

BE, gen_be, Single ob experiments and Introduction to “Practice Session3”

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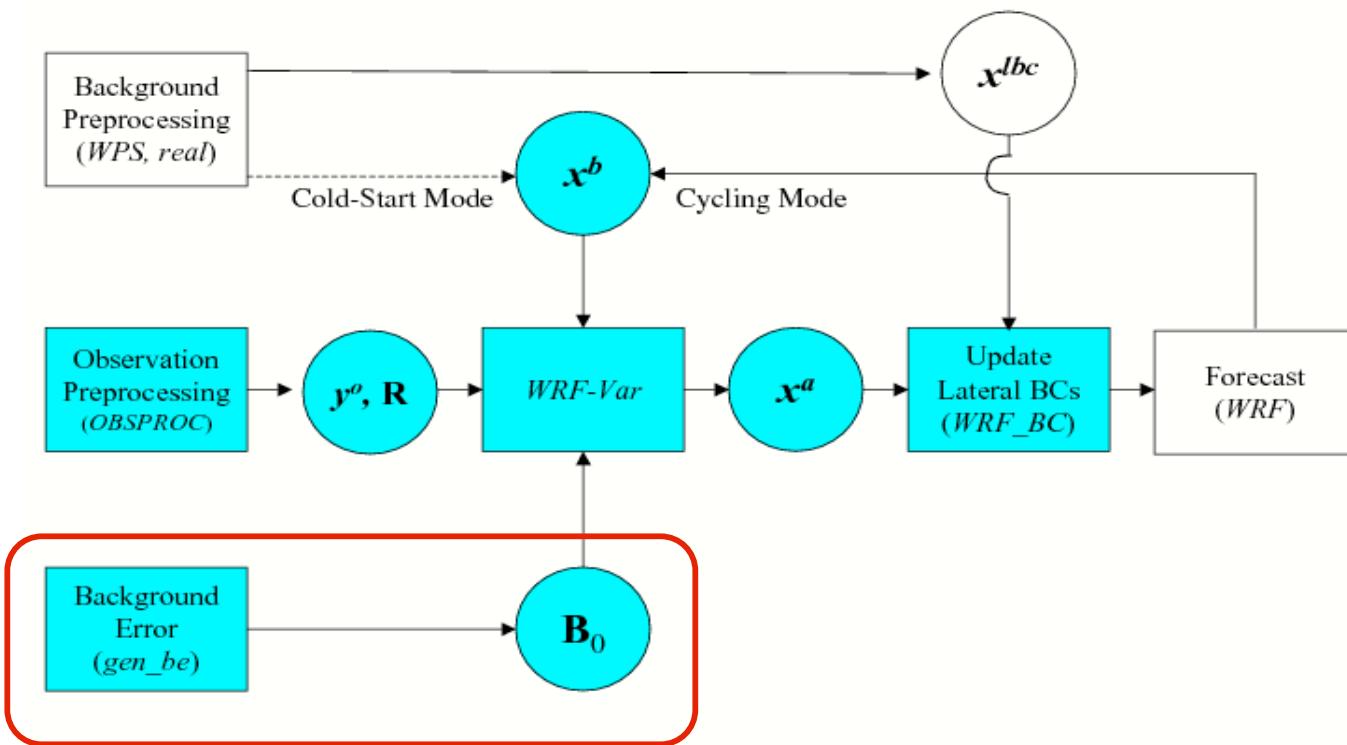
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Talk overview:

- **What is Background Error (BE) ?**
- **Role of BE in WRF-Var**
- **Importance of BE**
- **How is it computed (“gen_be” utility)?**
- **Impact of Background Error on minimization**
- **Single Observation Tests**
- **Tuning of background error**
- **Introduction to “Practice on Session 3”**

Where BE fits in WRF-modelling System



What is BE?

- It is the covariance of (forecast-truth) for analysis control variables
$$BE = \langle (x - x^t), (x - x^t)^T \rangle$$
- Since “truth” is not known, it needs to be estimated
- Common methods to estimate BE
 - a) Innovation Based approach
 - b) NMC Method: $(x - x^t) \approx (x^{t1} - x^{t2})$
(Forecast differences valid for same time)
 - c) Ensemble Method: $(x - x^t) \approx (x^{ens} - \langle x^{ens} \rangle)$
(Ensemble - Ensemble mean)
 - d) Flow dependent (adaptive approach)

Role of BE in WRF-Var cost Function:

- Basic WRF-Var cost function (J):

$$J = 1/2 [(x - x^b)^T B^{-1} (x - x^b) + (y^o - H(x))^T R^{-1} (y^o - H(x))]$$

x - Analysis control variable

x^b - Background (FG)

B - Background Error covariance

H - Full (Non-linear) Forward Observation Operator

y^o - Observations

R - Observation error covariance

Role of BE:

- BE is used for preconditioning the analysis equation

$$\mathbf{x}^a = \mathbf{x}^b + \mathbf{B}\mathbf{H}^T(\mathbf{H}\mathbf{B}\mathbf{H}^T + \mathbf{R})^{-1}[\mathbf{y}^o - \mathbf{H}(\mathbf{x}^b)]$$

by representing it with a suitable choice \mathbf{U} as follows

$$\mathbf{B} = \mathbf{U} \mathbf{U}^T \quad \text{with} \quad \mathbf{U} = \mathbf{U}_p \mathbf{U}_v \mathbf{U}_h$$

\mathbf{U}_h Horizontal Transform

\mathbf{U}_v Vertical Transform

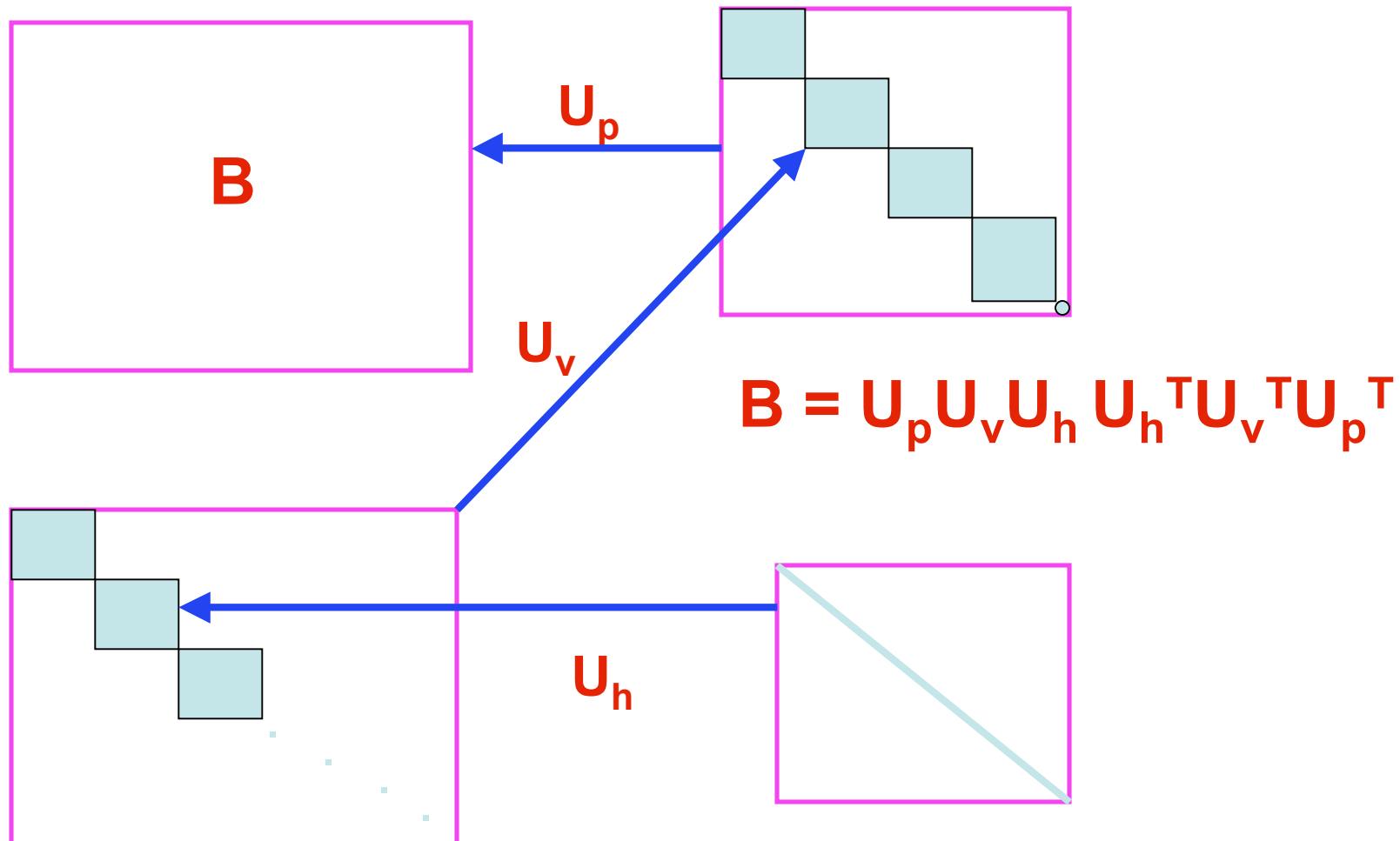
\mathbf{U}_p Physical Transform

- Horizontal transformation (\mathbf{U}_h) is via
 - Regional ----- Recursive filters
 - Global ----- Power spectrum
- Vertical transformation (\mathbf{U}_v) is via EOF's
- Physical transformation (\mathbf{U}_p) depends upon the choice of the analysis control variable

How BE is represented?

- In true sense the size of B is typically of the order of $10^7 \times 10^7$
- Thus it is not possible to handle such huge matrix
- Size of B is reduced by designing the analysis control variables in such a way that cross covariance between these variables are minimum
- Currently the analysis control variables for WRF-Var are the amplitudes of EOF's of
 - stream function (ψ)
 - Unbalanced part of velocity potential (χ_u)
 - Unbalanced part of temperature (T_u)
 - Relative Humidity (q)
 - Unbalanced part of surface pressure (p_{s_u})
- With this choice of analysis control variables off-diagonal elements of BE is very small and thus its size typically reduces to the order of 10^7

How BE is represented *Contd.*



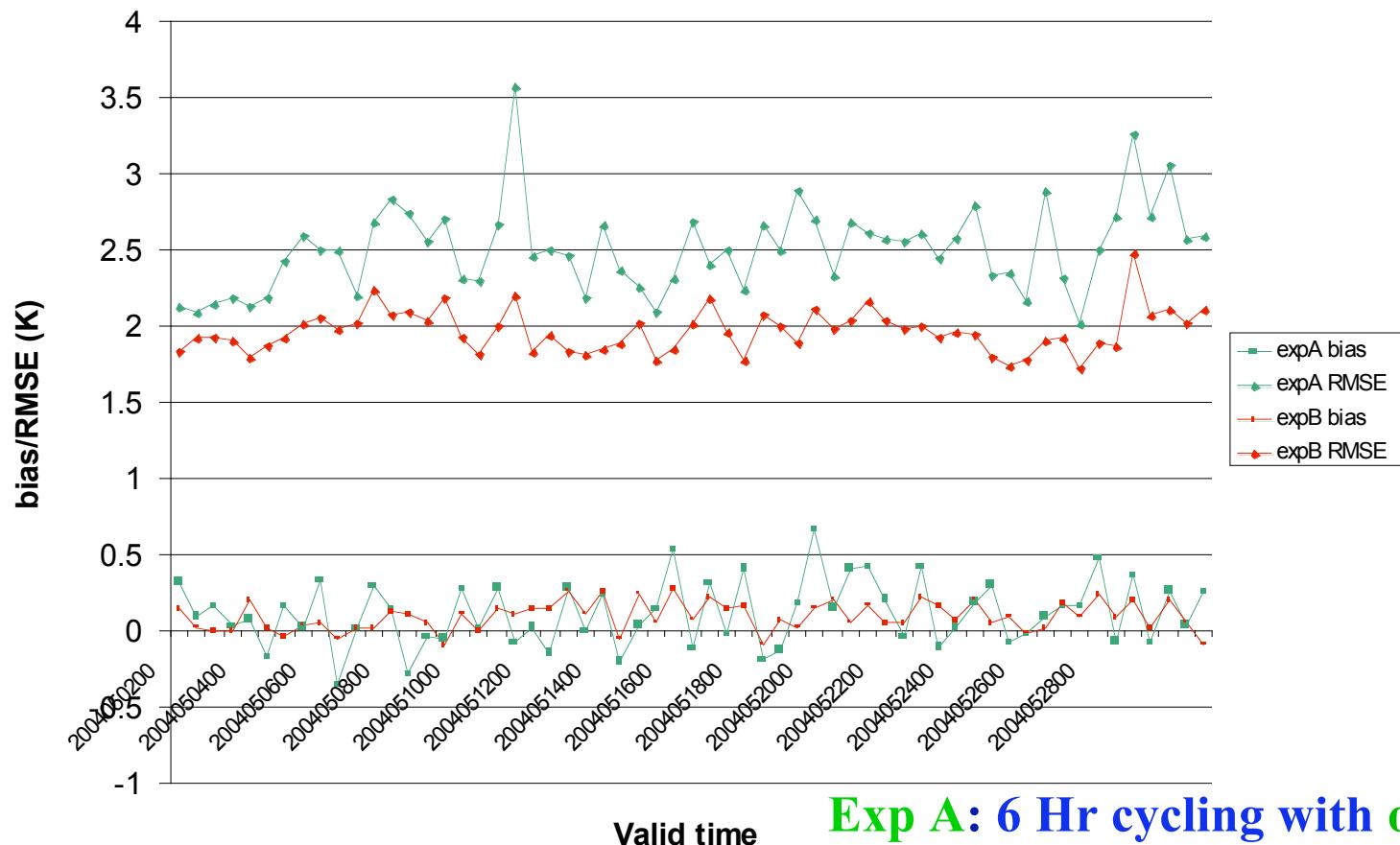
Basic statistical parameters of BE

Corresponding to each control variables, following parameters constitutes the basic statistics of BE

- a) Regression Coefficient for estimating balanced (statistical) part of Velocity potential, Temperature and Surface pressure
- b) Eigen vectors and Eigen values
- c) Scalelength for regional and power spectrum for global option

Importance of BE

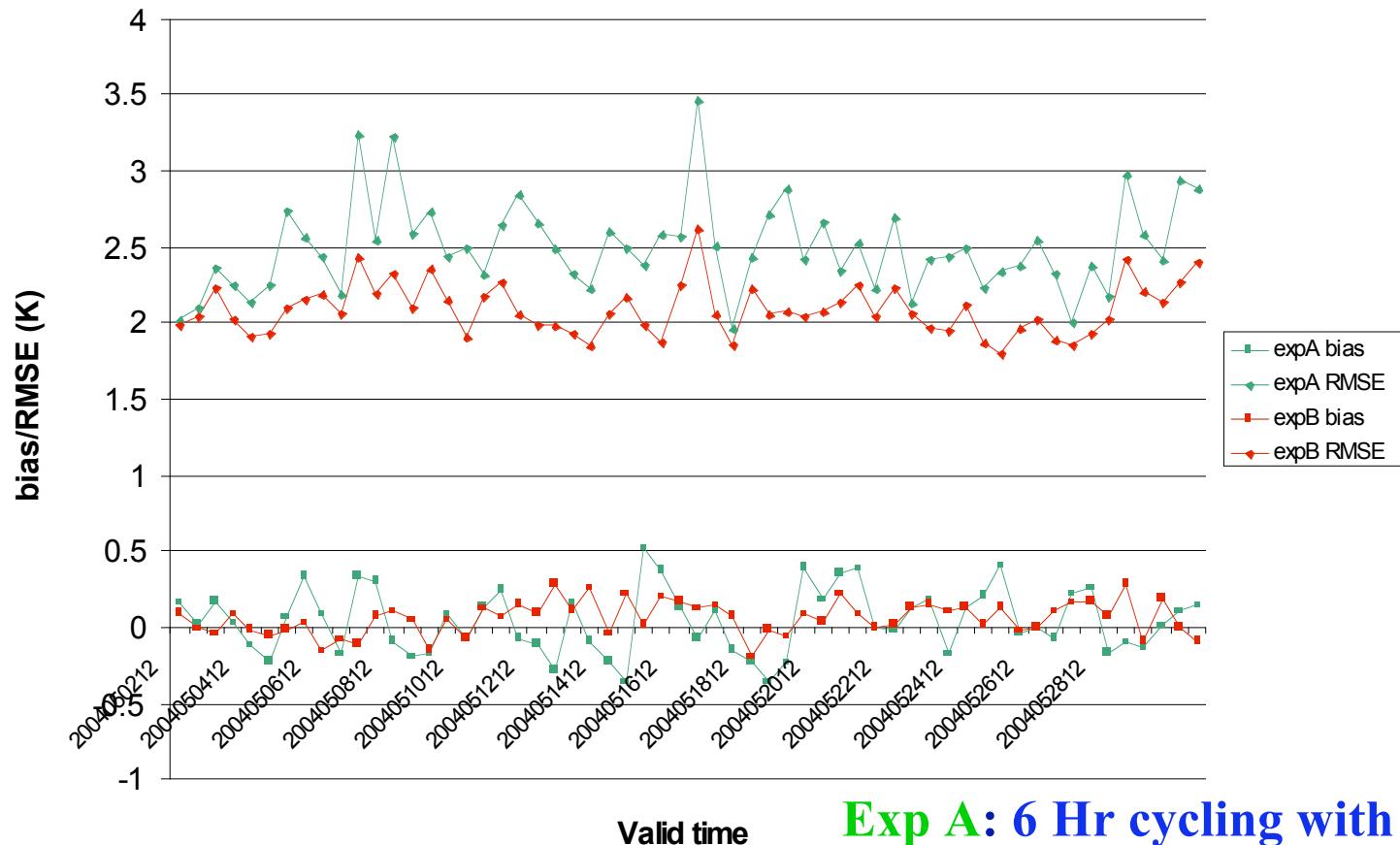
12 hr f/c bias/RMSE for Sound T



Exp A: 6 Hr cycling with old BE
 Exp B: 6 Hr cycling with new BE

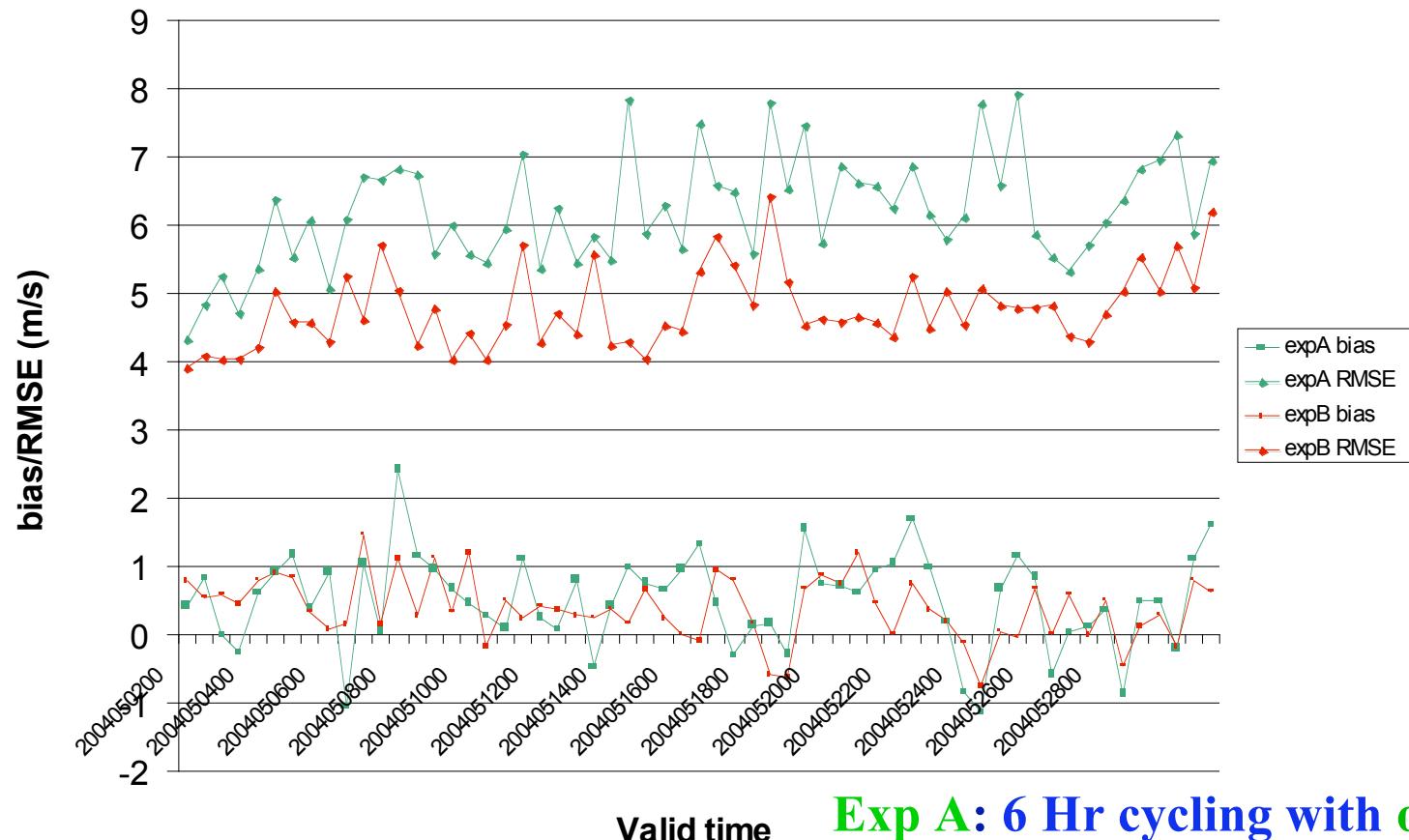
Importance of BE *Contd.*

24 hr f/c bias/RMSE for Sound T



Importance of BE *Contd.*

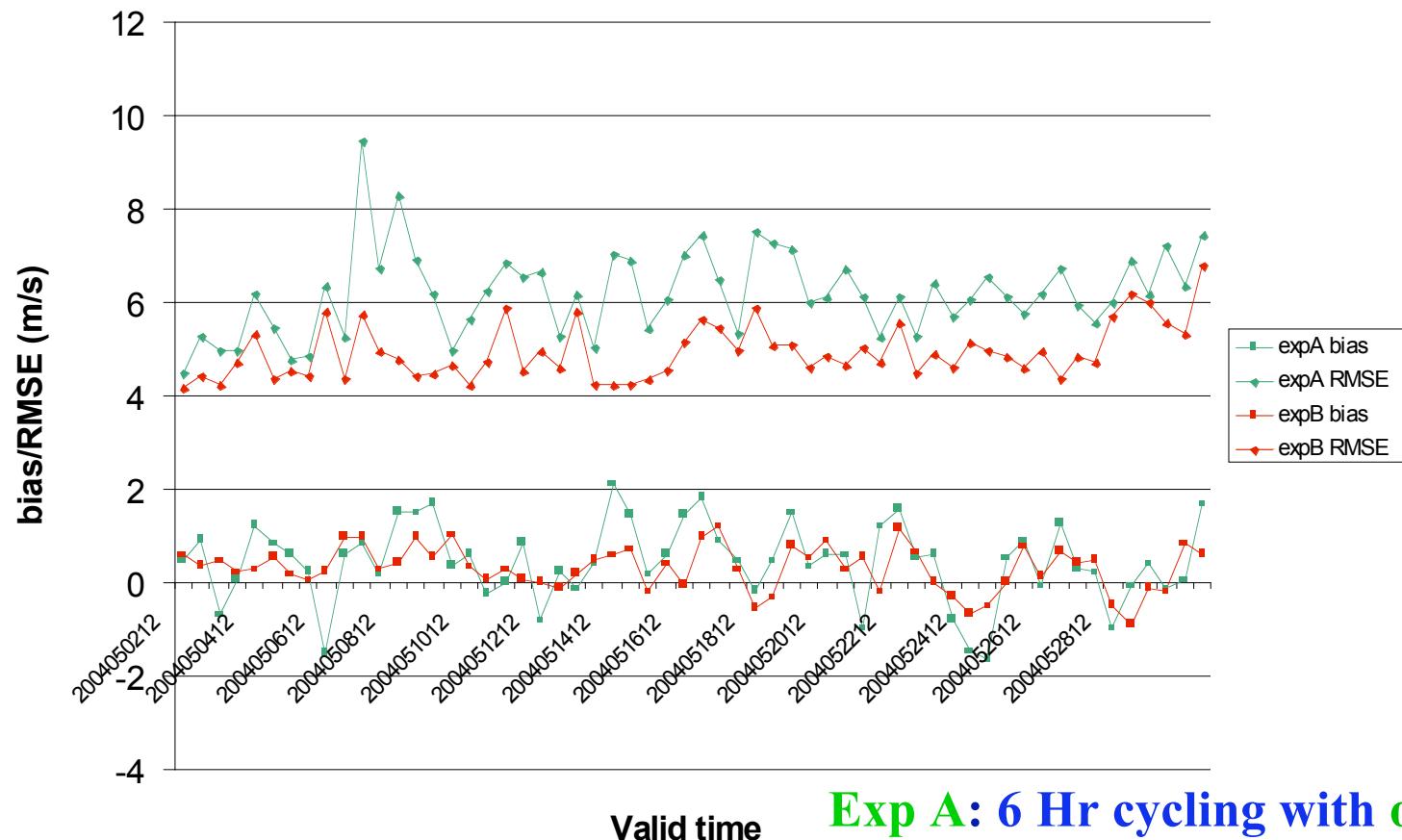
12 hr f/c bias/RMSE for Sound U-comp



Exp A: 6 Hr cycling with old BE
Exp B: 6 Hr cycling with new BE

Importance of BE *Contd.*

24 hr f/c bias/RMSE for Sound U-comp



WRF-Var “gen_be” utility:

- Computes various components of BE statistics needed for the WRF-Var
- Resides in WRF-Var top directory
- Designed both for NMC and Ensemble methods
- Consists of five stages
- Basic goal is to compute the error in Analysis Control Variable Space (Coefficients of the EOF's for ψ , χ_u , T_u , q and p_{s_u}) from the Background Variable Space (U , V , T , q & P_s)

“gen_be” - Stage0:

- Computes (ψ , χ) from (u,v)
- Forms desired differences for the following fields

ψ - Stream Function
 χ - Velocity potential
 T - Temperature
 q - Relative Humidity
 p_s - Surface Pressure

“gen_be” - Stage1:

- Reads “gen_be_stage1” namelist
- Fixes “bins” for computing BE statistics
- Computes “mean” of the differences formed in stage0
- Removes respective “mean” and forms perturbations for

Stream Function	(ψ')
Velocity potential	(χ')
Temperature	(T')
Relative Humidity	(q')
Surface Pressure	(p_s')

“gen_be” bins structure

- Currently “gen_be” utility has provisions of following seven (0-6) “bin_types”

- 0: No binning (each grid point is a bin)
- 1: mean in X-direction (Each latitude is a bin)
- 2: bins with binwidth_lat/binwidth_hgt
- 3: bins with binwidth_lat/nk
- 4: bins with binwidth_lat/nk (binwidth_lat (integer) is defined in terms of latitudinal grid points)
- 5: bins with all horizontal points (nk bins)
- 6: Average over all points (only 1 bin)

nk - Number of vertical levels

Default option is “bin_type=5”

“gen_be” - Stage2 & 2a:

- Reads “gen_be_stage2” namelist
- Reads field written in stage1 and computes covariance of the respective fields
- Computes regression coefficient & balanced part of χ , T & p_s

$$\chi_b = C \psi'$$

$$T_b(k) = \sum_l G(k,l) \psi'(l)$$

$$p_{s,b} = \sum_k W(k) \psi'(k)$$

- Computes unbalanced part

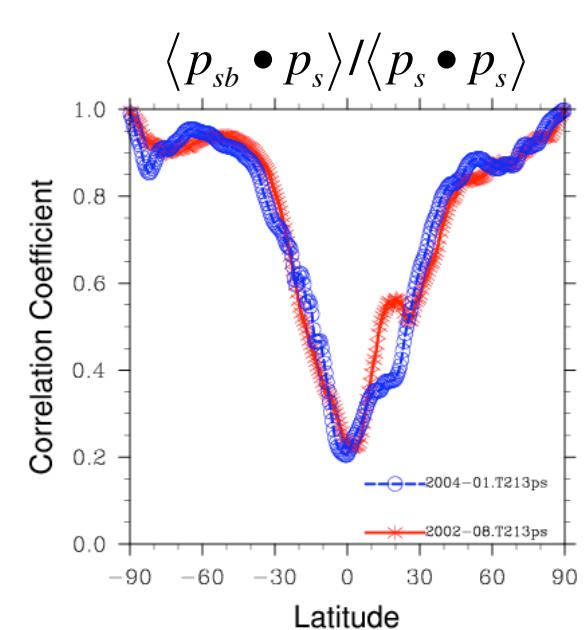
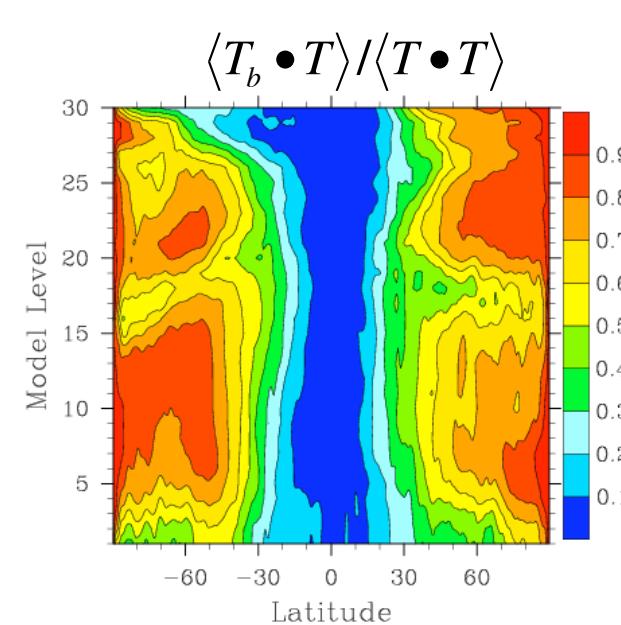
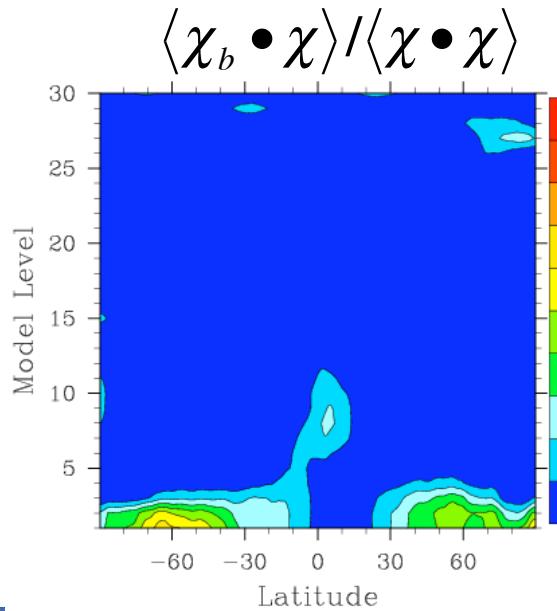
$$\chi_u' = \chi' - \chi_b$$

$$T_u' = T' - T_b$$

$$p_{s,u}' = p_s' - p_{s,b}$$

WRF-Var Balance constraints

- WRF-Var imposes statistical balanced constraints between
 - Stream Function & Velocity potential
 - Stream Function & Temperature
 - Stream Function & Surface Pressure
- How good are these balanced constraints? Based on KMA global model



“gen_be” - Stage3:

- Reads “gen_be_stage3” namelist
- Removes mean for χ_u' , T_u' & $p_{s_u'}$
- Computes eigenvector and eigen values for vertical error covariance matrix of ψ' , χ_u' , T_u' & q
- Computes variance of $p_{s_u'}$
- Computes eigen decomposition of ψ' , χ_u' , T_u' & q

“gen_be” - Stage4:

- Reads “gen_be_stage4” namelist
- For each variable & each eigen mode, for regional option computes “lengthscale (s)”

$$B(r) = B(0) \exp\{-r^2 / 8s^2\}$$

$$y(r) = 2\sqrt{2}[\ln(B(0)/B(r))]^{1/2} = r/s$$

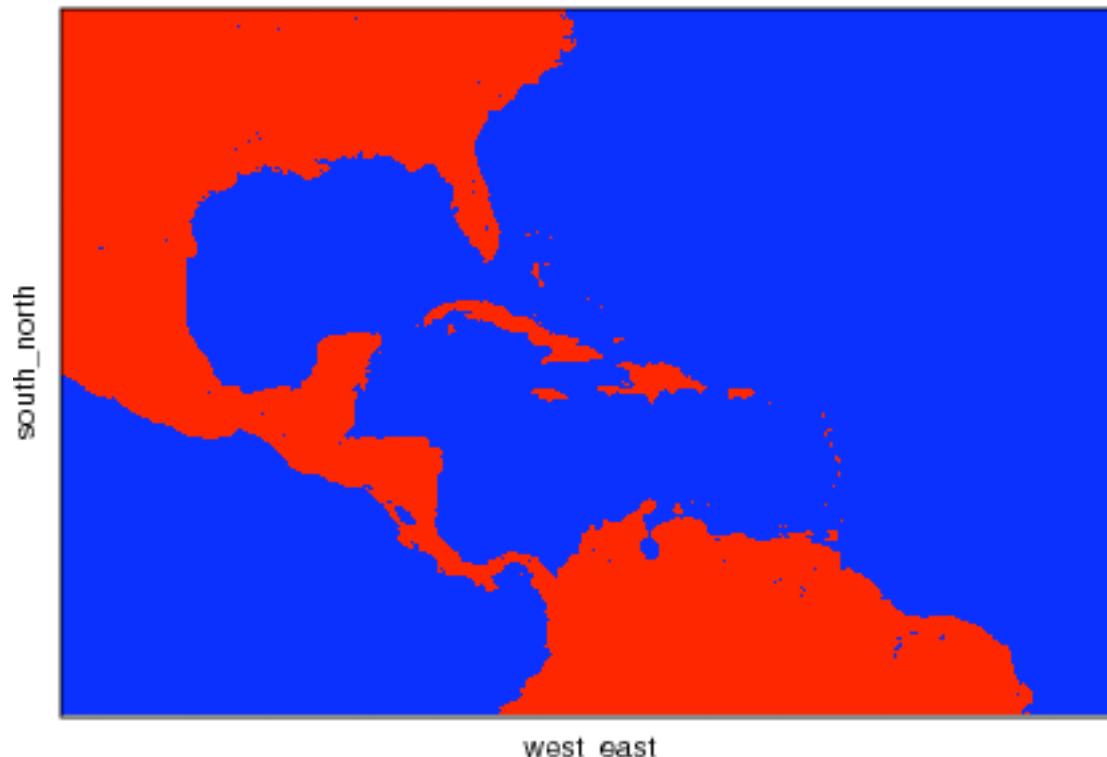
- For global option, computes “power spectrum (D_n)”

$$D_n = \sum_{m=-n}^n (F_n^m)^2 = (F_n^0)^2 + 2 \sum_{m=1}^n \left[(\text{Re}(F_n^m))^2 + (\text{Im}(F_n^m))^2 \right]$$

Standard BE diagnostics

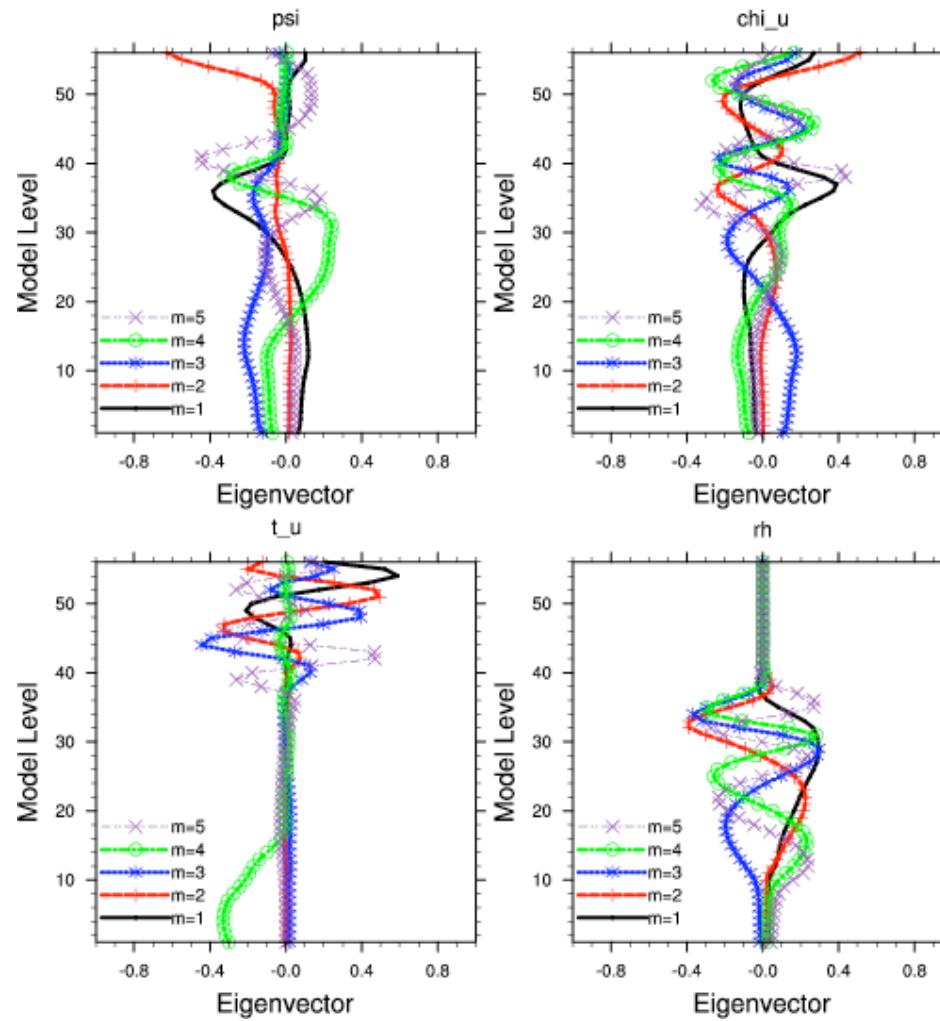
Various NCL scripts are available in “graphics/ncl” directory to display the contents of BE statistics

T8-domain

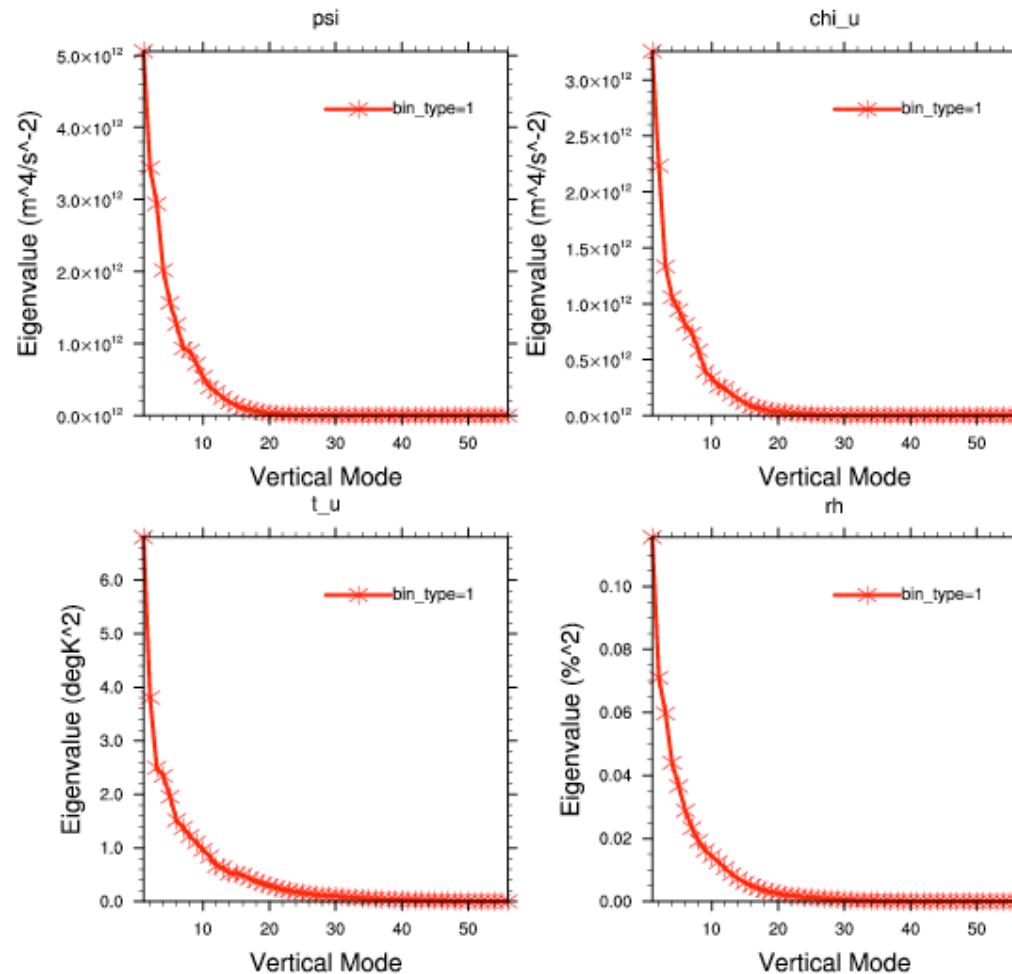


418 x 280 x 57 15 Km resolution

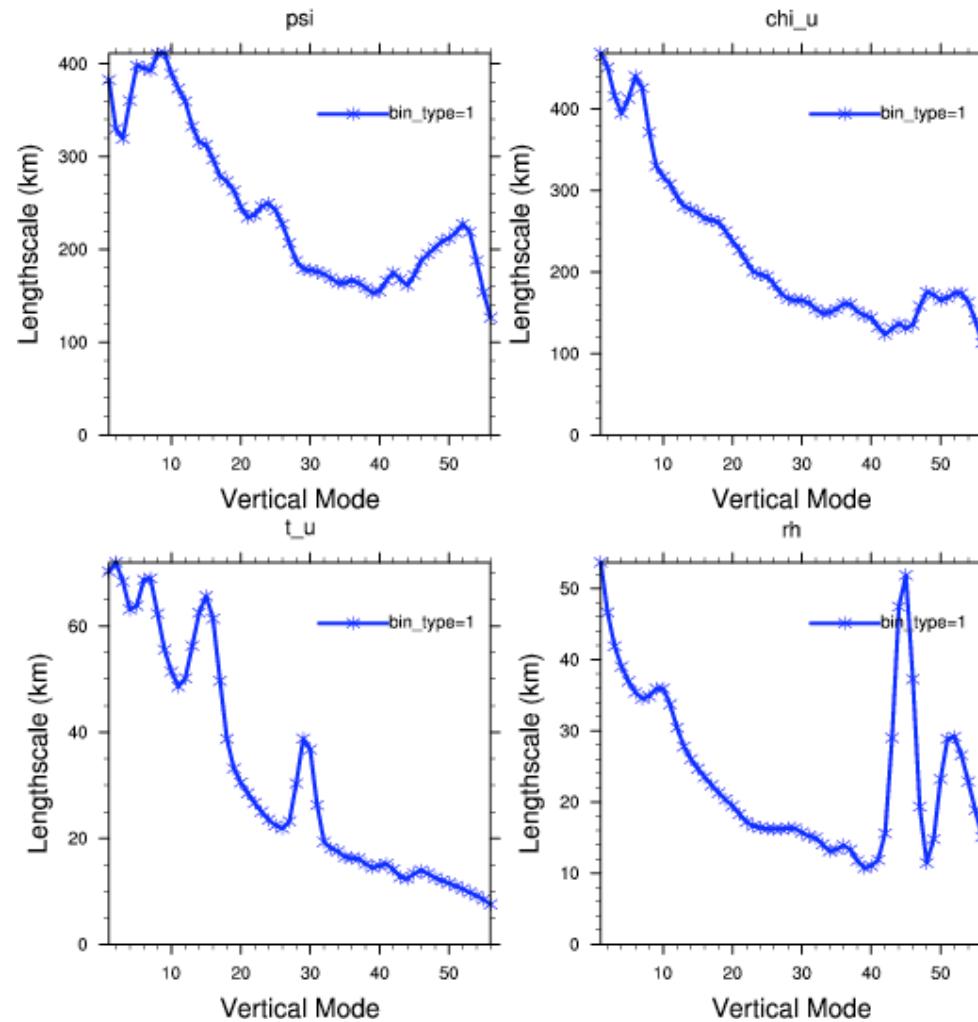
First five Eigen vectors -- T8-domain



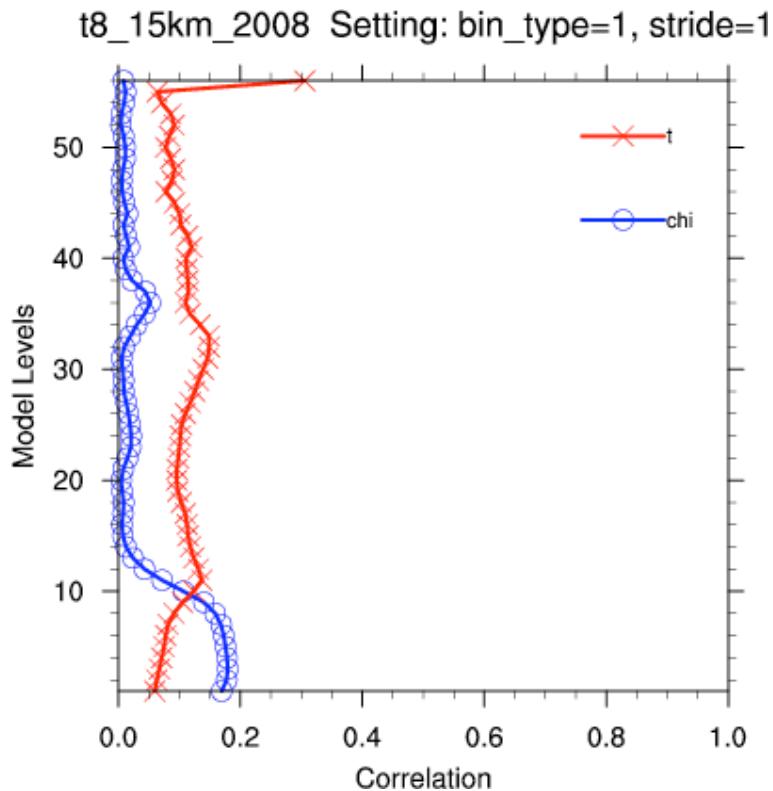
Eigen values -- T8-domain



Lengthscale -- T8-domain



Contribution of balance part -- T8-domain



$$\langle \chi_b \bullet \chi \rangle / \langle \chi \bullet \chi \rangle$$

$$\langle T_b \bullet T \rangle / \langle T \bullet T \rangle$$

Single observation test

- Through single observation, one can understand
 - a) structure of BE
 - b) It identifies the “shortfalls” of BE
 - c) It gives a broad guidelines for tuning BE

Basic concept:

Analysis equation: $x^a = x^b + BH^T(HBH^T + R)^{-1}[y^o - H(x^b)]$

Set single observation (U,V,T etc.) as follows:

$$[y^o - H(x^b)] = 1.0 ; R = I$$

Thus,

$$x^a - x^b = B * \text{constant delta vector}$$

How to activate PSOT

“single obs utility” or “psot” may be activated by setting the following namelist parameters

`num_pseudo = 1`

`pseudo_var = “Variable name” like “U”, “T”, “P”, etc.`

`pseudo_x = “X-coordinate of the observation”`

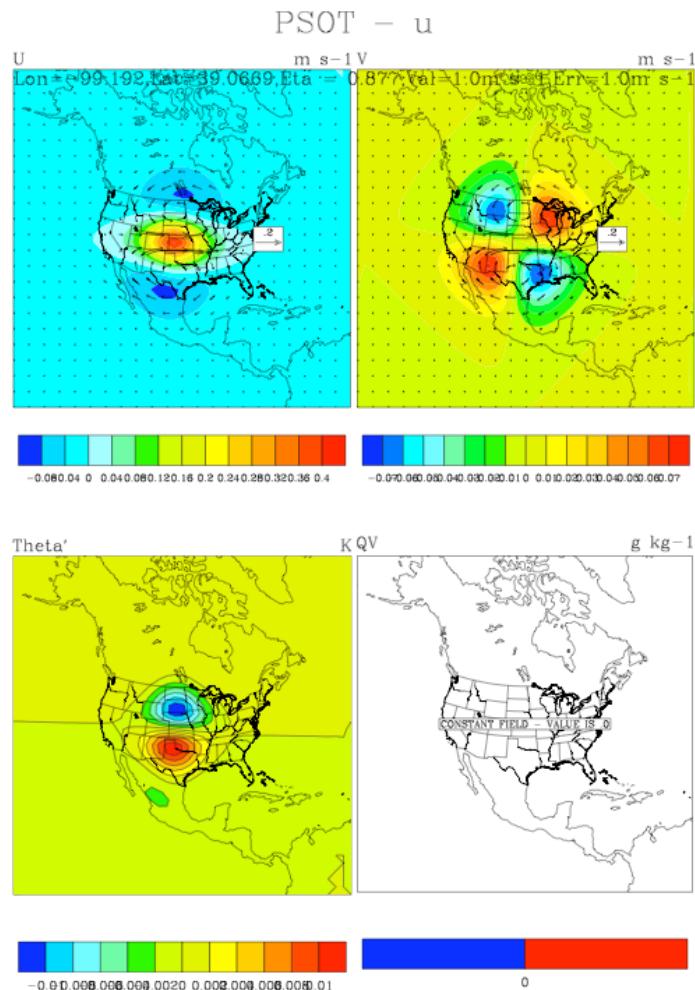
`pseudo_y = “Y-coordinate of the observation”`

`pseudo_z = “Z-coordinate of the observation”`

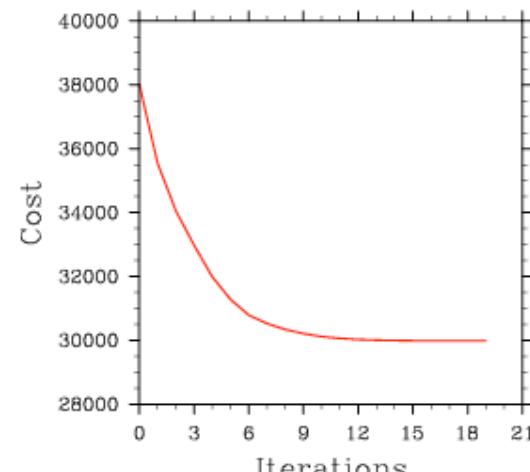
`pseudo_val = “Observation value”, departure from FG”`

`pseudo_err = “Observation error”`

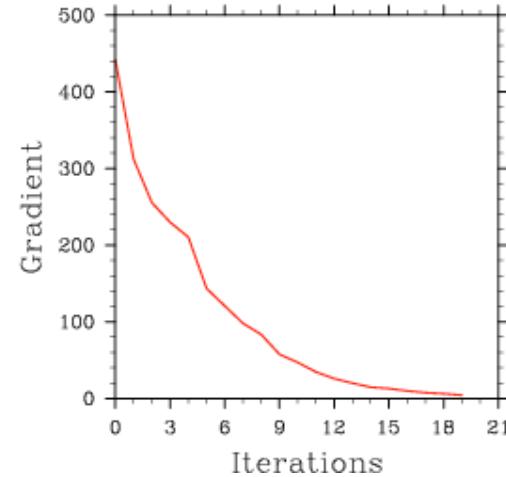
Single Observation (U) test



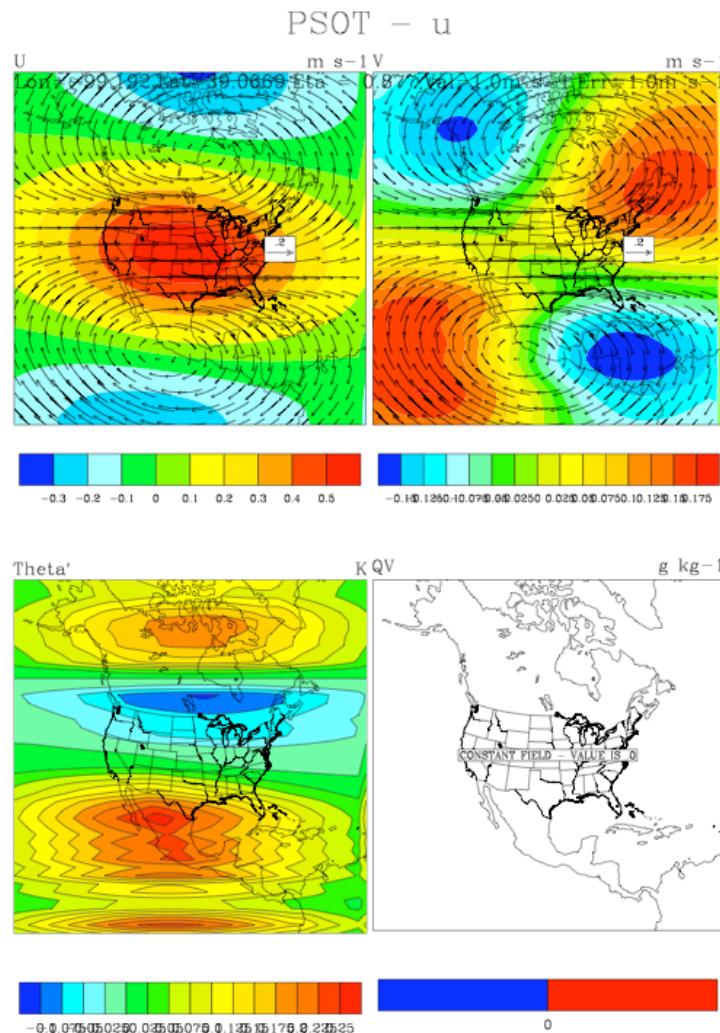
Cost function minimization for CONUS 200 Km domain



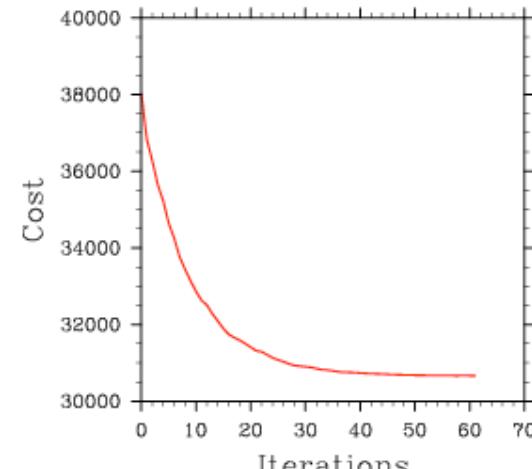
Gradient function for CONUS 200 Km domain



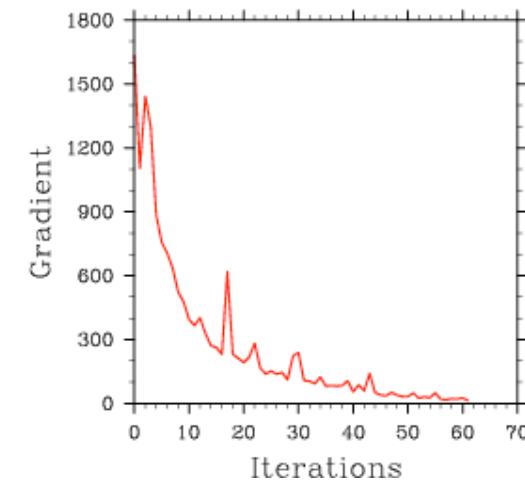
Single Observation (U) test - different BE



Cost function minimization for CONUS 200 Km domain



Gradient function for CONUS 200 Km domain



BE tuning

- Horizontal component of BE can be tuned with following namelist parameters

LEN_SCALING1 - 5 (Length scaling parameters)

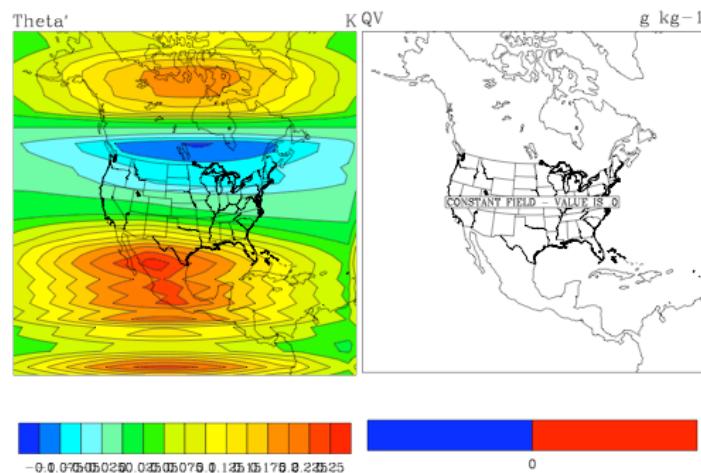
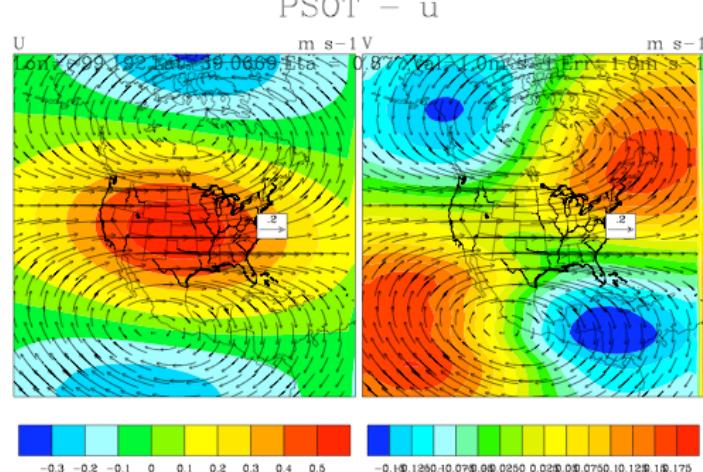
VAR_SCALING1 - 5 (Variance scaling parameters)

- Vertical component of BE can be tuned with following namelist parameter

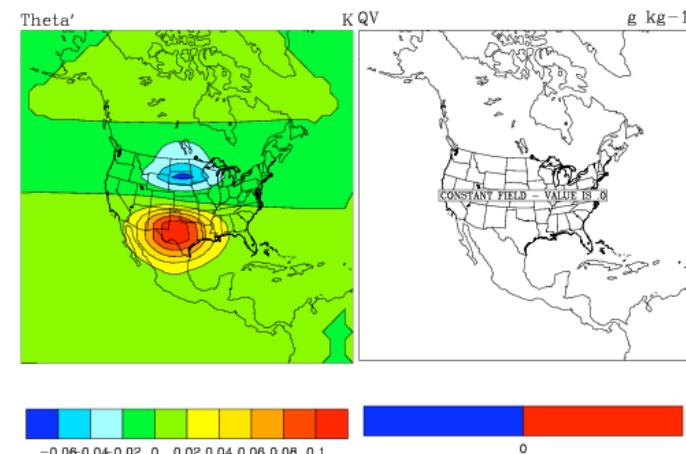
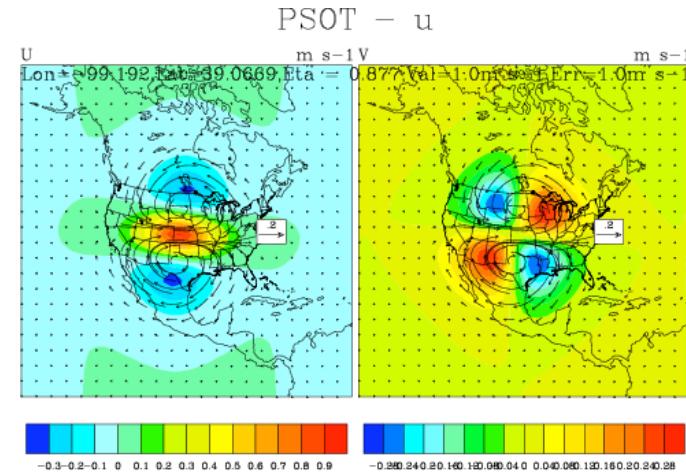
MAX_VERT_VAR1 - 5 (Vertical variance parameters)

Tuning BE

No tuning



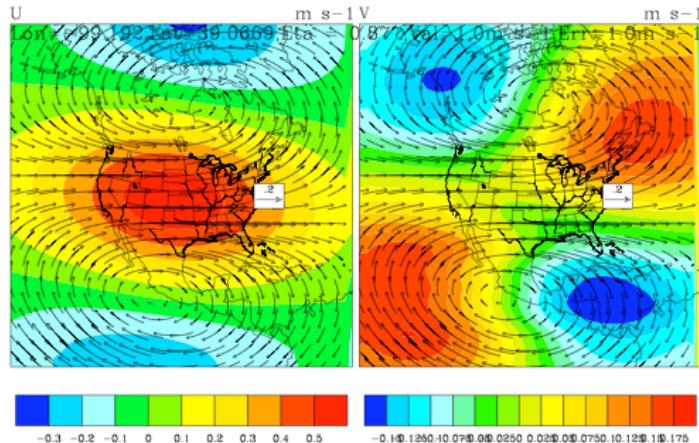
Len_scaling1=0.25



Tuning BE

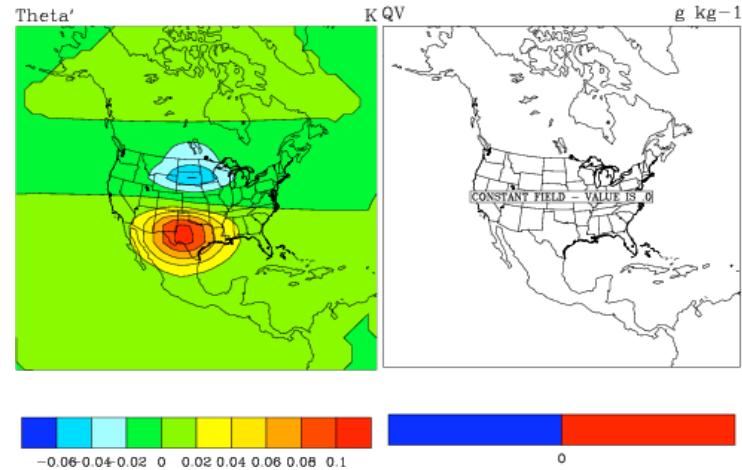
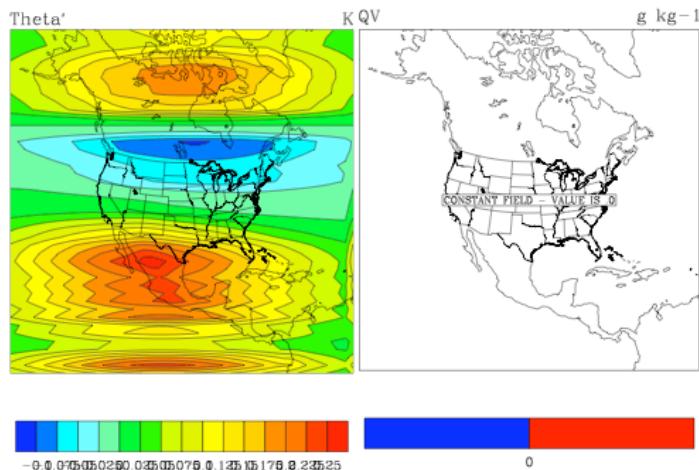
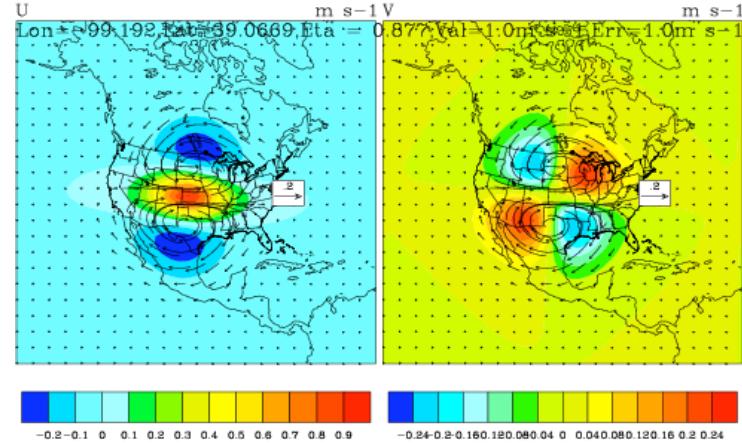
No tuning

PSOT - u



Len_scaling1 & 2 = 0.25

PSOT - u



Introduction to Practice Session 3

- **Compilation of “gen_be” utility**
- **Generation of BE statistics**
- **Familiarization with various graphical utilities to display “gen_be” diagnostics**
- **Running single observation tests to understand the structure of BE**
- **BE error tuning**

Generation of BE

- “`gen_be_wrapper.ksh`” script for generating BE for “CONUS” at 200 Km domain with:

Grid Size : 45 x 45 x 28

BE Method : NMC Method

Data Input : January, 2007 forecasts, both from 00 & 12 UTC IC

Basic environment variables that needs to be set are:

- Gen_be executables location (`WRFVAR_DIR`)
- Forecast input data (`FC_DIR`)
- Run directory (`BE_DIR`)
- Data Range (`START_DATE, END_DATE`)

**“`gen_be`” wrapper script basically executes
“`var/scripts/gen_be/gen_be.ksh`” script**

Gen_be diagnostics

- “gen_be” creates various diagnostic files which may be used to display various components of BE statistics.

- Important files are:

Eigen vectors: fort.174, fort.178, fort.182, fort.186

Eigen values: fort.175, fort.179, fort.183, fort.187

scalelength: fort.194, fort.179, fort.183, fort.187

Correlation between χ_u & χ_b (chi_u.chi.dat)

Correlation between T_u & T_b (T_u.T.dat)

Correlation between p_{s_u} & $(ps_u.ps.dat)$

Important Strings that needs to be defined in the wrapper script

“var/script/gen_be/gen_be_plot_wrapper.ksh”

BE_DIR --- gen_be Run directory

Single Observation Test

- Familiarization with single observation “wrapper” script (“da_run_suite_wrapper_con200.ksh”) to run Single Observation test
- Key parameters are
 - Type of observation (**pseudo_var**)
 - Obs co-ordinates (**pseudo_x**, **pseudo_y** & **pseudo_z**)
 - Observation value (**pseudo_val**)
 - Observation error (**pseudo_err**)
- Running Single Observation tests and display analysis increments to understand BE structure

BE tuning

- The key parameters for tuning BE are the following namelist options

LEN_SCALING1-5 (May be set > 0.0)

VAR_SCALING1-5 (May be set > 0.0)

MAX_VERT_VAR1-4 (May be set between > 0.0 to 100.0)

Note: If BE is available for the same domain configuration, its tuning is not required