

---

# Doppler Radar Data Assimilation with WRF-Var

Qingnong Xiao, NCAR/MMM

Email: [hsiao@ucar.edu](mailto:hsiao@ucar.edu)

# Outline:

---

- Introduction
  - Background and motivation
  - WRF-Var
- Methodology
  - Radial velocity
  - Reflectivity
- Procedure
  - Data preprocessing
  - Setup of namelist and scripts
- Summary

# Outline:

---

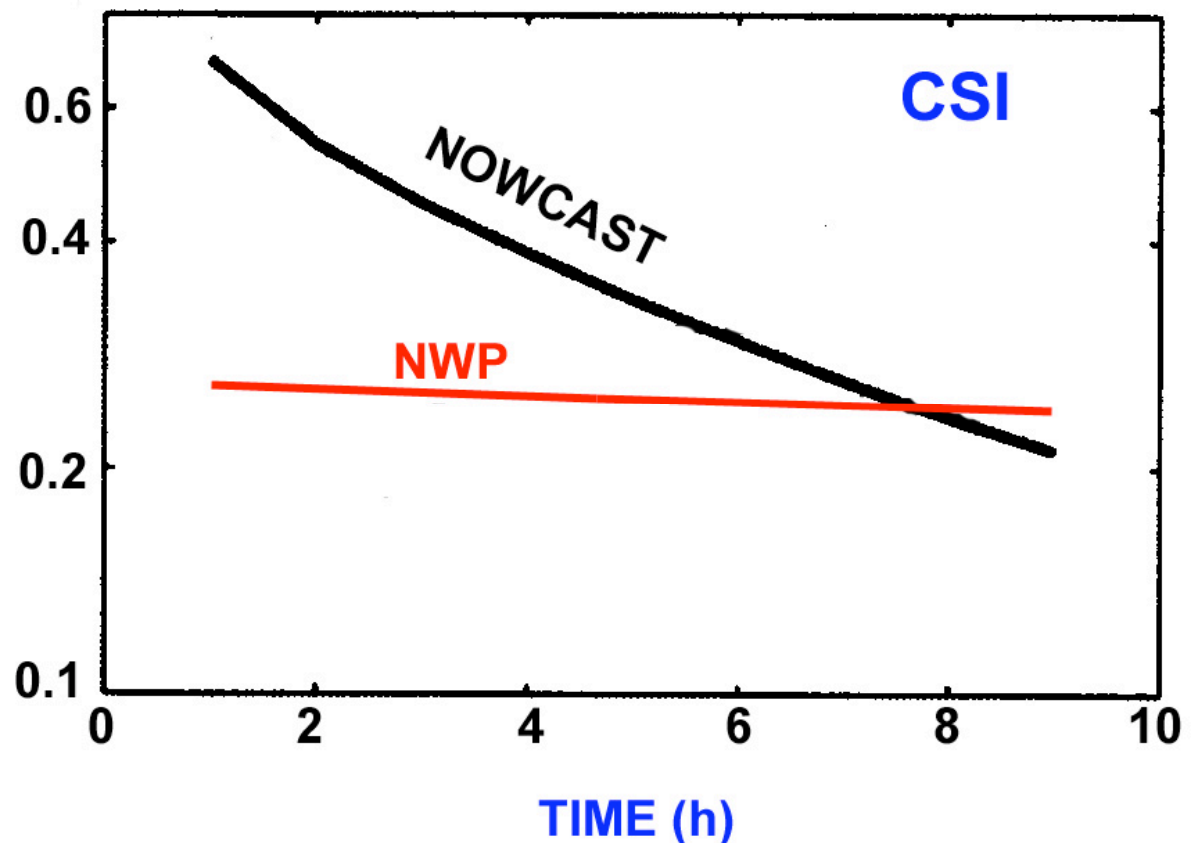
- Introduction
  - Background and motivation
  - WRF-Var
- Methodology
  - Radial velocity
  - Reflectivity
- Procedure
  - Data preprocessing
  - Setup of namelist and scripts
- Summary

# Operational NWP: poor short-term QPF skill

---

- Current operational NWP can not beat extrapolation-based radar nowcast technique for the first few forecast hours.
- One of the main reasons is that NWP is not initialized by high-resolution observations, such as radar.

0.1 mm hourly precipitation skill scores for Nowcast and NWP averaged over a 21 day period



From Lin et al. (2005)

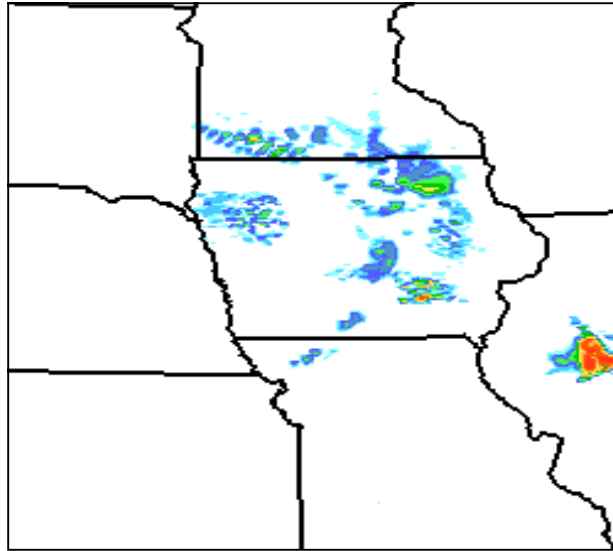
# Example of model spin-up from BAMEX

---

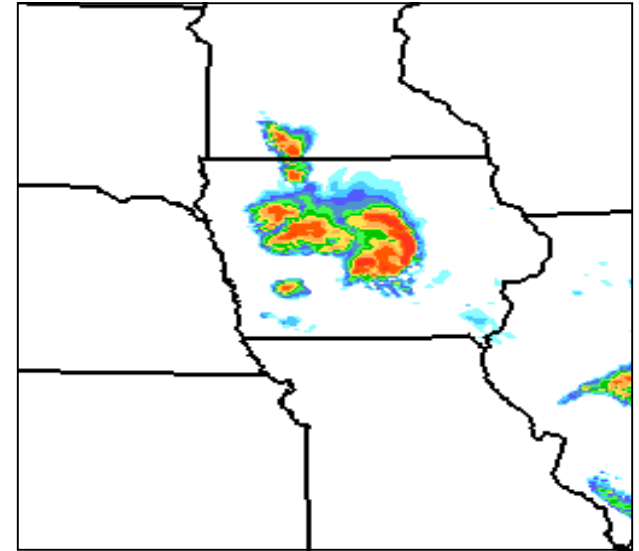
Without high-resolution initialization:

- A model can take a number of hours to spin up.
- Convections with weak synoptic-scale forcing can be missed.

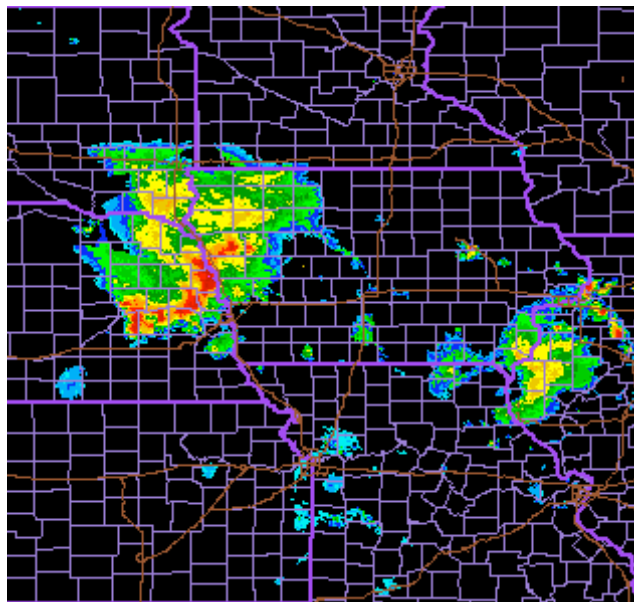
6h forecast (July 6 2003)



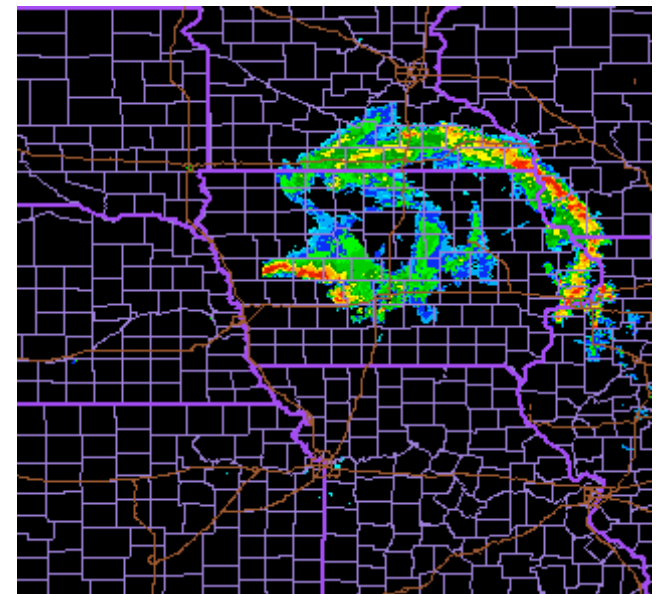
12h forecast



Radar observation at 0600 UTC



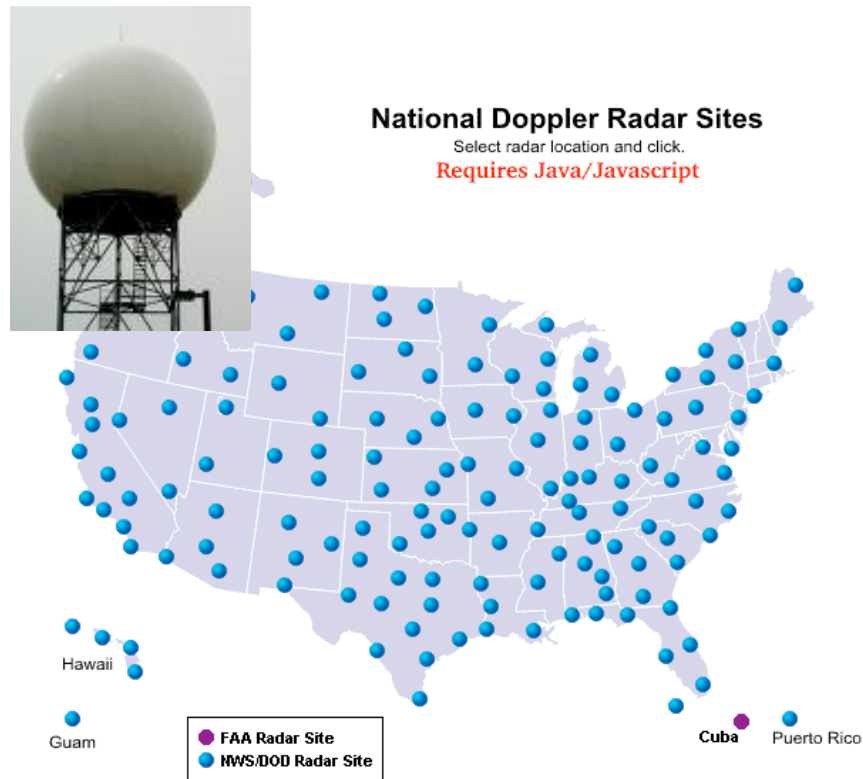
at 1200 UTC



Graphic source:  
<http://www.joss.ucar.edu>

# Opportunities

- There are wealth of Doppler radar observations from ground-based, airborne, and DOW radars.



- Assimilation of Doppler radar data should improve the small-scale structures in the initial conditions, reduce the model spin-up time, and enhance the short-time NWP skills.

# Doppler radar observations

---

- The level II data are radial velocity and reflectivity
- High spatial ( $\sim 1$  km) and temporal (5-10 minutes) resolution, but coverage is limited to regions with hydrometeors
- Huge amount of data (in a storm mode, the estimate number of data is  $\sim 3$  million/ 5minute from one radar)

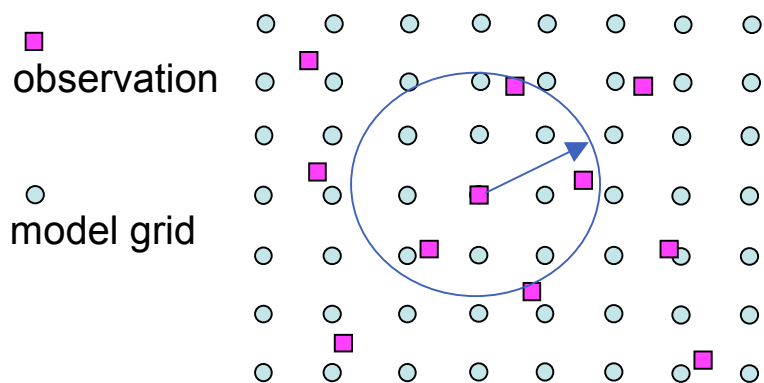
# Doppler radar observations

---

## Conventional Observation:

Resolution ~ a few 100 km --  
much poorer than model resolutions.

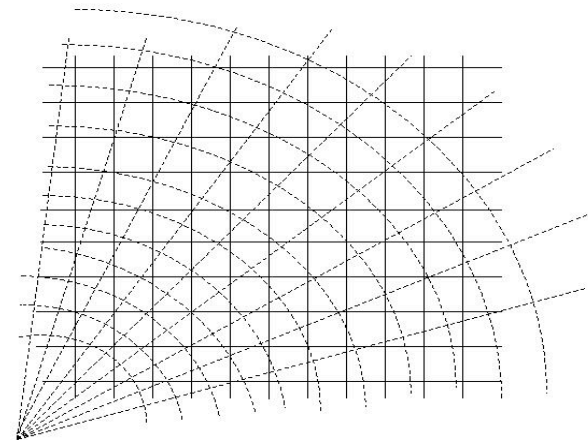
The observed is usually model  
variables.



## Radar observation:

Resolution ~ a few km --  
equivalent to model resolutions.

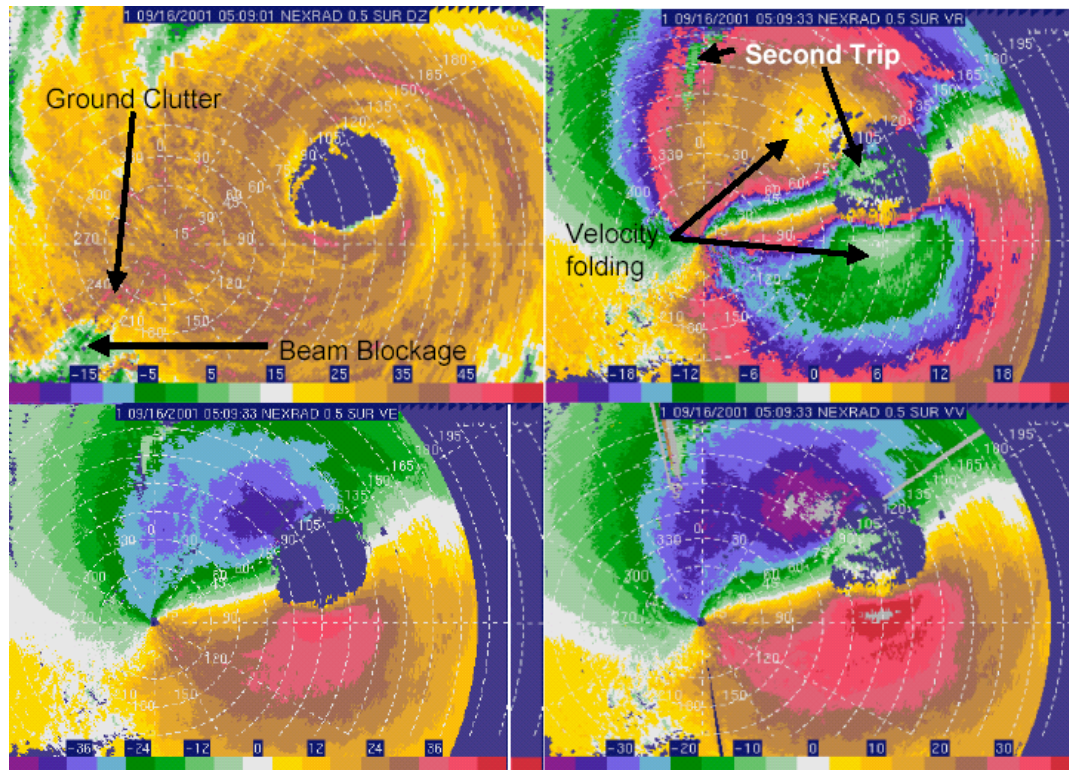
Radial velocity and reflectivity data  
are not model variables.



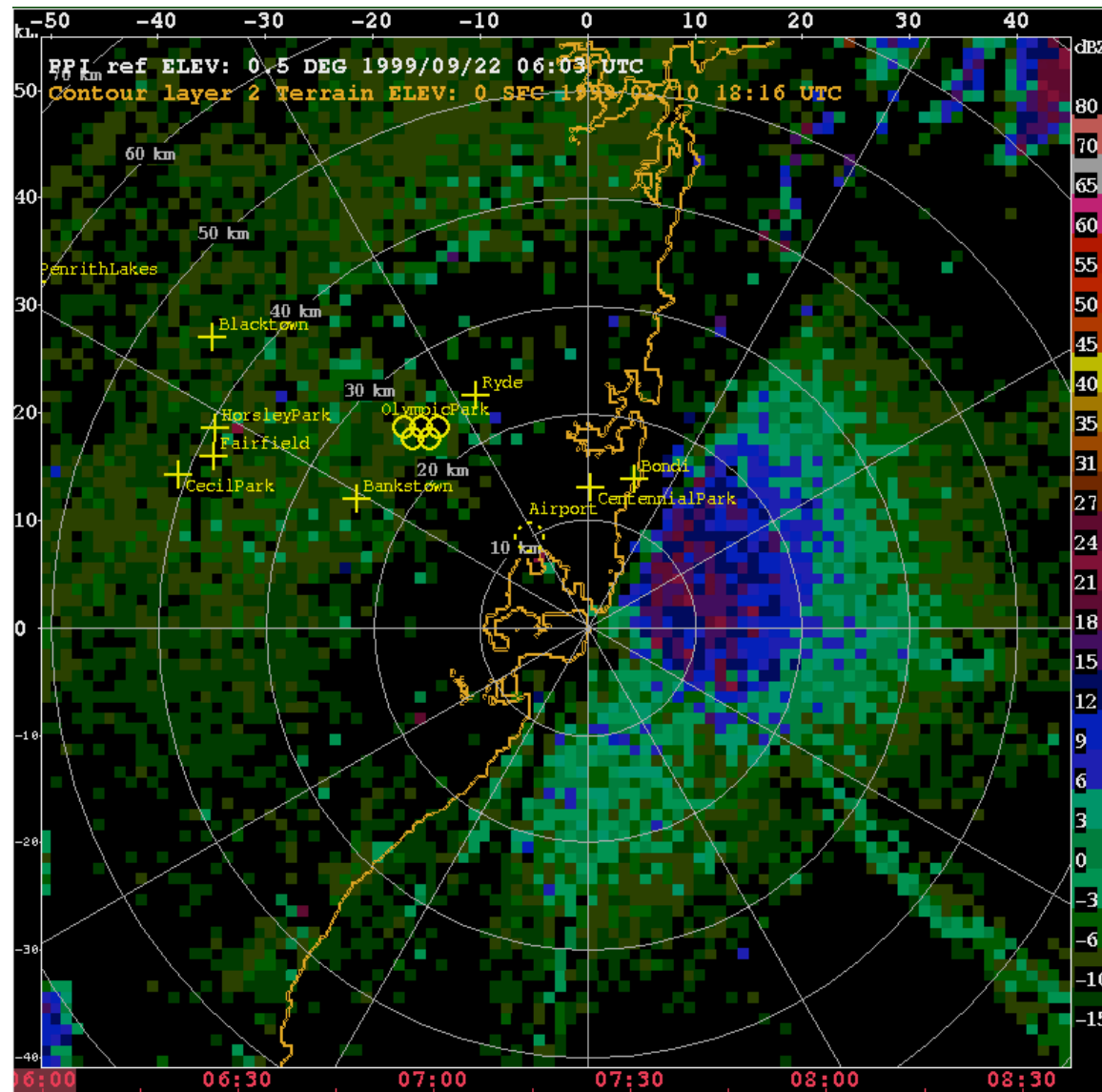


# Doppler radar observations

- Data quality control is a major issue for radar data assimilation
  - Dealiasing
  - Removal of clutters, second-trip echo and other noises

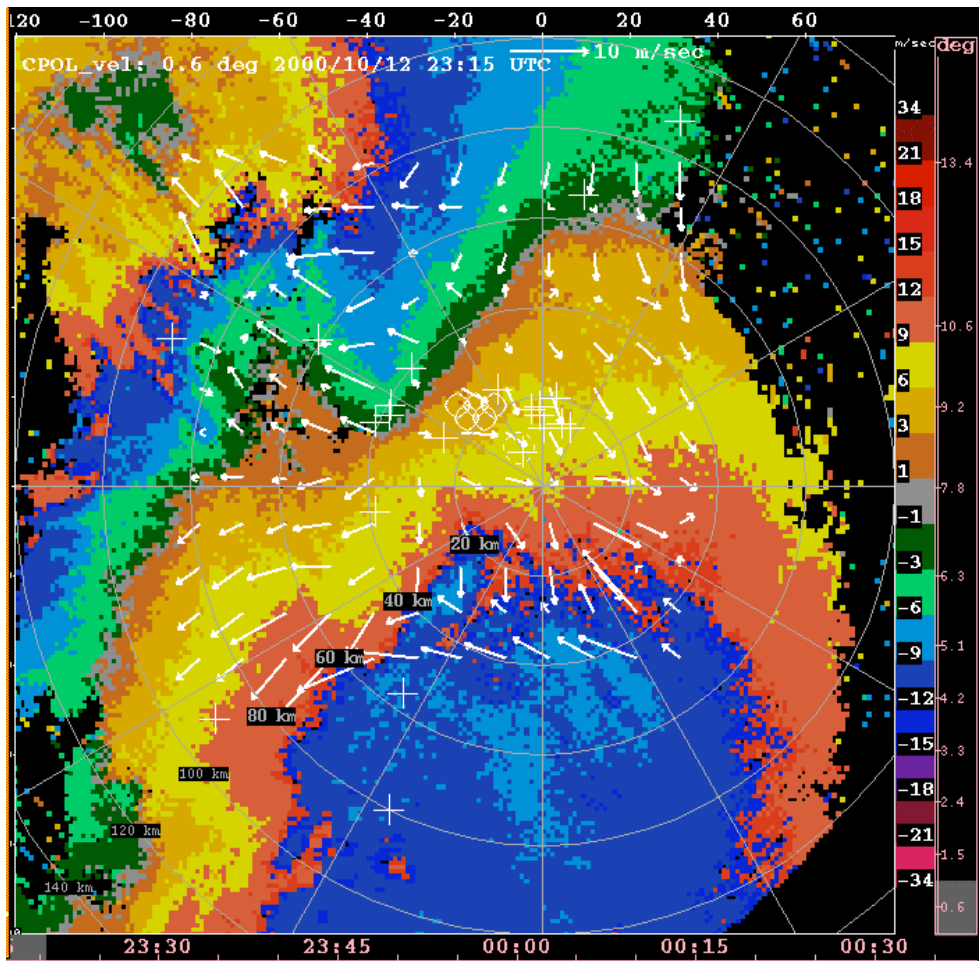


# Doppler radar observations

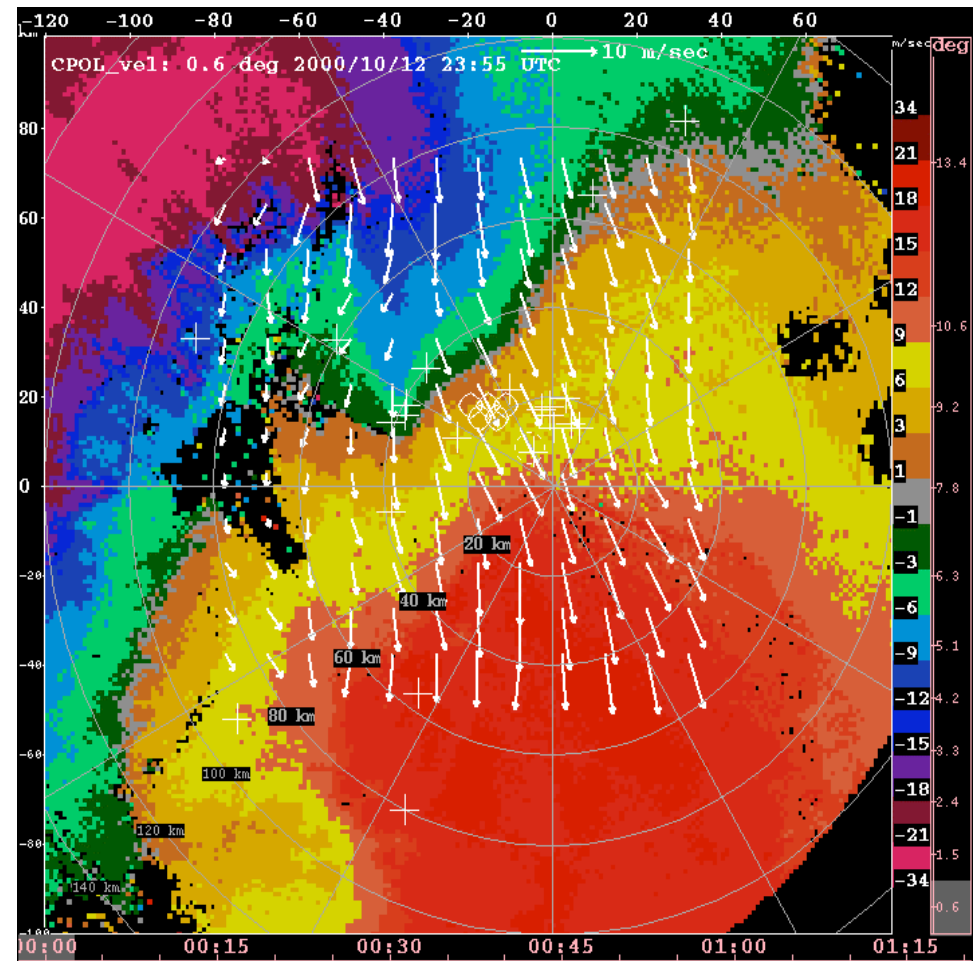


**Sea clutter:** Radar echo has vertical gradient near the surface.

# Doppler radar observations



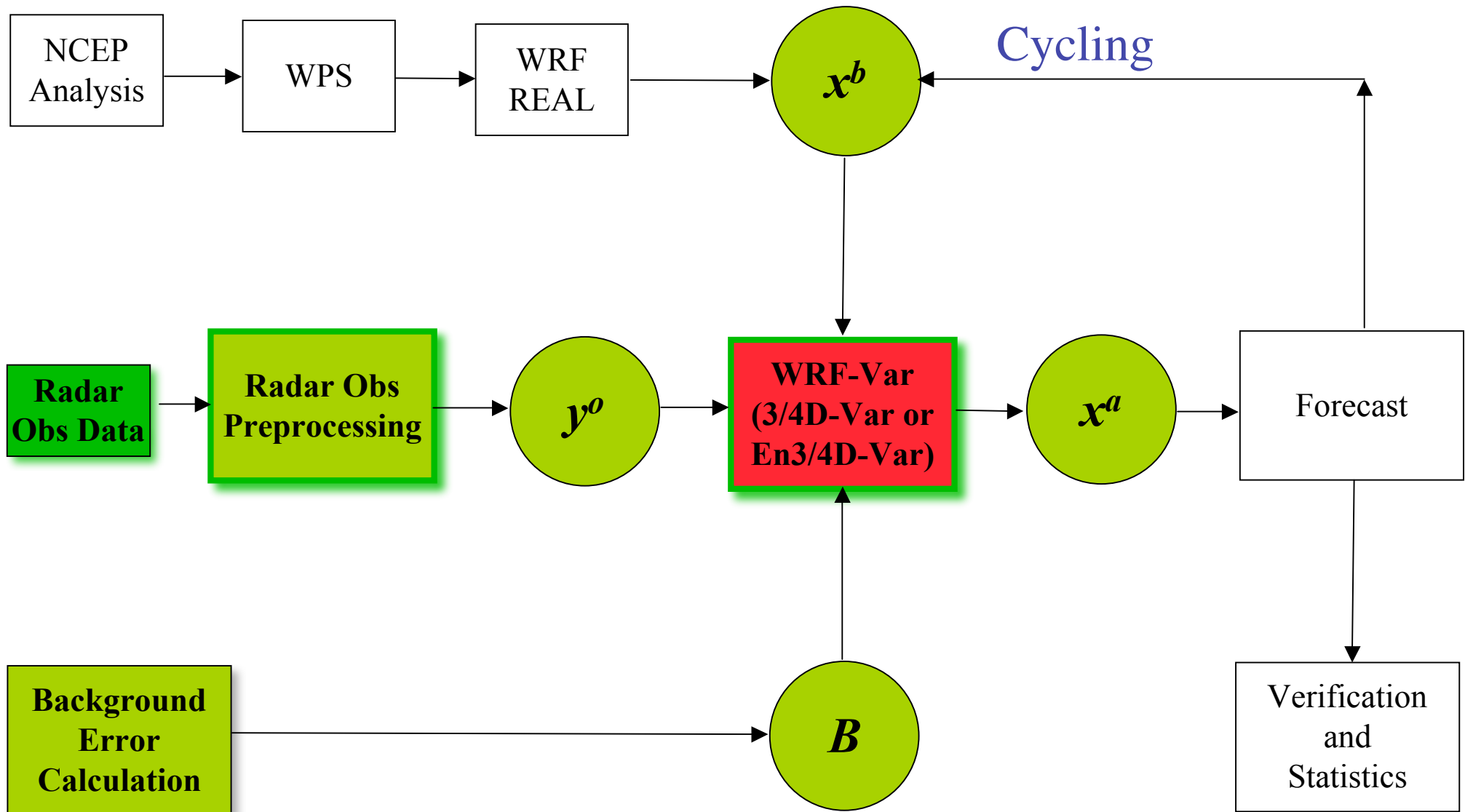
Aliased velocities



De-aliased velocities

# WRF-Var Flow Chart

---



# Outline:

---

- Introduction
  - Background and motivation
  - WRF-Var
- Methodology
  - Radial velocity
  - Reflectivity
- Procedure
  - Data preprocessing
  - Setup of namelist and scripts
- Summary

# Challenges for radar DA with 3D-Var

---

- Cost function

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}^b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}^b) + \frac{1}{2}[H(\mathbf{x}) - \mathbf{y}^o]^T O^{-1}[H(\mathbf{x}) - \mathbf{y}^o]$$

- To assimilate data, we need to construct observation operators.
- The possibly simplest operators for radial velocity and reflectivity:

- Radial velocity:

$$v_r = u \frac{x - x_i}{r_i} + v \frac{y - y_i}{r_i} + (w - v_T) \frac{z - z_i}{r_i}$$

$$v_T = 5.40a \cdot q_r^{0.125}, \quad a = (p_0 / \bar{p})^{0.4}$$

- Reflectivity:

$$dbZ = 43.1 + 17.5 \log(\rho q_r)$$

# Challenges for radar DA with 3D-Var

---

- The WRF 3D-Var cost function minimization is performed in the control variable space via preconditioning.

$$J(\mathbf{v}) = \frac{1}{2} \mathbf{v}^T \mathbf{v} + \frac{1}{2} (\mathbf{H}(\mathbf{U}\mathbf{v}) - \mathbf{d})^T \mathbf{O}^{-1} (\mathbf{H}(\mathbf{U}\mathbf{v}) - \mathbf{d})$$

- The preconditioning control variables are  $\mathbf{v} : (\psi', \chi_u', T'_u, p'_{su}, \text{and } r'_s)$ .
- The analysis increments  $\mathbf{x}'$  are obtained through a series of transform:  $\mathbf{x}' = \mathbf{U}\mathbf{v} = \mathbf{U}_p \mathbf{U}_v \mathbf{U}_h \mathbf{v}$ .

# Challenges for radar DA with 3D-Var

---

- The relation between control variable space and model space is through “physical transform in WRF 3D-Var system,  $\mathbf{U}_p$ , and its adjoint  $\mathbf{U}_p^T$ .
  - $\mathbf{U}_p$ : Convert control variables ( $\psi', \chi_u', T'_u, p'_{su}$ , and  $r'_s$ ) to model variables ( $u', v', T', p', q'$ )



## ➤ WRF 3D-Var

- Control variables ( $\psi'$ ,  $\chi_u'$ ,  $T'_u$ ,  $p'_{su}$ ,  $r'_s$ )  
=> model variables ( $u'$ ,  $v'$ ,  $T'$ ,  $p'$ ,  $q'$ )

## ➤ Doppler radar data assimilation

### Radial velocity data

3D-Var needs vertical velocity increments ( $w'$ )  
to have a full assimilation of radial velocity data.

### Reflectivity data

3D-Var needs at least rainwater increments ( $q'_r$ ).  
It is better to have increments of all other  
hydrometeor variables as well in 3D-Var analysis.

# Challenges for radar DA with 3D-Var

---

- There is an inconsistency between what the WRF 3D-Var increments have and what the Radar data assimilation needs.
- To overcome the problem, we need either to introduce new control variables (e.g.  $w'$ ,  $q_r'$ , etc.), or to construct new “physical transforms  $\mathbf{U}_p$  and its adjoint  $\mathbf{U}_p^T$ ” in WRF 3D-Var system”.

# Challenges for radar DA with 3D-Var

---

- If new control variables are introduced, then it is required to modify the whole system. In addition, the background error statistics for the new control variables (e.g.  $w'$  and  $q_r'$ ) are very difficult.
- We selected to construct new “physical transform” to enable WRF 3D-Var assimilate Doppler radar data.

# W Increments in WRF 3D-Var

---

- Richardson's Equation ( $\psi', \chi_u', T'_u, p'_{su} \rightarrow u', v', T', p' \rightarrow w'$ )

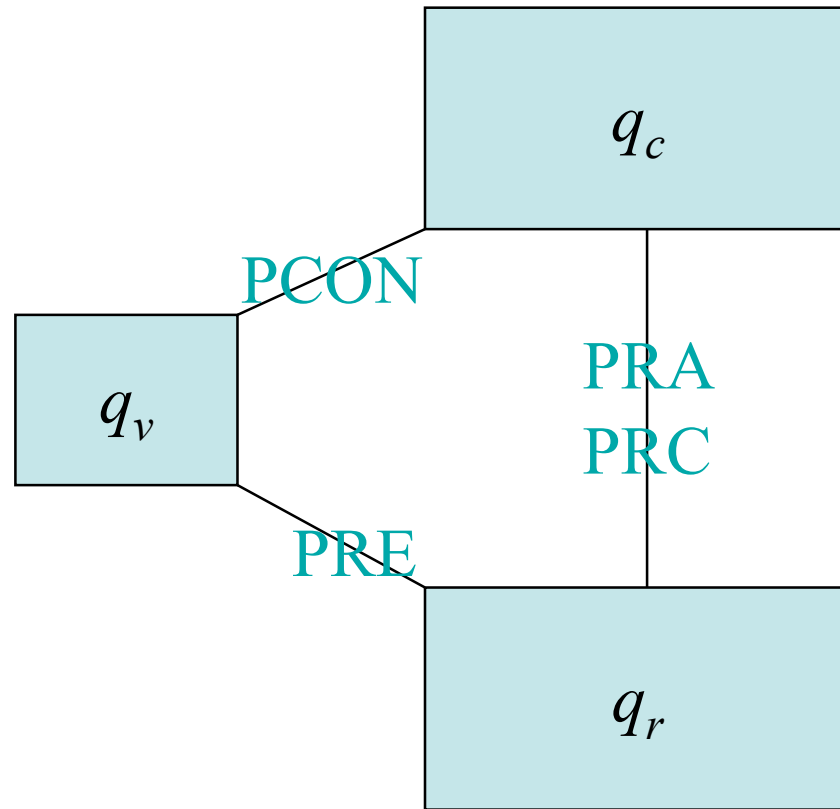
$$\gamma \bar{p} \frac{\partial w'}{\partial z} = -\gamma p' \frac{\partial \bar{w}}{\partial z} - \gamma \bar{p} \nabla \cdot \vec{v}'_h - \gamma p' \nabla \cdot \vec{\bar{v}}_h - \vec{\bar{v}}_h \nabla p' \\ - \vec{v}' \nabla \bar{p} + g \int_z^\infty \nabla \cdot (\rho \vec{v}'_h) dz + g \int_z^\infty \nabla \cdot (\rho' \vec{\bar{v}}_h) dz$$

- Richardson's equation is a higher-order approximation of the continuity equation than the incompressible continuity equation or anelastic continuity equation.
- It can build an efficient linkage between dynamic and thermodynamic fields because the thermodynamic equation is directly involved.
- Its computation is affordable, just a little more than the anelastic continuity equation.

# Hydrometeor increments in WRF 3D-Var

---

A warm rain process is currently built in WRF 3D-Var to bridge water hydrometeors and other variables.



PCON: condensation/evaporation;  
PRA: accretion; PRC: conversion;  
PRE: evaporation/deposition

# Observation operators

---

- Radial velocity

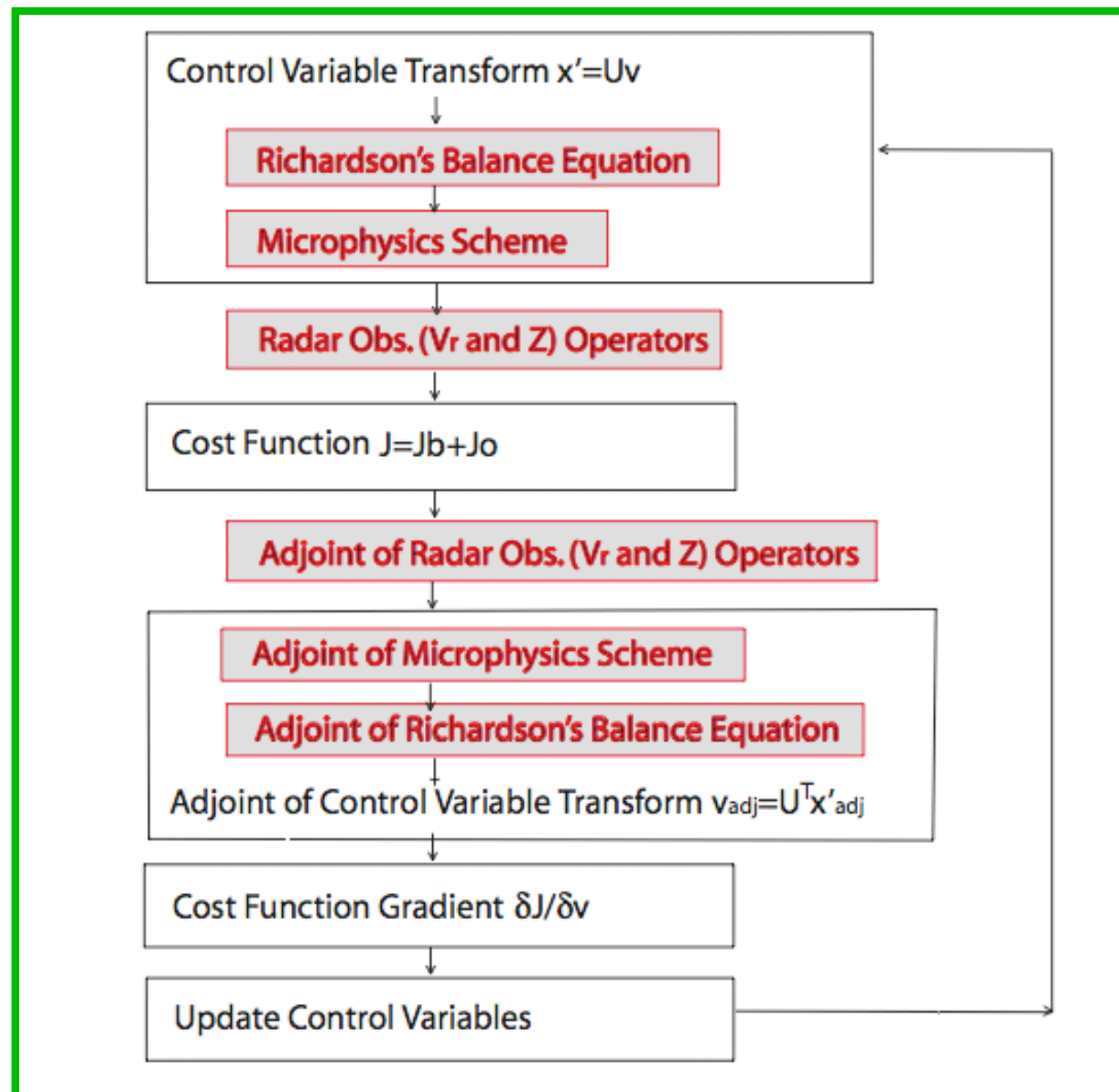
$$v_r = u \frac{x - x_i}{r_i} + v \frac{y - y_i}{r_i} + (w - v_T) \frac{z - z_i}{r_i}$$

$$v_T = 5.40a \cdot q_r^{0.125}, \quad a = (p_0 / \bar{p})^{0.4}$$

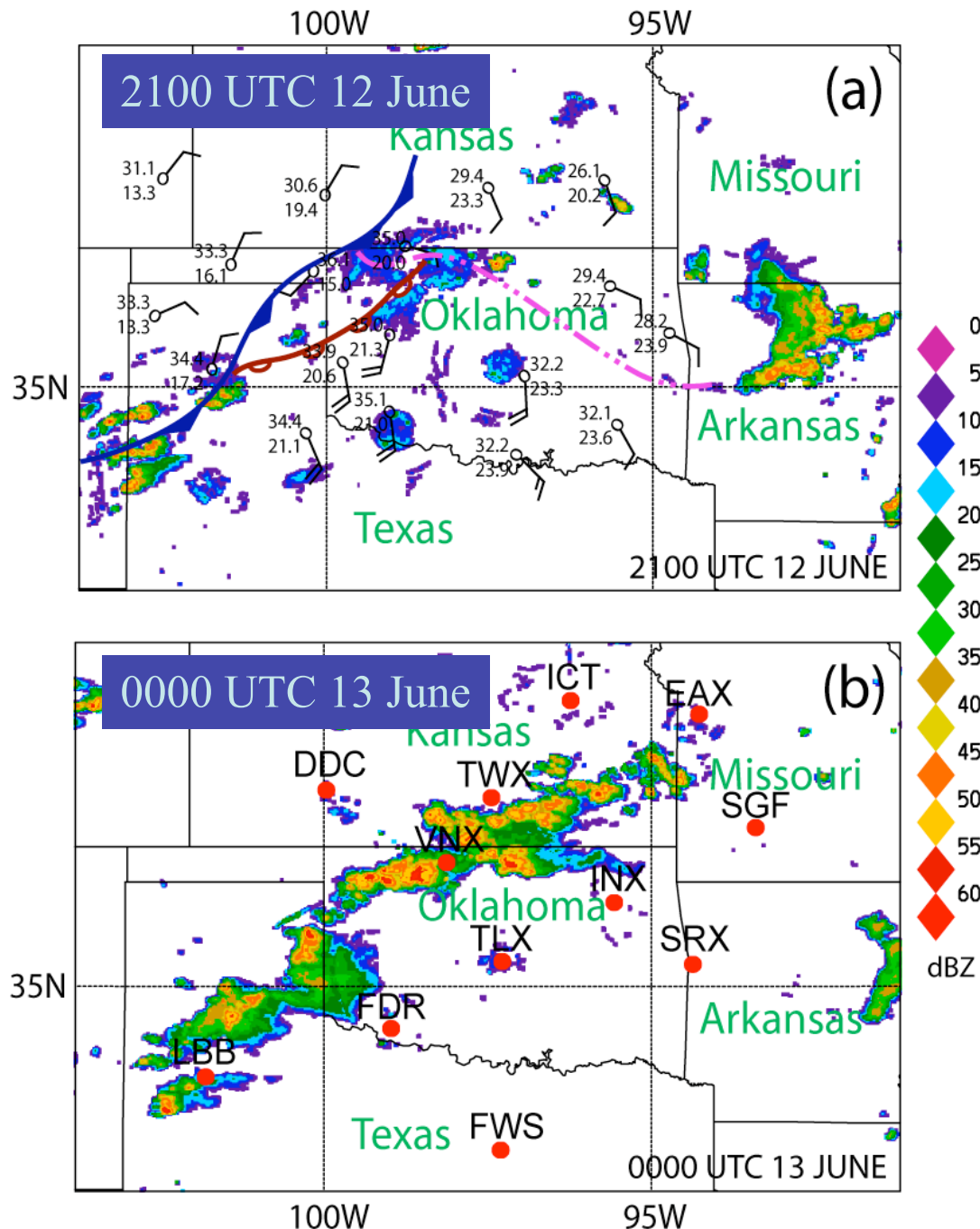
- Reflectivity

$$dbZ = 43.1 + 17.5 \log(\rho q_r)$$

# Flow Chart of Radar Data Assimilation in WRF 3D-Var



# QPF of an IHOP\_2002 Squall Line Case



Xiao and Sun (2007), *Mon. Wea. Rev.*, **135**, 3381-3404.

Surface analysis and Composite radar reflectivity observations at (a) 2100 UTC 12 and (b) 0000 UTC 13 June 2002.

The cold front (blue line), dry line (brown line), and outflow boundary (pink line) at 2100 UTC 12 June are depicted in (a). The 12 red dots in (b) indicate 12 WSR-88D radar stations with their station name above the red dot. The data from the 12 WSR-88D radars are used for the Doppler radar data assimilation experiments.



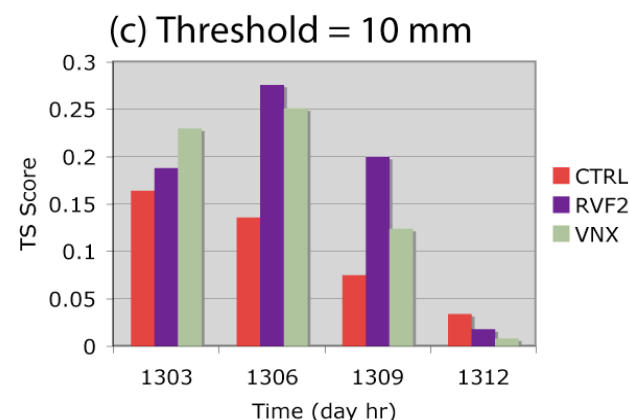
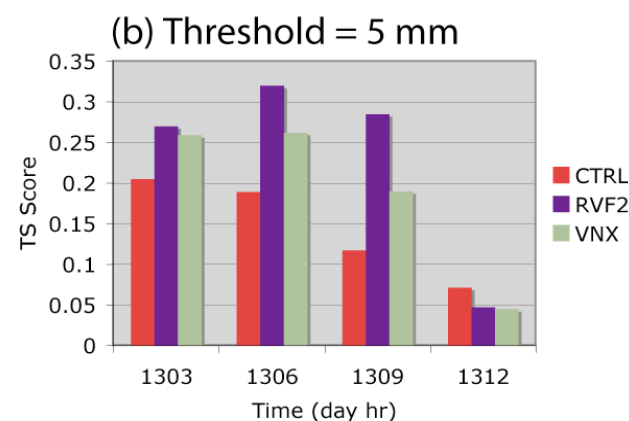
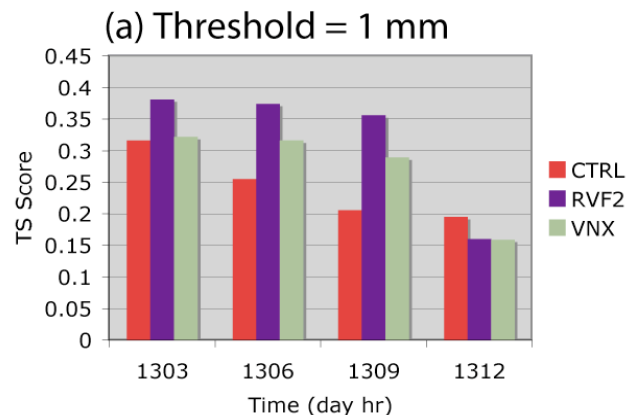
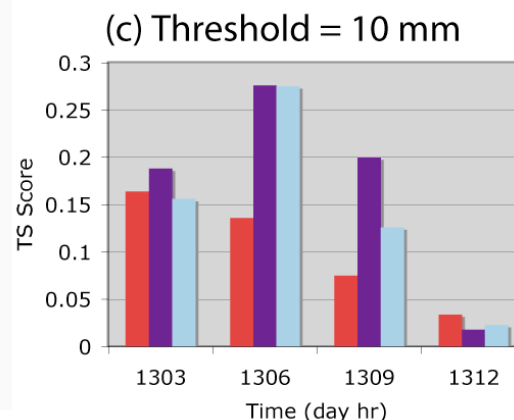
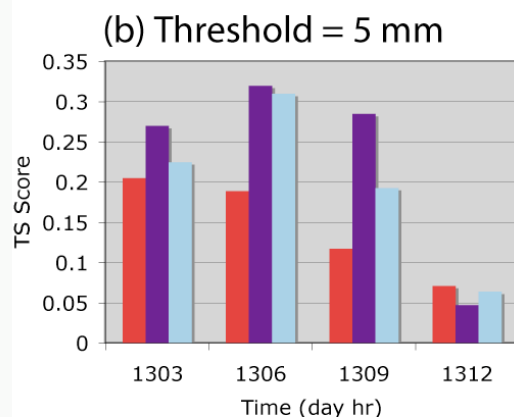
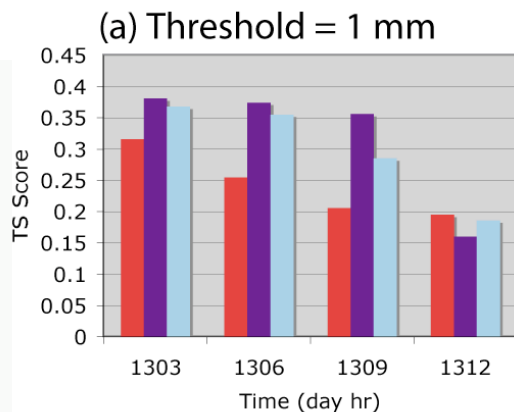
# QPF of an IHOP\_2002 Squall Line Case

Left panel

Red:  
CTRL

Blue:  
Cycling

Cyan:  
No cycling



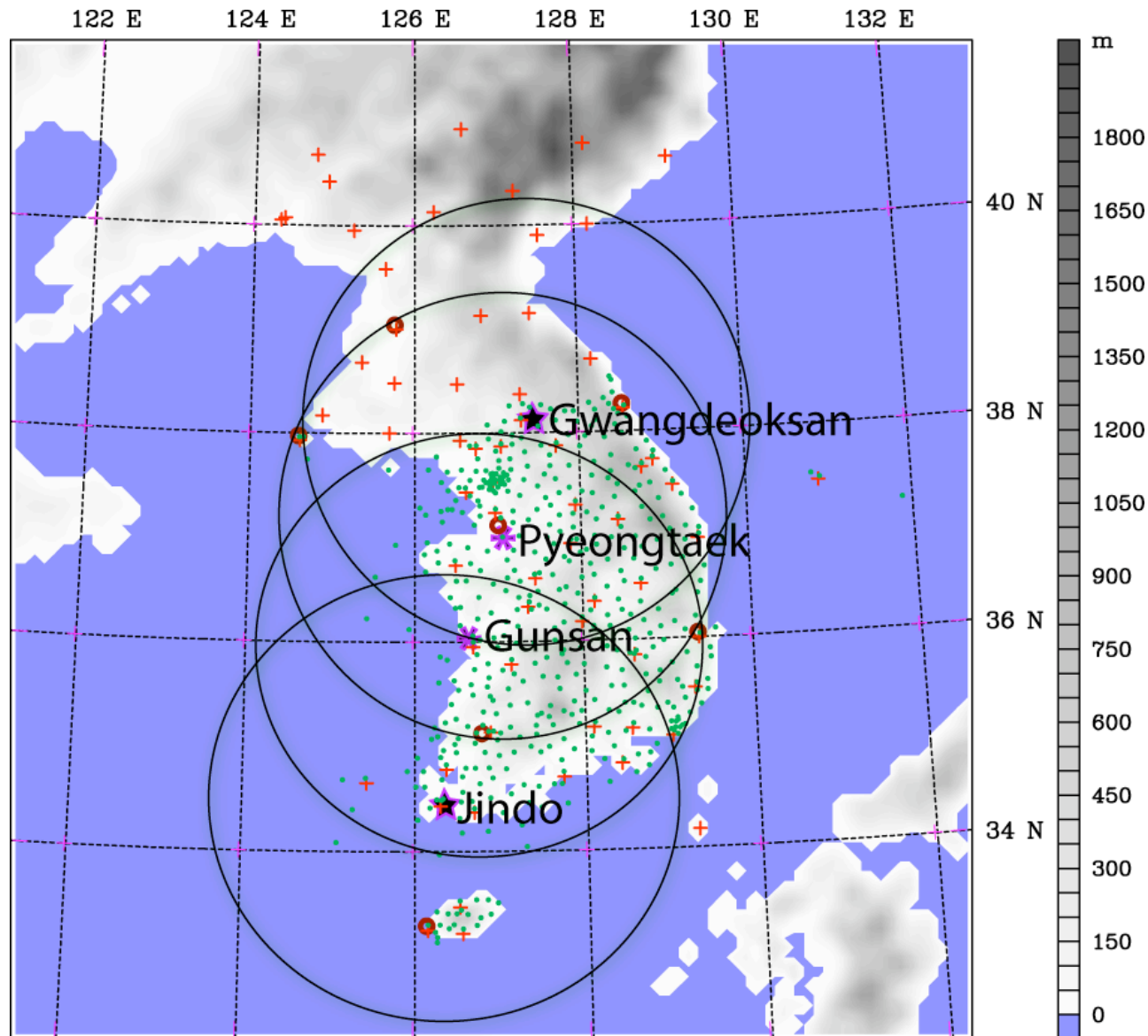
Right panel

Red:  
CTRL

Blue:  
12 radars

Grey:  
Single radar

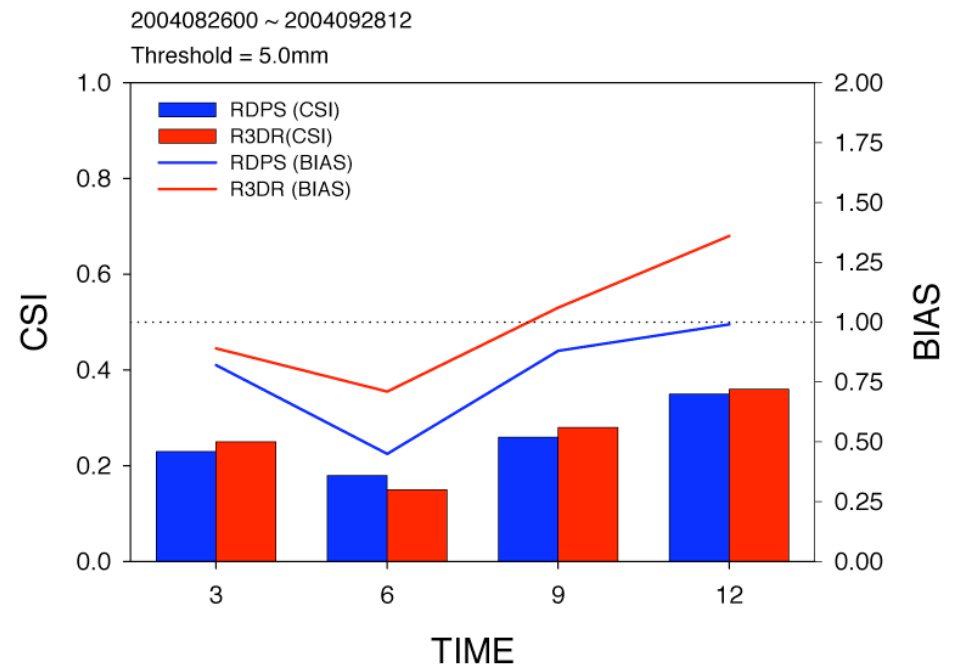
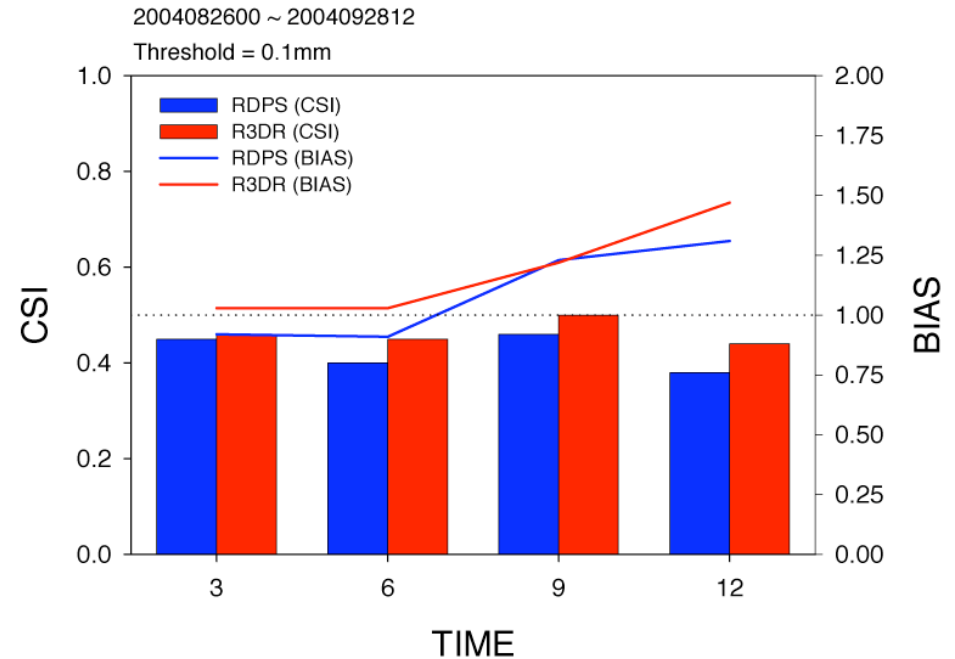
# KMA radars used in 3D-Var analysis



Doppler radar  
data from 4  
radar sites  
were included  
in assimilation  
In 2006

# One-month Verification in KMA

- Period: 2004. 8. 26. 00UTC ~ 2004. 9. 28. 12UTC (3hr-accumulated rainfall)
  - Operation without radar data/experiment with radar data (blue/red)
- 0.1mm threshold (top) : CSI is increased, but BIAS is also increased at the 12 hour forecasts.
- 5.0 mm threshold (bottom) : CSI is increased except for the 6 hour forecast, BIAS is increased at the 12 hour forecasts.
- Xiao et al. (2008), *Bull. Amer. Met. Soc.* **89**, 39-43.



# Outline:

---

- Introduction
  - Background and motivation
  - WRF-Var
- Methodology
  - Radial velocity
  - Reflectivity
- Procedure
  - Data preprocessing
  - Setup of namelist and scripts
- Summary

# Doppler radar data preprocessing

---

- Preprocessing Doppler radar data is an important procedure before assimilation.
- It usually contains the following:
  - Quality control
    - To deal with clutter, AP, folded velocity, bird contamination, ...
  - Mapping
    - Interpolation, smoothing, super-observation, data filling, ...
  - Error statistics
    - Variance and covariance

# Doppler radar data preprocessing

---

- NCAR software:
  - SPRINT: Sorted Position Radar INTerpolation
  - CEDRIC: Custom Editing and Display of Reduced Information in Cartesian-space
  - SPRINT and CEDRIC are released in NCAR/MMM website  
<http://www.mmm.ucar.edu/pdas/pdas.html>
- NCAR software:
  - ANT: Auto-Nowcasting System
  - VDRAS: Variational Doppler Radar Analysis System
  - ANT and VDRAS are not released to the public
- There is no standard and automatic software to perform radar data preprocessing.

# Radar data preprocessing

---

- An example: VDRAS preprocessor
- Input: gridded data at elevation angles
- Eliminate ground-clutter contamination
  - ✓  $v_r < 0.1 \text{ ms}^{-1}$
- Remove noises
  - ✓ Remove data with high local variance of  $v_r$  and reflectivity
    - $> 60 \text{ m}^2\text{s}^{-2}$  /  $150 \text{ dBZ}^2$  (empirical values) in  $3 \times 3$  grid points
  - ✓ Filter out isolated and questionable velocities
    - $3 \times 3$  filter (Bargen and Brown 1980)
      - If more than 3 of its 8 neighboring are missing, it is assigned a missing value

# Radar data preprocessing

---

- Dealias

- ✓ 1<sup>st</sup> step: Compare with a reference wind from model run (automatic)
- ✓ 2<sup>nd</sup> step: Compare with local average wind (automatic)
- ✓ 3<sup>rd</sup> step: Examine velocity at each elevation angle (manual)

- Fill missing data

- ✓ 9×9 grid points, more than 20 grid points in at least 2 quadrates

- Interpolate data to the same resolution as model runs (3 km)

- ✓ Bi-linear interpolation to 3×3 km on elevation angles

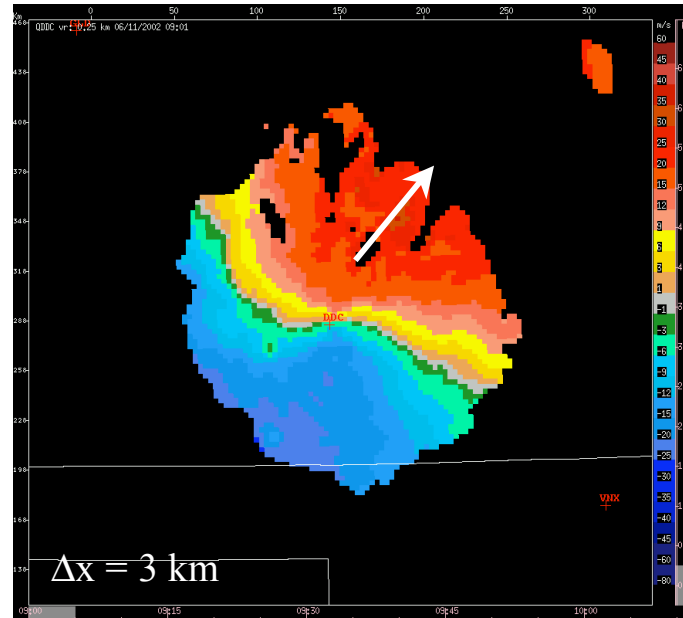
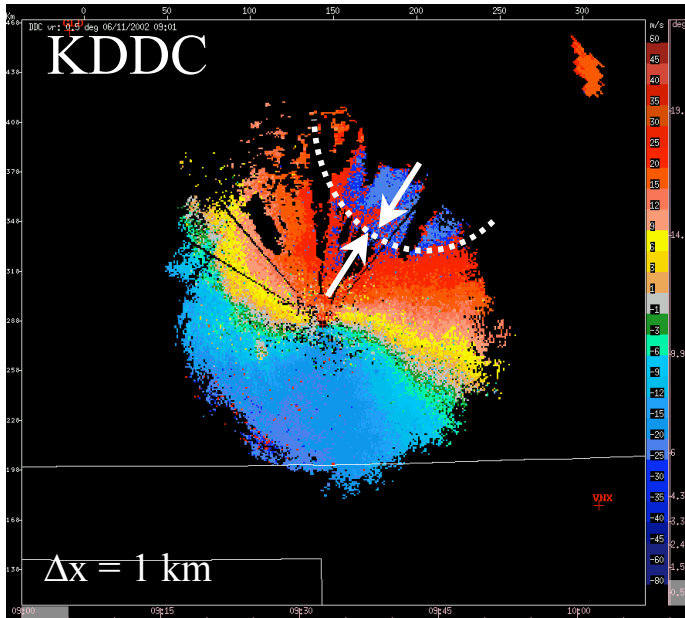
- Final output

- ✓ Radial velocity, reflectivity and their errors
  - Error: standard deviation from values at 3×3 grid points
  - Min/Max radial velocity error is 1.0/10.0 ms<sup>-1</sup>

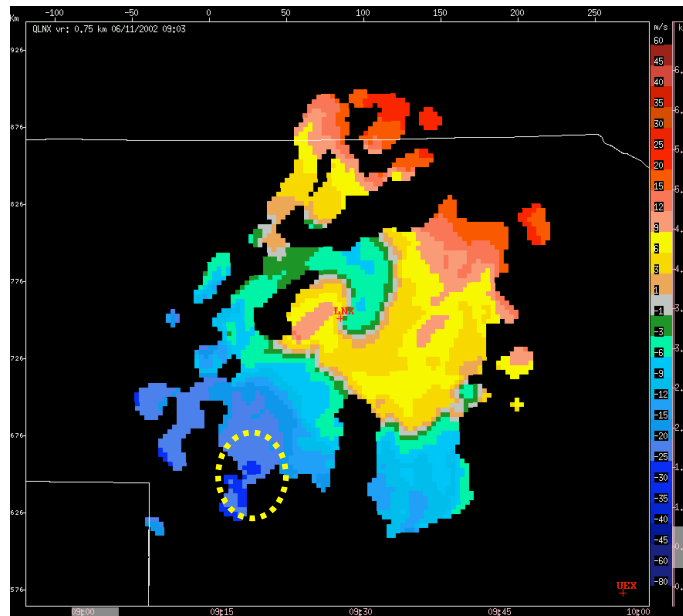
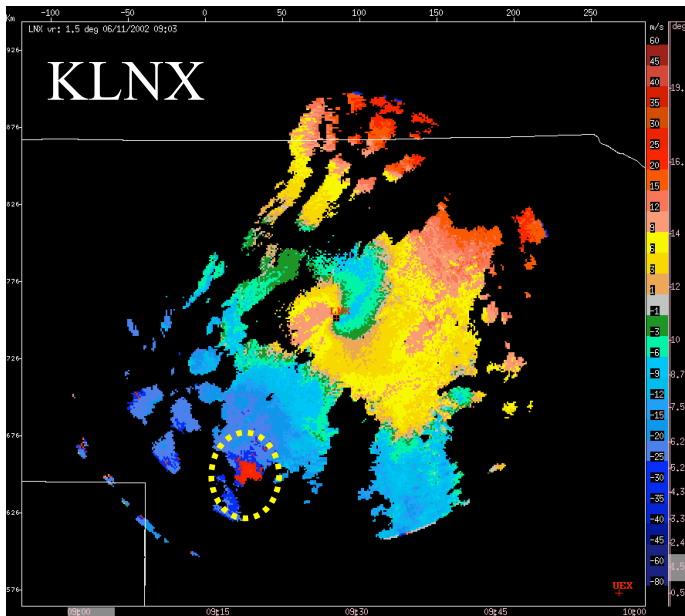


# Radar data preprocessing

20020611 09UTC



Radial  
velocity  
de-aliasing



# Data format

---

TOTAL RADAR (14X, I3) – FMT = (A14,I3)

#-----

Head record for specific Radar information (site, lat0, lon0, elv, date, # of data locations, max\_levs)

– FMT = (A5,2X,A12,2(F8.3,2X),F8.1,2X,A19,2I6)

#-----

Head record for the specific location (FM-128 RADAR, date, lat, lon, elv, levs)

-- FMT=(A12,3X,A19,2X,2(F12.3,2X),F8.1,2X,I6)

Data-level record (height<m>, Radial\_V<m/s>, qc, err, Reflectivity<dbz>, qc, err)

Data-level record (height<m>, Radial\_V<m/s>, qc, err, Reflectivity<dbz>, qc, err)

.....

– FMT=(3X,F12.1,2(F12.3,I4,F12.3,2X))

Head record for specific Radar information (site, lat0, lon0, elv, date, # of data locations, max\_levs)

#-----

Head record for the specific location (FM-128 RADAR, date, lat, lon, elv, levs)

Data-level record (height<m>, Radial\_V<m/s>, qc, err, Reflectivity<dbz>, qc, err)

Data-level record (height<m>, Radial\_V<m/s>, qc, err, Reflectivity<dbz>, qc, err)

.....

# Data format

---

TOTAL RADAR = 2

#-----#

RADAR JINDO 126.328 34.471 499.0 2002-08-31\_00:00:00 5706 9

#-----#

FM-128 RADAR 2002-08-31\_00:00:00 34.314 124.003 499.0 2

3803.5 7.918 1 0.500 17.704 1 1.125

7480.6 -888888.000 -88 -888888.000 -888888.000 -88 -888888.000

FM-128 RADAR 2002-08-31\_00:00:00 34.360 124.002 499.0 2

3795.2 7.125 1 0.500 18.214 1 1.160

7467.1 -888888.000 -88 -888888.000 -888888.000 -88 -888888.000

FM-128 RADAR 2002-08-31\_00:00:00 34.405 124.000 499.0 2

3790.2 6.714 1 0.598 14.864 0 0.707

7459.0 -888888.000 -88 -888888.000 -888888.000 -88 -888888.000

FM-128 RADAR 2002-08-31\_00:00:00 35.275 123.974 499.0 2

4325.9 4.118 0 0.500 16.650 0 3.959

8315.9 -888888.000 -88 -888888.000 -888888.000 -88 -888888.000

.....  
RADAR JINDO 126.328 34.471 499.0 2002-08-31\_00:00:00 5706 9

#-----#

FM-128 RADAR 2002-08-31\_00:00:00 34.314 124.003 499.0 2

3803.5 7.918 1 0.500 17.704 1 1.125

7480.6 -888888.000 -88 -888888.000 -888888.000 -88 -888888.000

FM-128 RADAR 2002-08-31\_00:00:00 34.360 124.002 499.0 2

3795.2 7.125 1 0.500 18.214 1 1.160

7467.1 -888888.000 -88 -888888.000 -888888.000 -88 -888888.000

FM-128 RADAR 2002-08-31\_00:00:00 34.405 124.000 499.0 2

3790.2 6.714 1 0.598 14.864 0 0.707

7459.0 -888888.000 -88 -888888.000 -888888.000 -88 -888888.000

FM-128 RADAR 2002-08-31\_00:00:00 35.275 123.974 499.0 2

4325.9 4.118 0 0.500 16.650 0 3.959

8315.9 -888888.000 -88 -888888.000 -888888.000 -88 -888888.000

.....

# Namelist

---

- In the namelist.input, the following additions should be made for radar data assimilation:

&wrfvar2

CALC\_W\_INCREMENT = T (to have w increments)

&wrfvar4

USE\_RADAROBS = T (to assimilate radar data)

USE\_RADAR\_RV = T (to assimilate radial velocity)

USE\_RADAR\_RF = T (to assimilate reflectivity)

# Linking the radar observation file

---

- In the run working directory, please link the radar observation file.

```
ln -sf /ptmp/hsiao/tutorial08/ob.radar ./test/ob.radar
```

- This is the only additional dataset you should include for radar data assimilation. Other input files for WRF-Var are the same as conventional data assimilation.

# Outline:

---

- Introduction
  - Background and motivation
  - WRF-Var
- Methodology
  - Radial velocity
  - Reflectivity
- Procedure
  - Data preprocessing
  - Setup of namelist and scripts
- Summary

# Summary

---

- The WRF 3D-Var with Doppler radar data assimilation showed positive impact, and was implemented in KMA operational numerical weather prediction in Korea. However, we found several issues to work on in order to improve the capability of the system:
  - Latent heat release with reflectivity assimilation - Reflectivity assimilation not only changes hydrometeors, but it also has direct impact on vertical velocity and temperature by including latent heat release in 3D-Var constraint.
  - More sophisticated microphysics - The tangent linear and adjoint of WSM6 microphysics are coded. We will implement them in WRF 3D-Var to have analysis of ice-phase hydrometeors with assimilation of reflectivity data. The TGL and ADJ codes will also be used in WRF 4D-Var.
  - Implementation of digital filtering with rapid update cycling of radar data in WRF 3D-Var. WRF 3D-Var analysis can still have spin-up problem. DFI can alleviate the problem.

# Summary

---

- Doppler radar data assimilation using WRF 4D-Var
  - WRF 3D-Var Doppler radar data assimilation shows promises. However, studies using WRF 4D-Var for radar data assimilation should be our major focus in the next several years.
  - There are several scientific studies and technical developments for WRF 4D-Var Doppler radar data assimilation.
    - Incremental or non-incremental approach?
    - Control variables change?
    - Coding the tangent linear and adjoint of sophisticated microphysics schemes and included in WRF 4D-Var.
- Doppler radar data assimilation using WRF En4D-Var
  - It uses flow-dependent background error covariance matrix constructed by ensemble forecasts and performs 4D-Var optimization.
  - It avoids the tangent linear and adjoint so that it can be easily implemented.



# Thanks for your attention

---



## Some references with Doppler radar data assimilation:

- Xiao, Q., Eunha Lim, D.-J. Won, J. Sun, W.-C. Lee, M.-S. Lee, W.-J. Lee, J. Cho, Y.-H. Kuo, D. Barker, D.-K. Lee, and H.-S. Lee, 2008: Doppler radar data assimilation in KMA's operational forecasting. *Bull. Amer. Meteor. Soc.*, **89**, 39-43.
- Xiao, Q., and J. Sun, 2007: Multiple radar data assimilation and short-range quantitative precipitation forecasting of a squall line observed during IHOP\_2002. *Mon. Wea. Rev.*, **135**, 3381-3404.
- Xiao, Q., Y.-H. Kuo, J. Sun, Wen-Chau Lee, D. M. Barker, and Eunha Lim, 2007: An approach of radar reflectivity data assimilation and its assessment with the inland QPF of Typhoon Rusa (2002) at landfall. *J. Appl. Meteor. Climat.*, **46**, 14-22.
- Xiao, Q., Y.-H. Kuo, J. Sun, Wen-Chau Lee, Eunha Lim, Y.-R. Guo, and D. M. Barker, 2005: Assimilation of Doppler radar observations with a regional 3D-Var system: Impact of Doppler velocities on forecasts of a heavy rainfall case. *J. Appl. Meteor.*, **44**, 768-788.