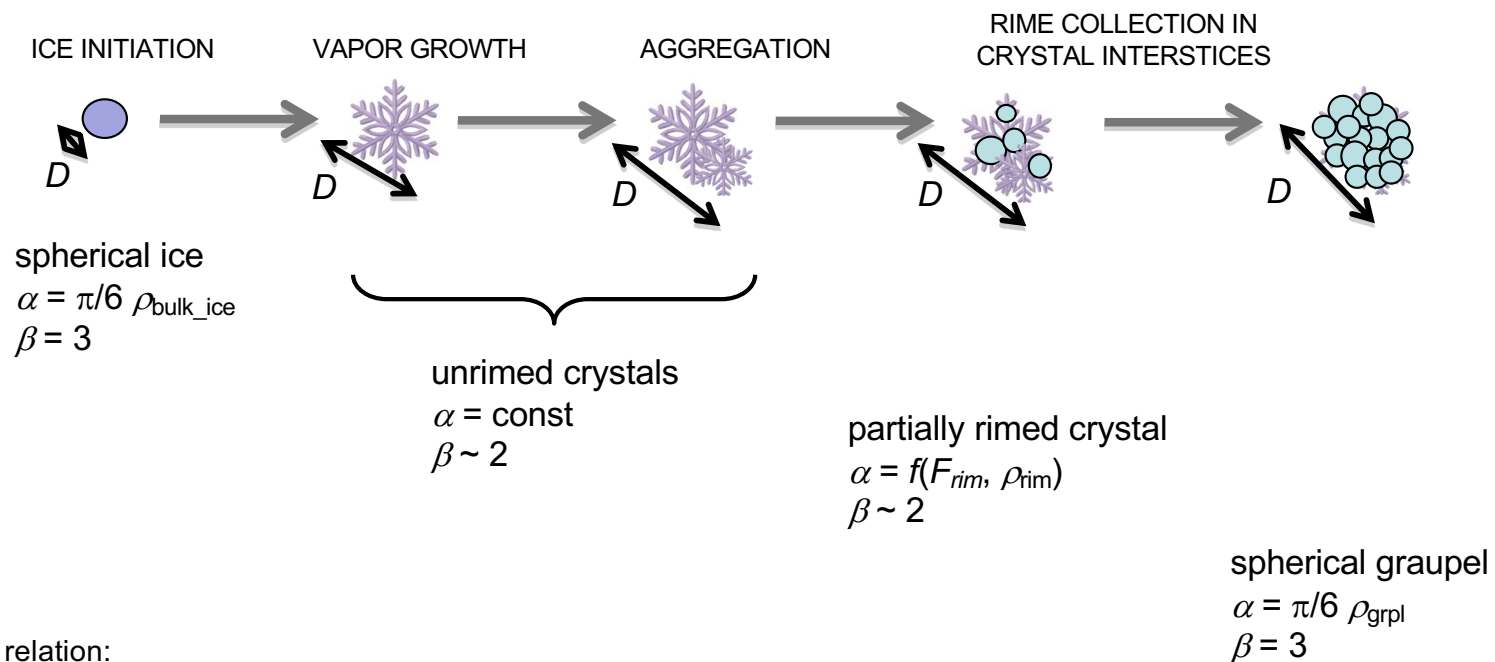
$F_{rim}$ – rime mass fraction, $F_{rim} = Q_{rim} / (Q_{rim} + Q_{dep})$	[--]
$\rho_{rim}$ – rime density, $\rho_{rim} = Q_{rim} / B_{rim}$	[kg m <sup>-3</sup> ]
$D_m$ – mean-mass diameter, $D_m \propto Q_{tot} / N_{tot}$	[m]
$V_m$ – mass-weighted fall speed, $V_m = f(D_m, \rho_{rim}, F_{rim})$	[m s <sup>-1</sup> ]
etc.	

### **Diagnostic Particle Types:**

Based on the predicted properties (rather than pre-defined)



Particle properties (e.g  $m$ - $D$  relations\*) for process rate calculations are based on conceptual model of particle growth following Heymsfield (1982)

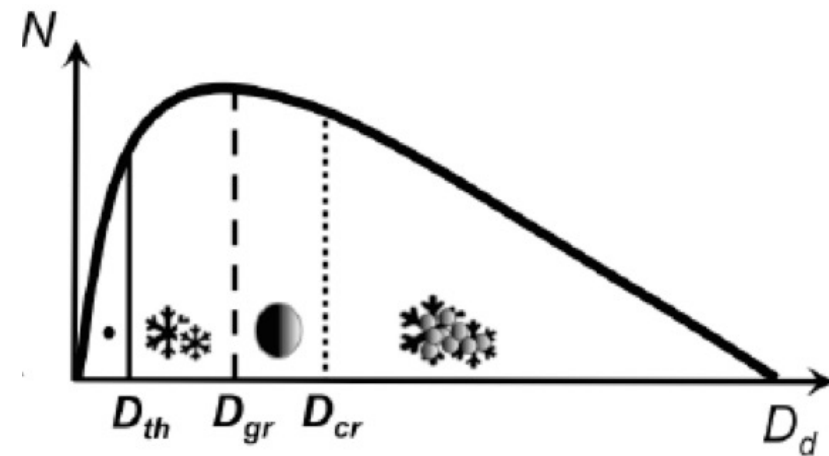
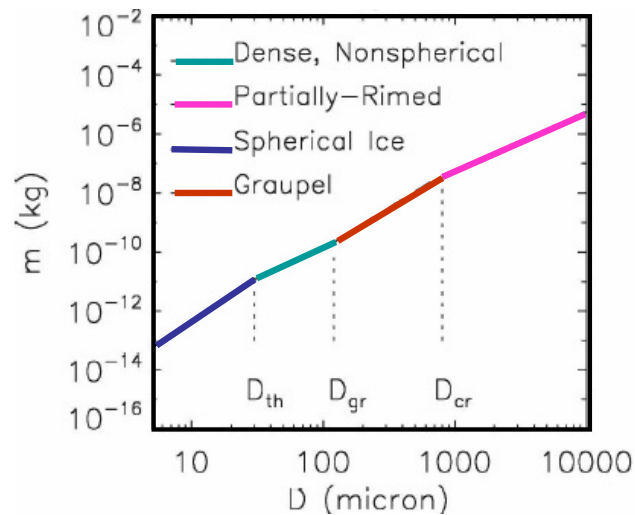



\* mass-diameter relation:  
 $m(D) = \alpha D^\beta$


## OVERVIEW OF P3:


## $m$ - $D$ relations and gamma size distributions


General:  $1 > F_{rim} > 0$ ; for a given  $\rho_{rim}$



  
spherical  
unrimed  
 $m(D) = \alpha_1 D^{\beta_1}$

  
non-spherical  
unrimed  
 $m(D) = \alpha_2 D^{\beta_2}$

  
non-spherical,  
partially rimed  
 $m(D) = \alpha_3 D^{\beta_3}$

  
spherical  
completely rimed  
 $m(D) = \alpha_4 D^{\beta_4}$

**Computing process rates ~ computing  $M_x^{(p)}$**

$$Q^+ = Q^0 + \underbrace{\Delta Q|_{PROC\_1} + \Delta Q|_{PROC\_2} + \dots}_{\Delta Q|_{PROC\_1} = \Delta t \cdot \underbrace{\frac{1}{\rho} \int_0^\infty \frac{dm(D)}{dt} \Big|_{PROC\_1} N(D) dD}_{\propto X_1 \text{ (and } X_2, \dots)}}$$

$$X_1 = \int_0^{D_{th}} D^a N_0 e^{-\lambda D} f(\alpha_1, \beta_1, \dots) dD + \int_{D_{th}}^{D_{gr}} D^b N_0 e^{-\lambda D} f(\alpha_2, \beta_2, \dots) dD \\ + \int_{D_{gr}}^{D_{cr}} D^c N_0 e^{-\lambda D} f(\alpha_3, \beta_3, \dots) dD + \int_{D_{cr}}^\infty D^d N_0 e^{-\lambda D} f(\alpha_4, \beta_4, \dots) dD$$

- Relevant sums of sub-moments are pre-computed (accurately) and stored in a look-up tables
- At run time, values of  $X_1, X_2, \dots$  are accessed (quickly) via look-up table → actual computation of  $\Delta Q|_{PROC\_x}$  is fast

## OVERVIEW OF P3:

## Illustration of predicted properties (and implicit particle types)

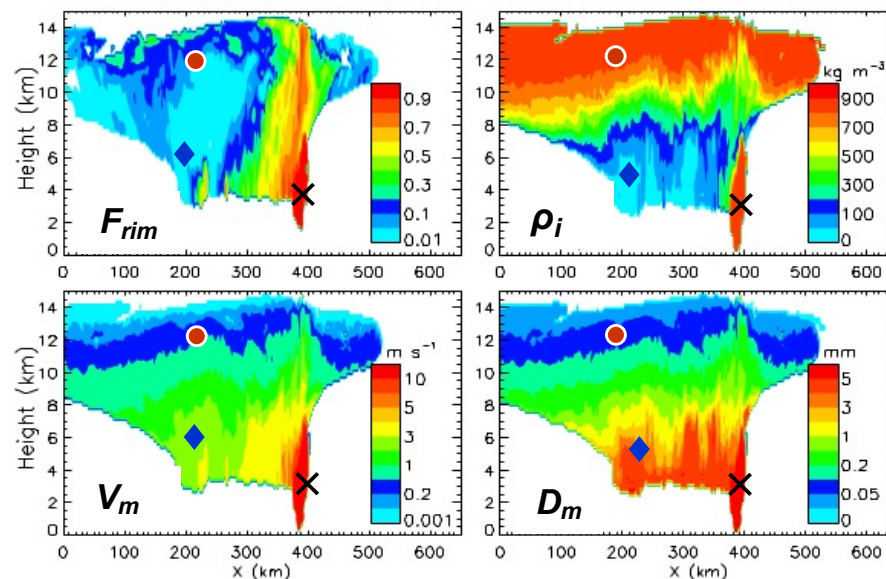
$F_r \sim 0-0.1$   
 $\rho \sim 900 \text{ kg m}^{-3}$   
 $V \sim 0.3 \text{ m s}^{-1}$   
 $D_m \sim 100 \mu\text{m}$   
 $\rightarrow$  **small crystals**

$F_r \sim 0$   
 $\rho \sim 50 \text{ kg m}^{-3}$   
 $V \sim 1 \text{ m s}^{-1}$   
 $D_m \sim 5 \text{ mm}$   
 $\rightarrow$  **aggregates**

$F_r \sim 1$   
 $\rho \sim 900 \text{ kg m}^{-3}$   
 $V > 10 \text{ m s}^{-1}$   
 $D_m > 5 \text{ mm}$   
 $\rightarrow$  **hail**

**etc.**

## Bulk physical properties:



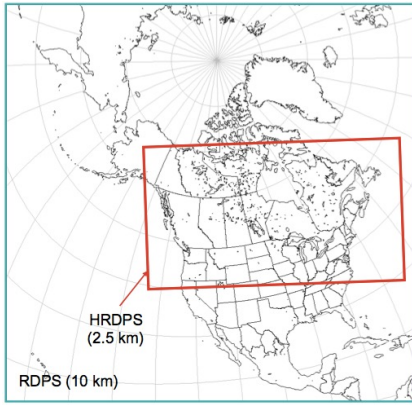
Vertical cross section of model fields

Note – only one (free) ice-phase category

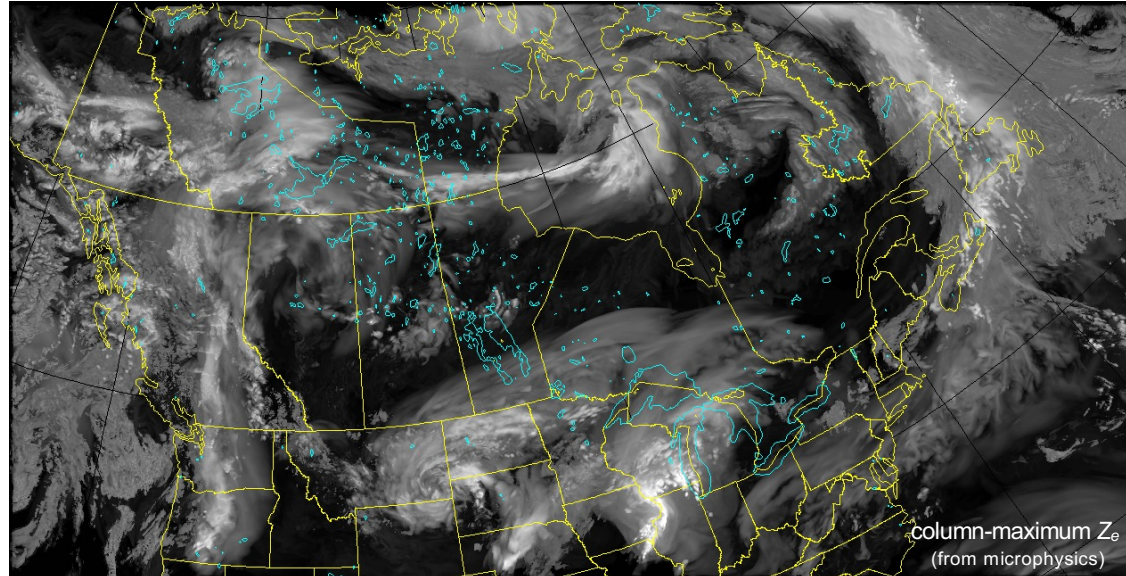
OPERATIONAL APPLICATION :

Use in a 2.5-km NWP system

## High Resolution Deterministic Prediction System (HRDPS\*)



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- experimental pan-Canadian 2.5-km NWP system set up in 2014 (with MY2 microphysics)
- became officially “operational” in spring 2018 (MY2 replaced by P3)
- soon to have 3D data assimilation (including radar data, via LHN)
- to become the primary source of short-term numerical guidance at ECCC

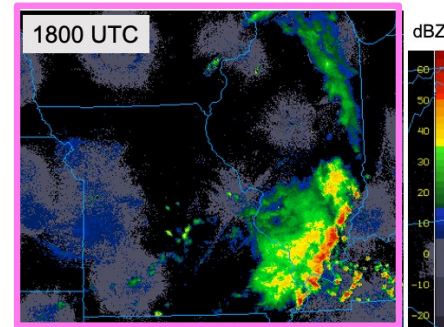
\* Milbrandt et al. (2016), WAF



OPERATIONAL APPLICATION :

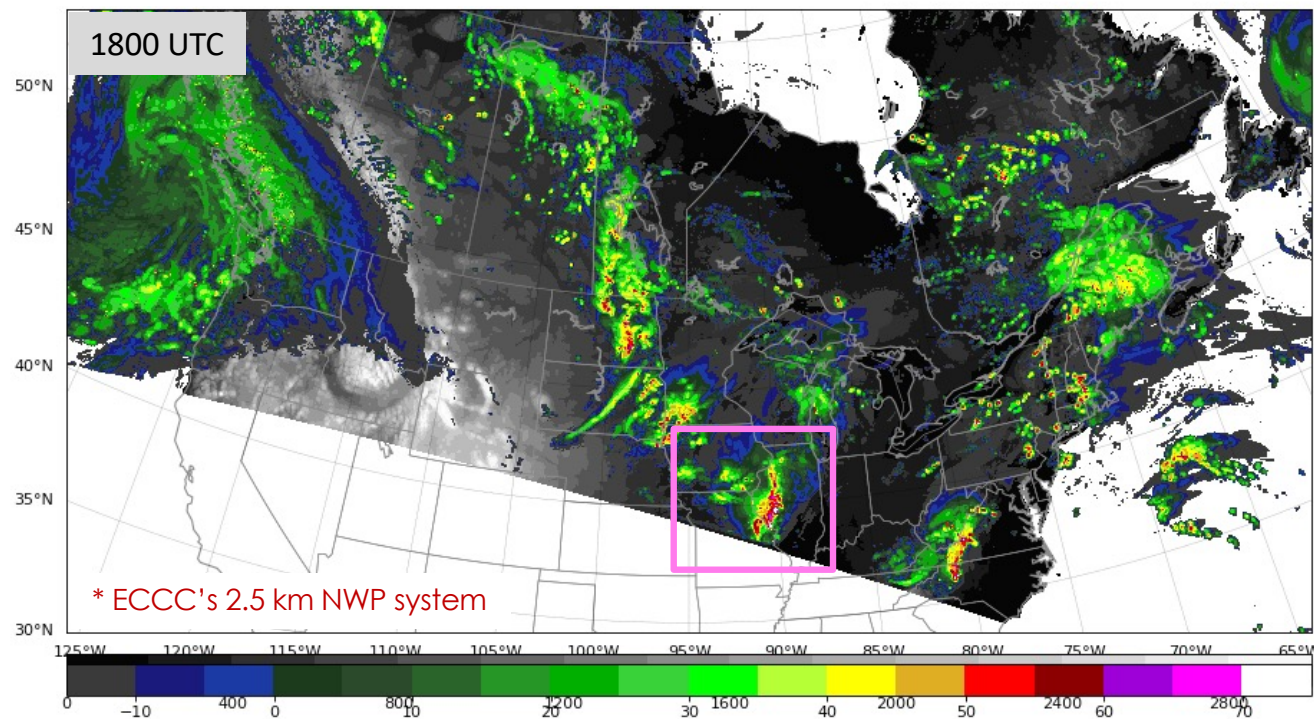
Use in a 2.5-km NWP system

**NEXRAD obs:**  
Z, 1-km CAPPI



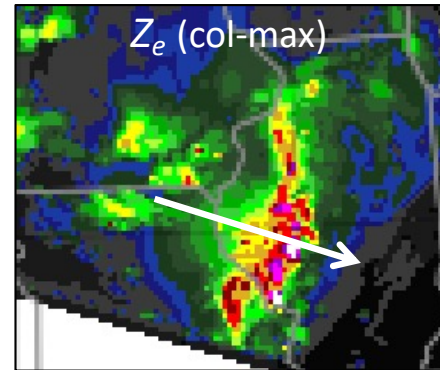
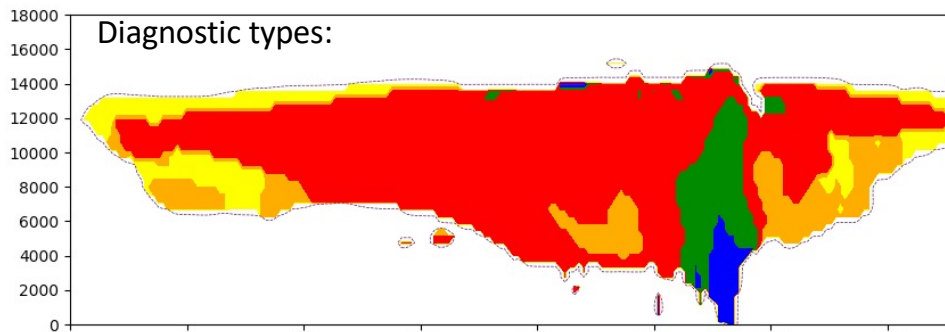
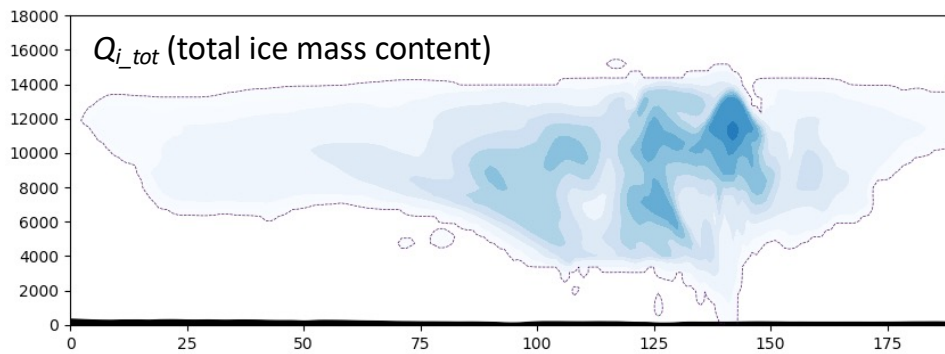
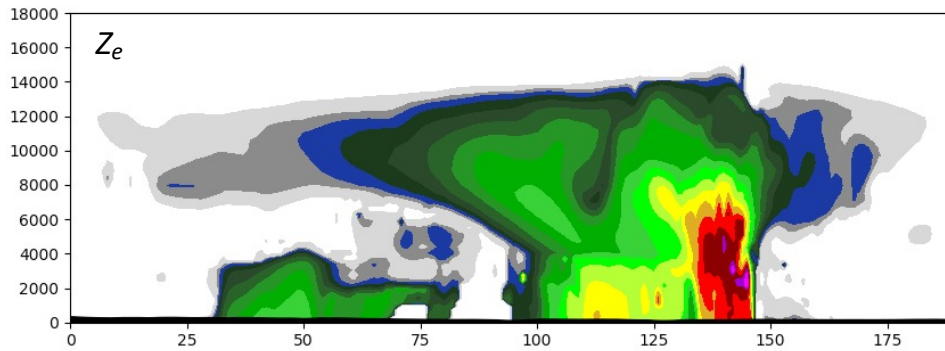
**HRDPS**

3-moment P3 (v4.0.2)  
 $Z_e$  (column-max)  
18-h fcst



## OPERATIONAL APPLICATION :

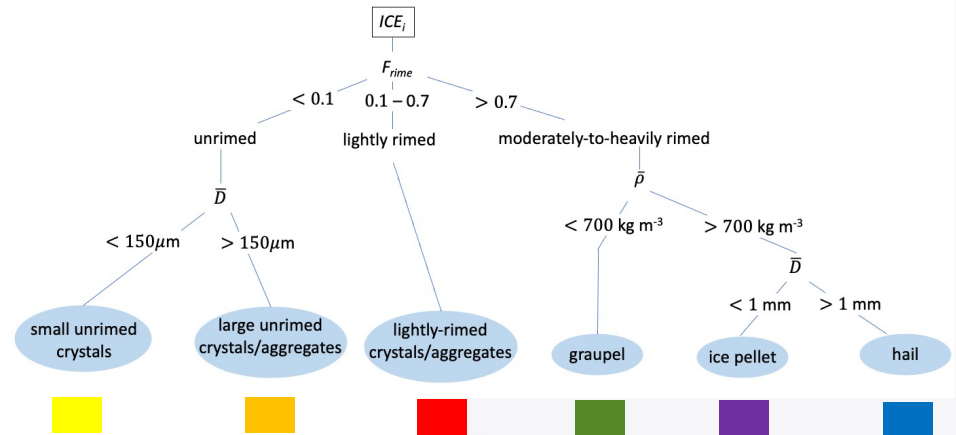
Use in a 2.5-km NWP system



**GEM (HRDPS, 2.5 km) simulation** using P3

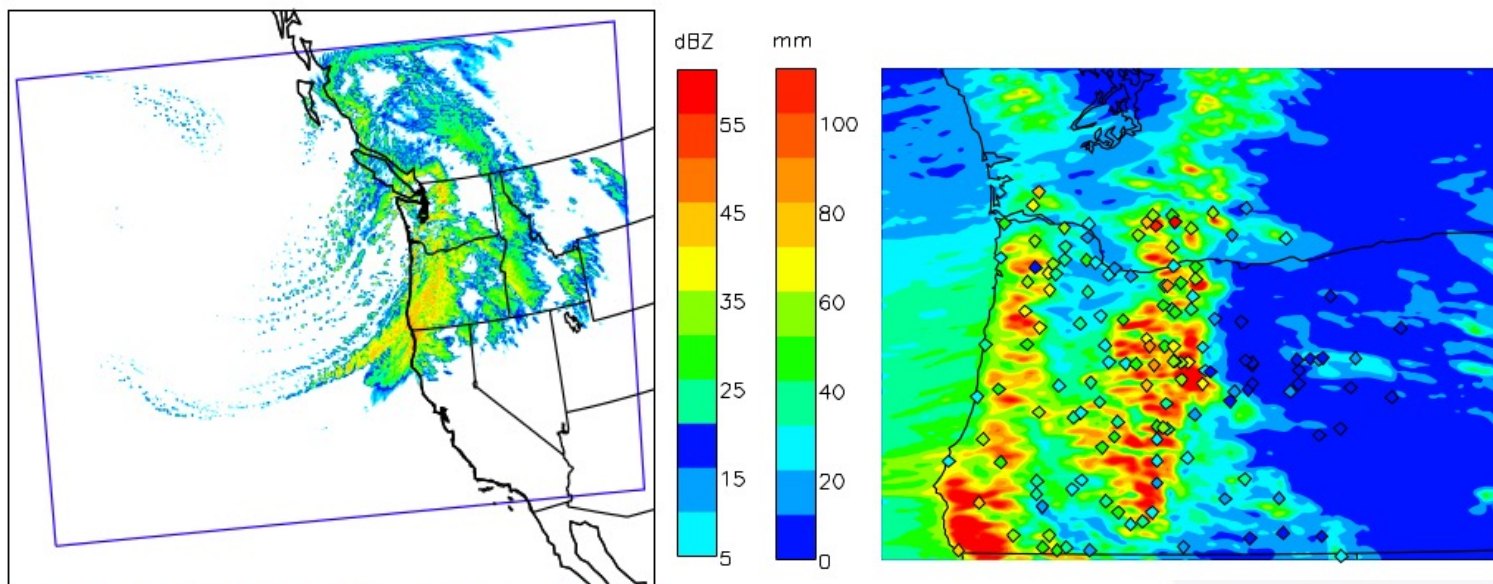
- 1 ice category
- no liquid fraction (missing bright band)
- 3-moment ice

**Diagnostic Particle Types:** (per category)



**Frontal/orographic case:  
IMPROVE-2, 13-14 December 2001**

- WRF\_v3.4.1,  $\Delta x = 3$  km, 72 stretched vertical levels



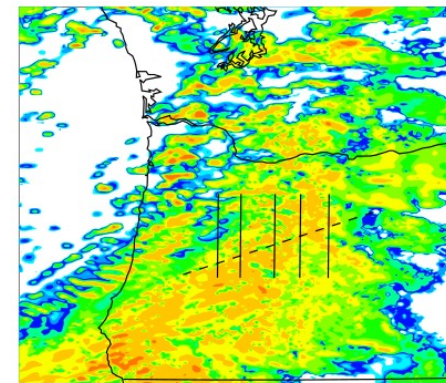
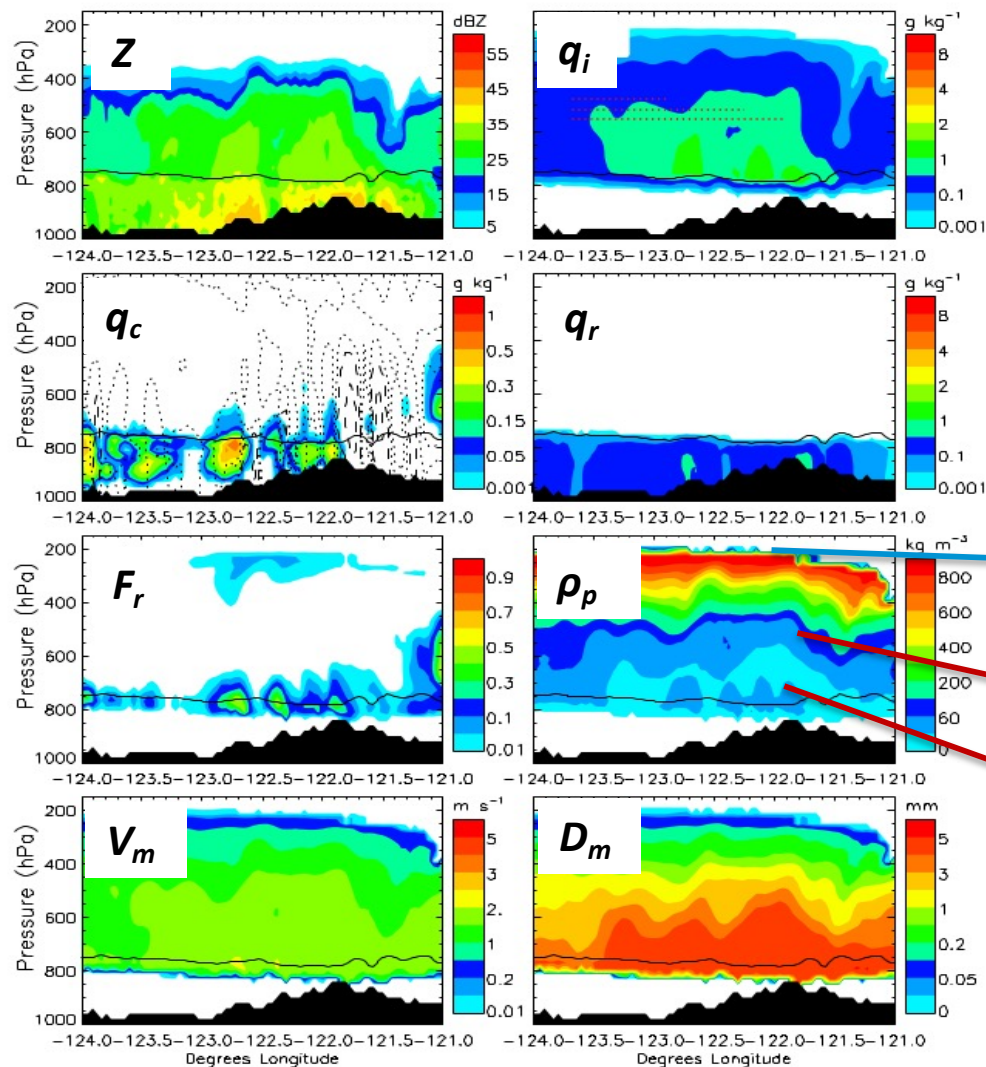
Simulated lowest level radar reflectivity  
at 00 UTC December 14

Accumulated surface precip from 14 UTC  
December 13 to 08 UTC December 14



## EFFECTS OF P3:

## Precipitation shifts in mountainous regions



Small, dense ice

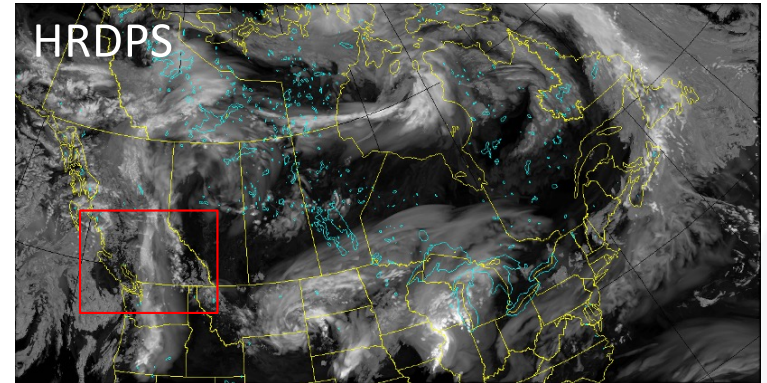
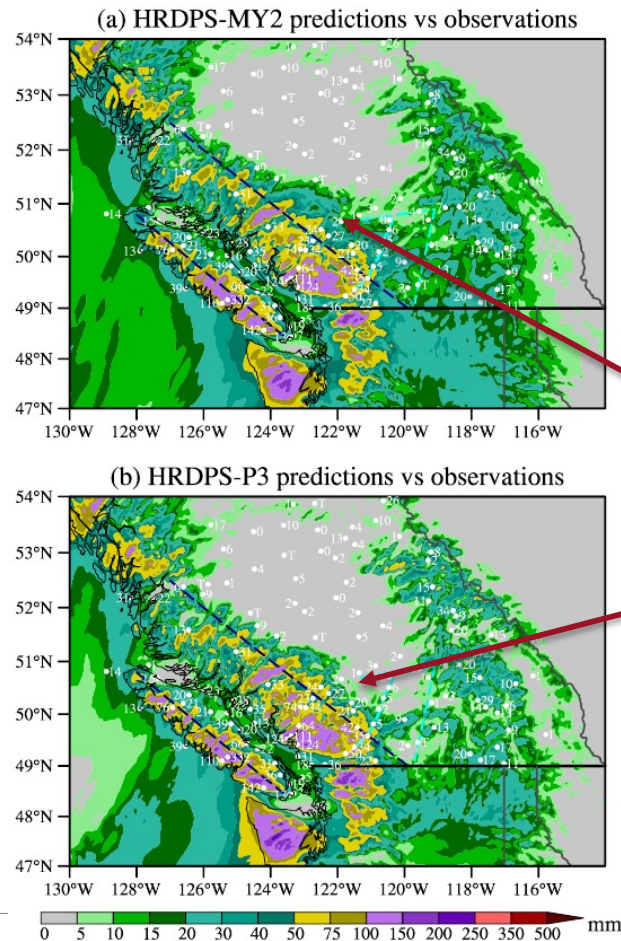
Low-density, unrimed snow

Low-density graupel

Morrison et al. (2015), JAS

## OPERATIONAL APPLICATION:

## Precipitation shifts in mountainous regions



MY2: over-prediction on lee side of mountains  
(due to excess hydrometeor drift in MY2)

P3: reduced (improved) precipitation on lee side  
(due to increased fall speed of lightly-rimed snow)

Note: This difference is largely anecdotal (from  
ECCC forecasters in Vancouver), but systematic

## MAJOR DEVELOPMENTS:

### ARTICLE

Morrison and Milbrandt (2015), *JAS*

*Morrison et al. (2015), JAS*

Milbrandt and Morrison (2016), *JAS*

Cholette et al. (2019), *JAS*

Paukert et al. (2019), *JAMES*

Jouan et al. (2020), *WAF*

Milbrandt et al. (2021), *JAS*

### DEVELOPMENT

Description of original P3 scheme

*Comparison to traditional schemes in WRF*

Multi-ice category version

Prognostic liquid fraction on ice version

Triple-moment rain version\*

Subgrid cloud fraction version

Triple-moment ice version

→ We have been actively developing P3 to be a versatile microphysics scheme, usable in a wide range of meteorological conditions and spatial scales (i.e. towards unified physics)

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\* Not yet merged with “official” P3

Application:

**Secondary ice production (SIP)**

Atmos. Chem. Phys., 20, 1391–1429, 2020  
<https://doi.org/10.5194/acp-20-1391-2020>  
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the Creative Commons Attribution 4.0 License.



Atmospheric  
Chemistry  
and Physics  
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EGU

### **A new look at the environmental conditions favorable to secondary ice production**

Alexei Korolev<sup>1</sup>, Ivan Heckman<sup>1</sup>, Mengistu Wolde<sup>2</sup>, Andrew S. Ackerman<sup>3</sup>, Ann M. Fridlind<sup>3</sup>, Luis A. Ladino<sup>1,4</sup>,  
R. Paul Lawson<sup>5</sup>, Jason Milbrandt<sup>1</sup>, and Earle Williams<sup>6</sup>

<sup>1</sup>Environment and Climate Change Canada, Toronto, ON, Canada

<sup>2</sup>National Research Council, Ottawa, ON, Canada

<sup>3</sup>NASA/Goddard Institute for Space Studies, New York, NY, USA

<sup>4</sup>Centro de Ciencias de la Atmósfera, Universidad Nacional Autónoma de México, México City, México

<sup>5</sup>Stratton Park Engineering Company, Boulder, CO, USA

<sup>6</sup>Massachusetts Institute of Technology, Boston, MA, USA

### **DEVELOPMENT**

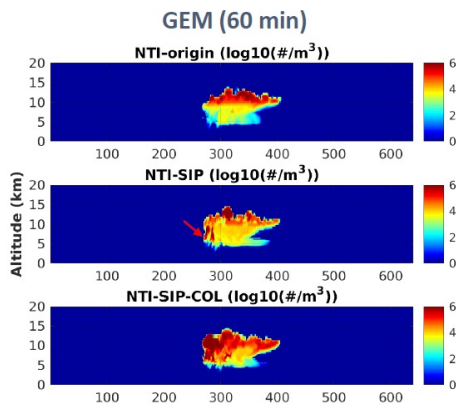
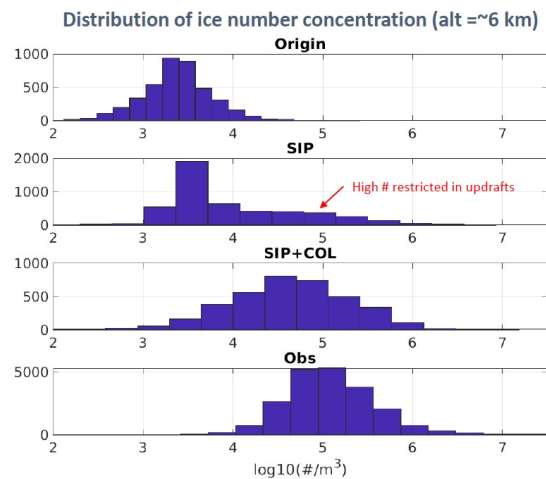
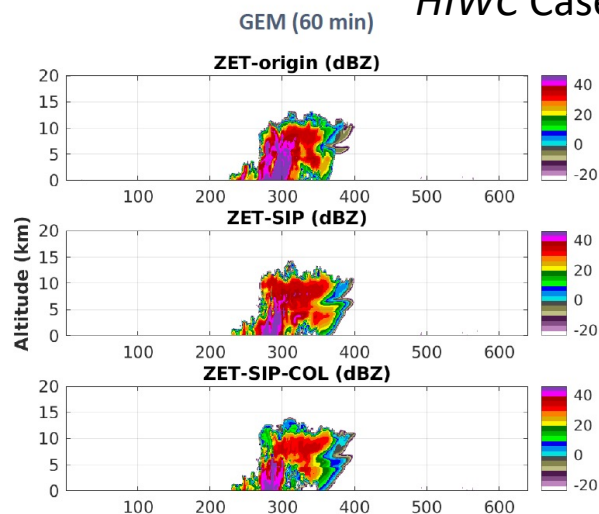
- Multi-ice category version
- Prognostic liquid fraction on ice version
- Triple-moment rain version
- Subgrid cloud fraction version
- Triple-moment ice version



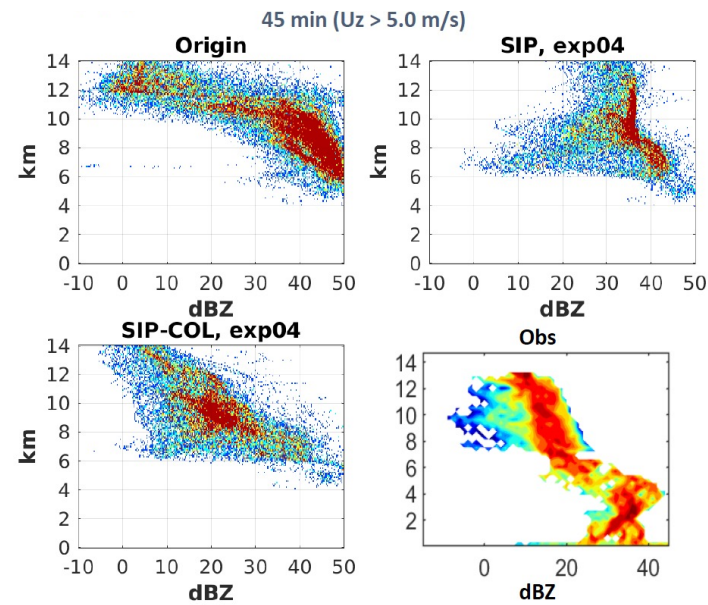
## MAJOR DEVELOPMENTS:

Multiple free ice-phase categories

*HIWC* Case simulation using GEM ( $dx = 250$  m;  $nCat = 4$ )



Observations: data of 6 flights during the Cayenne campaign in May, 2015.



Model-obs comparison points based on common dynamics, not co-location

c/o Zhipeng Qu, ECCC

Application:

**Hail**

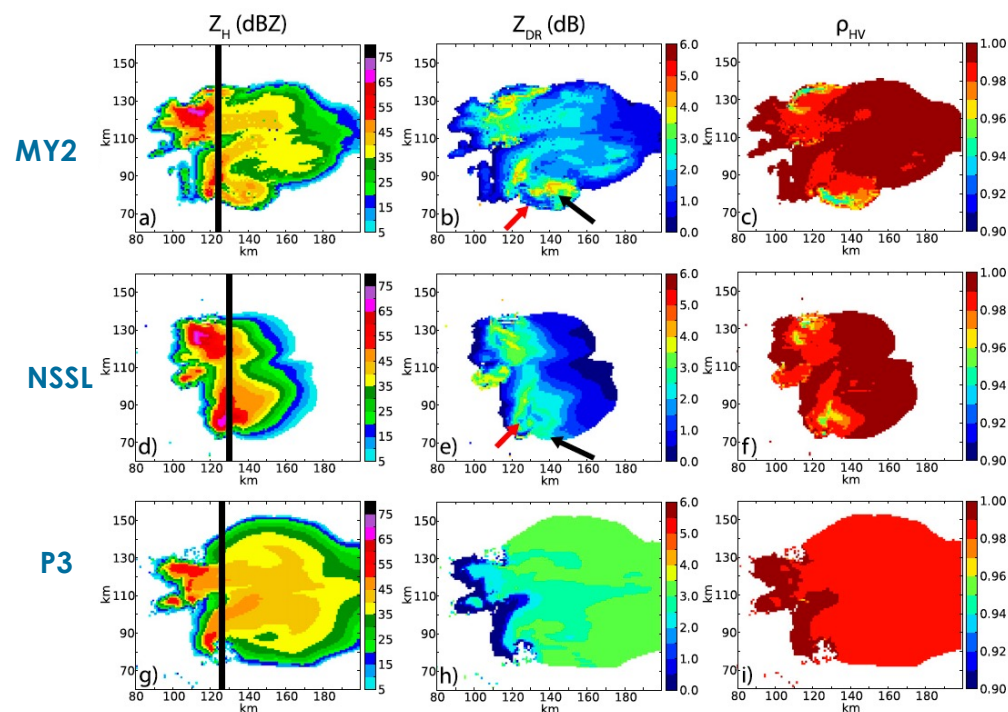
DEVELOPMENT

- Multi-ice category version
- Prognostic liquid fraction on ice version
- Triple-moment rain version
- Subgrid cloud fraction version
- Triple-moment ice version

## MAJOR DEVELOPMENTS:

## Triple-moment ice

**MOTIVATION:** *P3 lacks radar signatures for hail (e.g.  $Z_{DR}$  arc)*



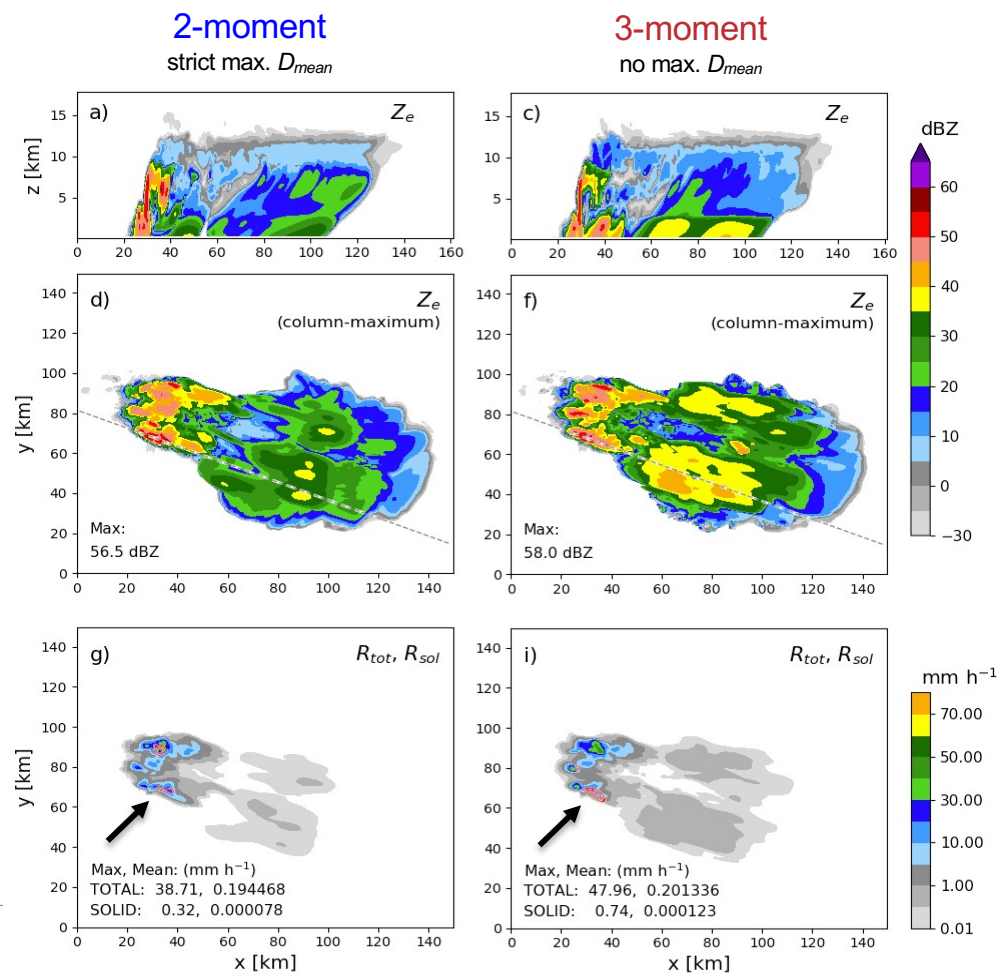
Idealized 1-km supercell simulations  
- 3 different multi-moment microphysics schemes  
- dual-pol radar simulator applied

Johnson et al. (2019), MWR

## MAJOR DEVELOPMENTS:

## Triple-moment ice

Idealized 250-m WRF simulations of a hail-producing supercell:



Milbrandt et al. (2021), JAS



Application:

**Mixed-phase precipitation**

DEVELOPMENT

Multi-ice category version

- Prognostic liquid fraction on ice version

Triple-moment rain version

Subgrid cloud fraction version

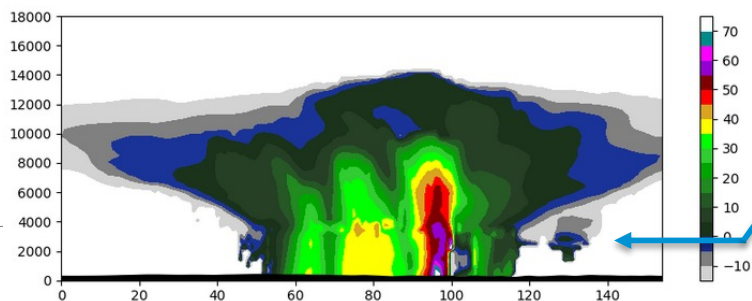
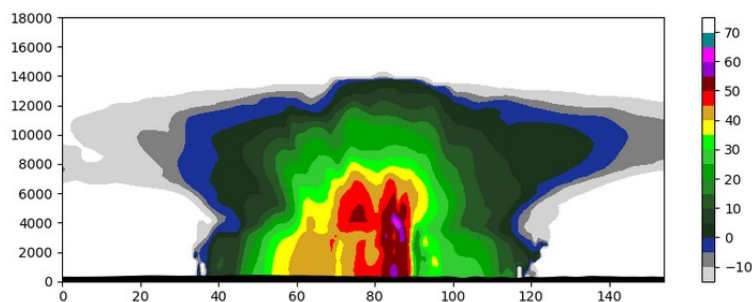
Triple-moment ice version

## MAJOR DEVELOPMENTS:

## Representation of mixed-phase precipitation

Application:

**Mixed-phase precipitation**



## DEVELOPMENT

Multi-ice category version

- Prognostic liquid fraction on ice version

Triple-moment rain version

Subgrid cloud fraction version

Triple-moment ice version

Improved bright band due to more realistic simulation of mixed-phase particles and processes (melting, re-freezing, etc.)

2.5-km GEM simulation

c/o Melissa Cholette, ECCC

## MAJOR DEVELOPMENTS:

Sub-grid cloud (and precipitation) fraction

Application:

**Large-scale (coarse-res) models**

### DEVELOPMENT

Multi-ice category version

Prognostic liquid fraction on ice version

Triple-moment rain version

- Subgrid cloud fraction version

Triple-moment ice version

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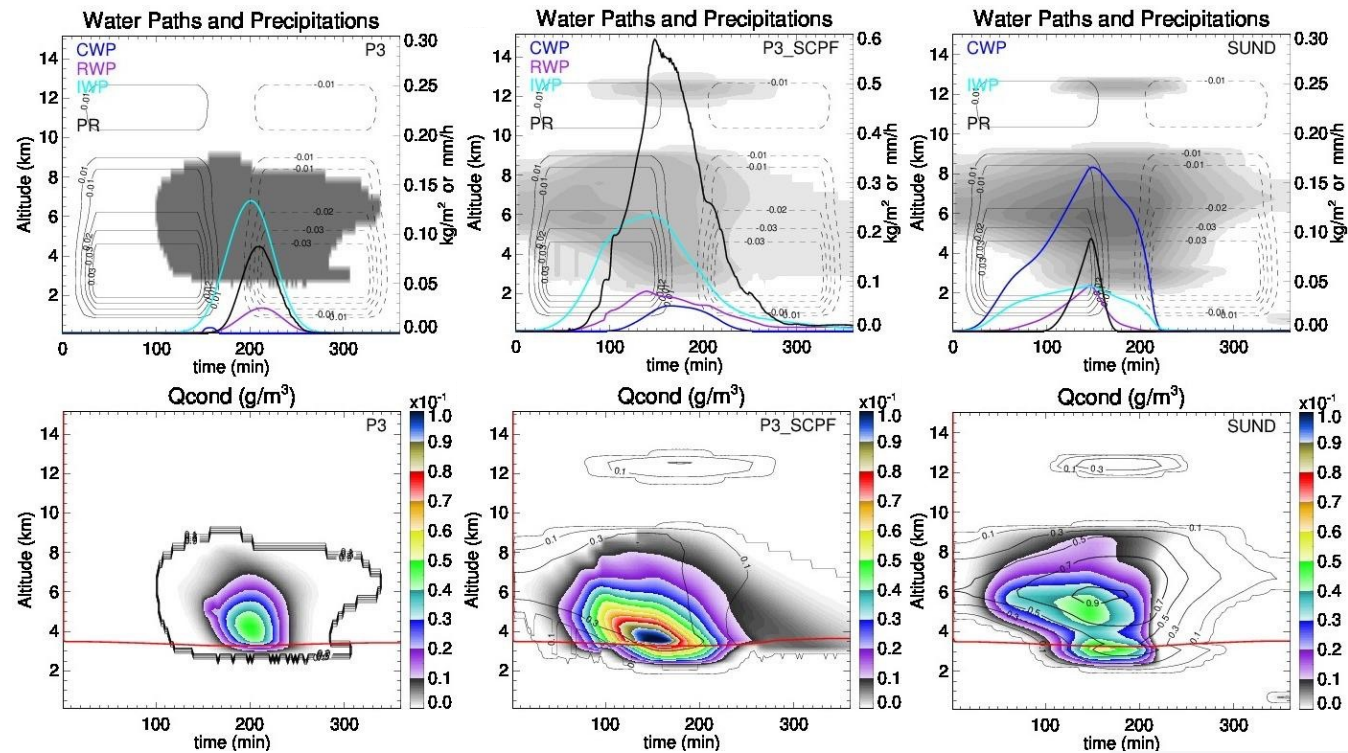
## MAJOR DEVELOPMENTS:

Sub-grid cloud (and precipitation) fraction

**P3**

**P3 + SCF**

**Sundqvist**

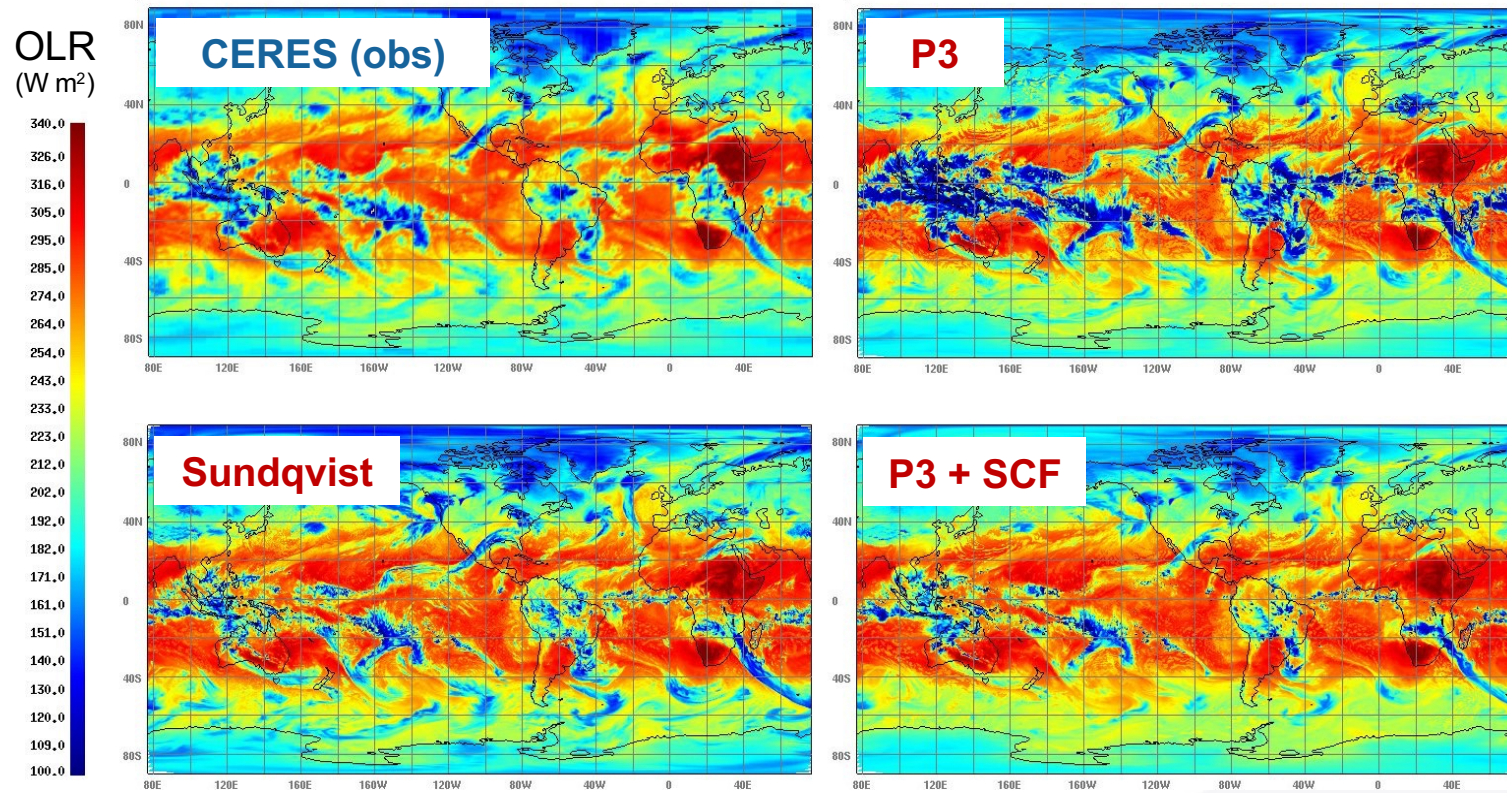


1D kinematic model simulations

## MAJOR DEVELOPMENTS:

Sub-grid cloud (and precipitation) fraction

OLR (TOA) from global (25 km) GEM simulations:



## MAJOR DEVELOPMENTS:

### Application:

- Secondary ice production (SIP)
  - Hail
  - Mixed-phase (winter) precipitation
  - Large-scale (coarse-res) models
- etc.

### DEVELOPMENT

Multi-ice category version

Prognostic liquid fraction on ice version

Triple-moment rain version

Subgrid cloud fraction version

Triple-moment ice version

*Examination of the impacts of the recent developments to P3 on various applications (with emphasis on high-impact weather in NWP) is on-going*

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## IMPACTS of the P3 development





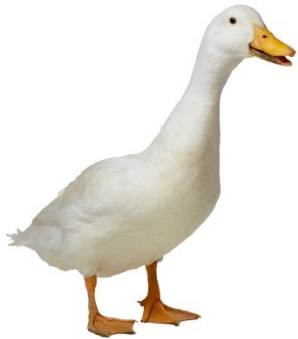
## IMPACTS of the P3 development

- We convinced the cloud modeling community to shift paradigms

### **BULLET POINT FROM *ICMW 2016* CONCLUSIONS: \***

“In order to advance the parameterization of ice-phase microphysics (in bulk and bin schemes), the modeling community should move towards the paradigm of free ice-phase categories.”

*Engage “p3 thinking”*



\* *International Cloud Modeling Workshop 2016* (Exeter, UK);  
Reported at *ICCP 2016* (Manchester, UK)

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## IMPACTS of the P3 development

- We convinced the cloud modeling community to shift paradigms
- P3 has been implemented into 9 atmospheric models  
(2 LES, 2 CRMs, 3 mesoscale models, 2 GCMs)
- Main tool for several PhD and postdoctoral research projects



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(1 LES, 2 CRMs, 3 mesoscale models, 2 GCMs)
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- 449 references\* to our first 3 (main) papers (276 to Part 1)
- *Morrison and Milbrandt (2015)* won NCAR Publication Award for 2018 Paper of the Year\*\*

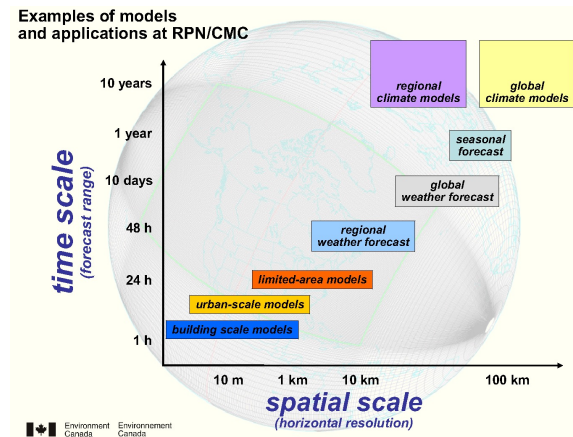
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\* source: Google Scholar, 8 June 2021

\*\* chosen out of ~2000 papers published in previous 5 years

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- Used operationally in ECCC; currently being adapted and tested for use in all GEM-based NWP systems (from 250 m to global ensemble)



### Timing Tests for 3D WRF Simulations

Scheme	Squall line case ( $\Delta x = 1$ km)	Orographic case ( $\Delta x = 3$ km)	# prognostic variables
P3	0.436 (1.043)	0.686 (1.013)	7
MY2	0.621 (1.485)	1.012 (1.495)	12
MOR-H	0.503 (1.203)	0.813 (1.200)	9
THO	0.477 (1.141)	0.795 (1.174)	7
WSM6	0.418 (1.000)	0.677 (1.000)	5
WDM6	0.489 (1.170)	0.777 (1.148)	8

Average wall clock time per model time step (units of seconds.)  
Times relative to those of WSM6 are indicated parenthetically.

→ the P3 scheme itself (excluding cost of advection) is relatively efficient

### Regular advection:

Each variable is advected independently

Approximate cost (increase in total integration time):

- Eulerian scheme (WRF): + 3-5% per variable
- semi-Lagrangian scheme (GEM, UM): + 1.5-2.5% per variable

### Scaled Flux Vector Transport\*

- “Lead” variable (e.g.  $Q_x$ ) is advected normally
  - Advection of “slave” variables (e.g.  $N_x$ ) is computed from appropriately scaled fluxes of lead variable
- *only a very small cost for the advection of slave variables*

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\* Morrison et al. (2016), MWR

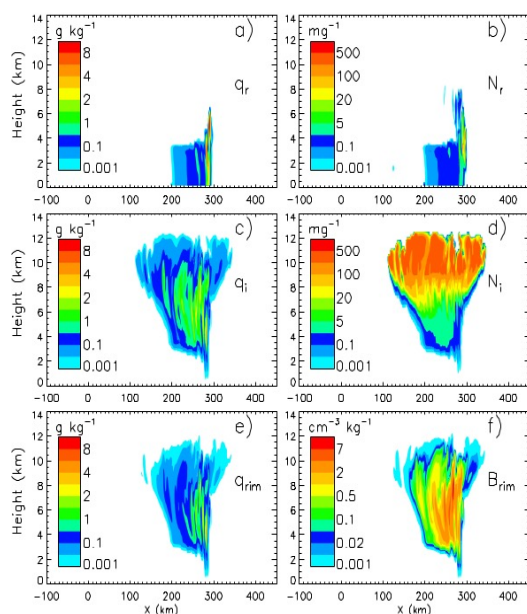
COMPUTATIONAL COST:

Advection of prognostic microphysical fields

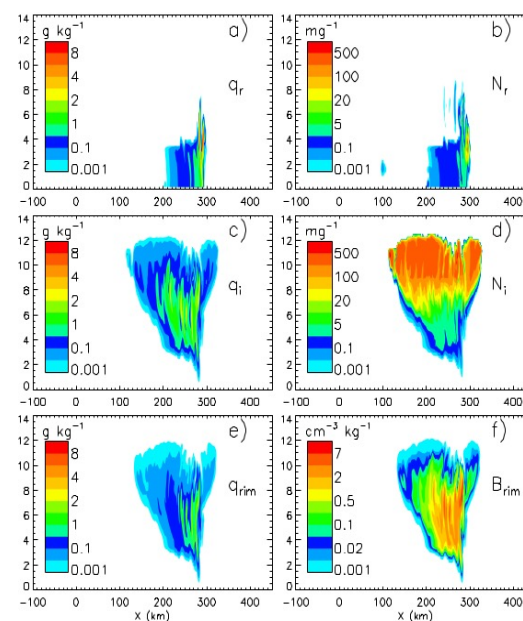
## Advection of Prognostic Hydrometeor Variables

2D WRF simulations (w/ P3, nCat =1)

w/ 5<sup>th</sup> order PD



w/ SFVT\*



Nearly identical results; but

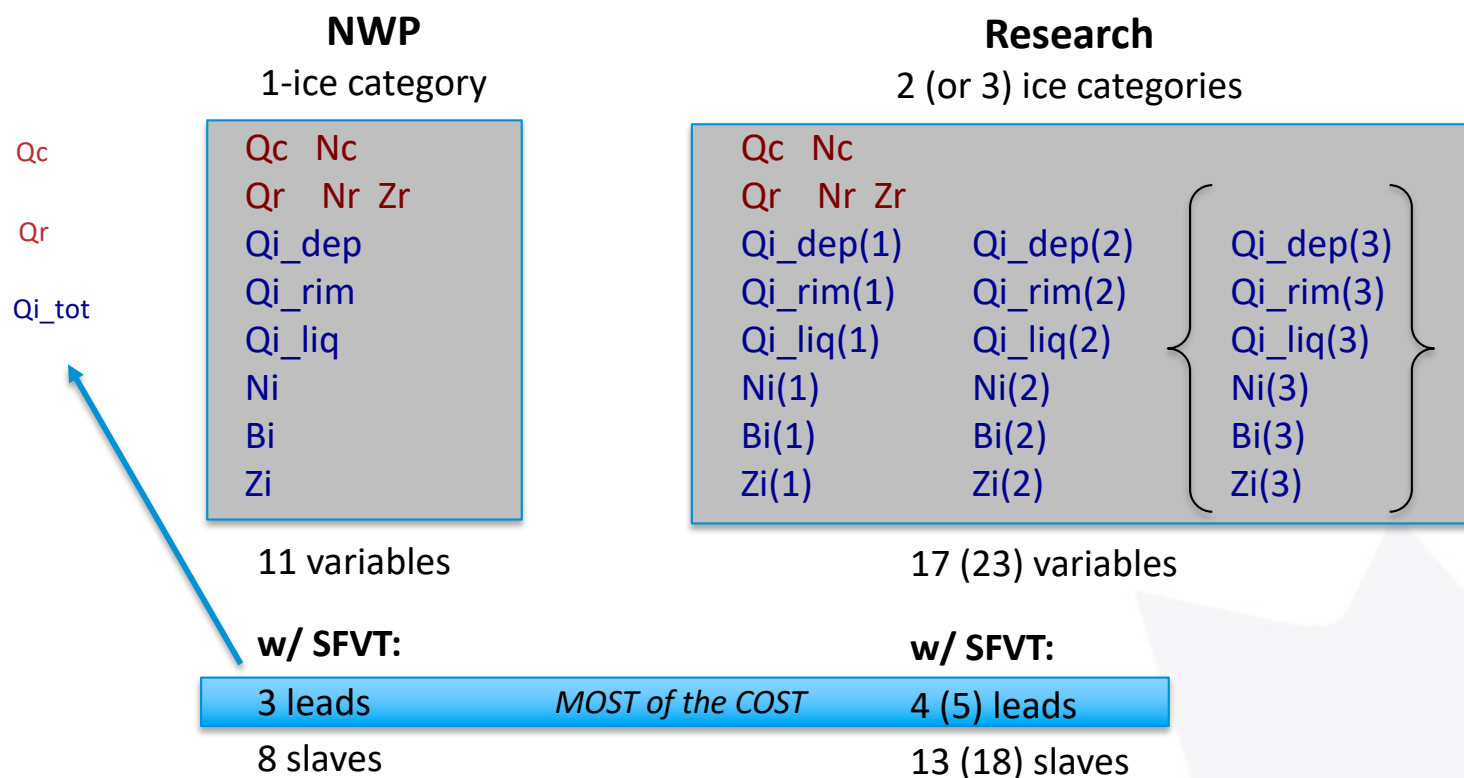
**11% reduction in total integration time**

\* Morrison et al. (2016), MWR



# COMPUTATIONAL COST:

## Outlook with consideration of SFVT



$$Q_{i\_tot} = Q_{i\_dep} + Q_{i\_rim} + Q_{i\_liq}$$

also,  $N_a$  (aerosol number concentration) [future development]

## Concluding comments

- The property-based approach to parameterize ice-phase microphysics in bulk schemes is a conceptual and practical step forward from the traditional category-based approach
- P3 (specifically) has been shown to be versatile for use in a wide range of meteorological conditions and in a wide range of atmospheric models (from LES to GCM)
- Due to current scientific gaps in understanding of natural cloud microphysics, P3 suffers from the same limitations and approximations (AKA guesses) as all schemes – further research in fundamental cloud physics is still required

