



NCAR



# Overview of WRF Physics

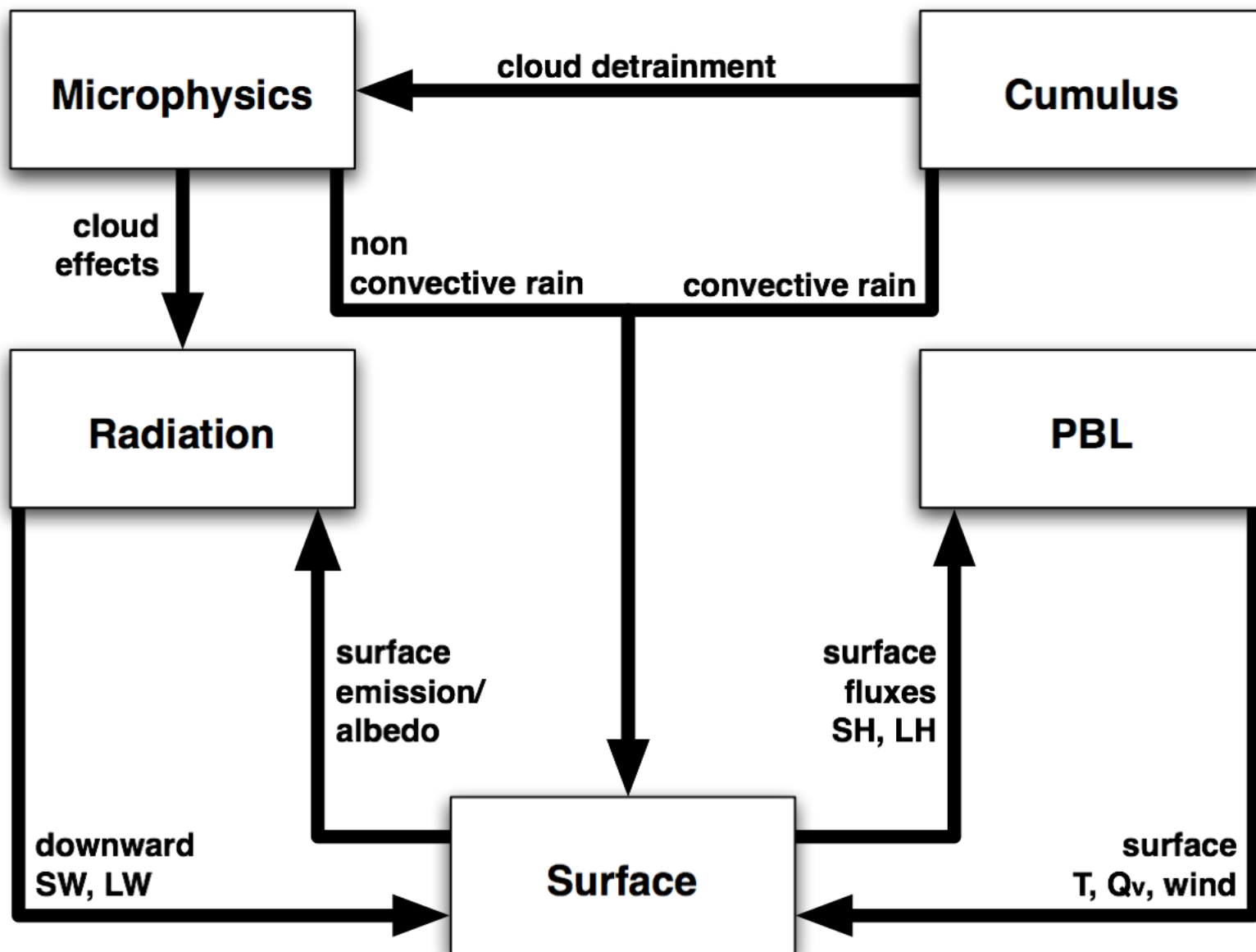
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# WRF Physics

- Radiation
  - Longwave (ra\_lw\_physics)
  - Shortwave (ra\_sw\_physics)
- Surface
  - Surface layer (sf\_sfclay\_physics)
  - Land/water surface (sf\_surface\_physics)
- PBL (bl\_pbl\_physics)
- Cumulus parameterization (cu\_physics)
- Microphysics (mp\_physics)
- Turbulence/Diffusion (diff\_opt, km\_opt)

# Direct Interactions of Parameterizations



# Radiation

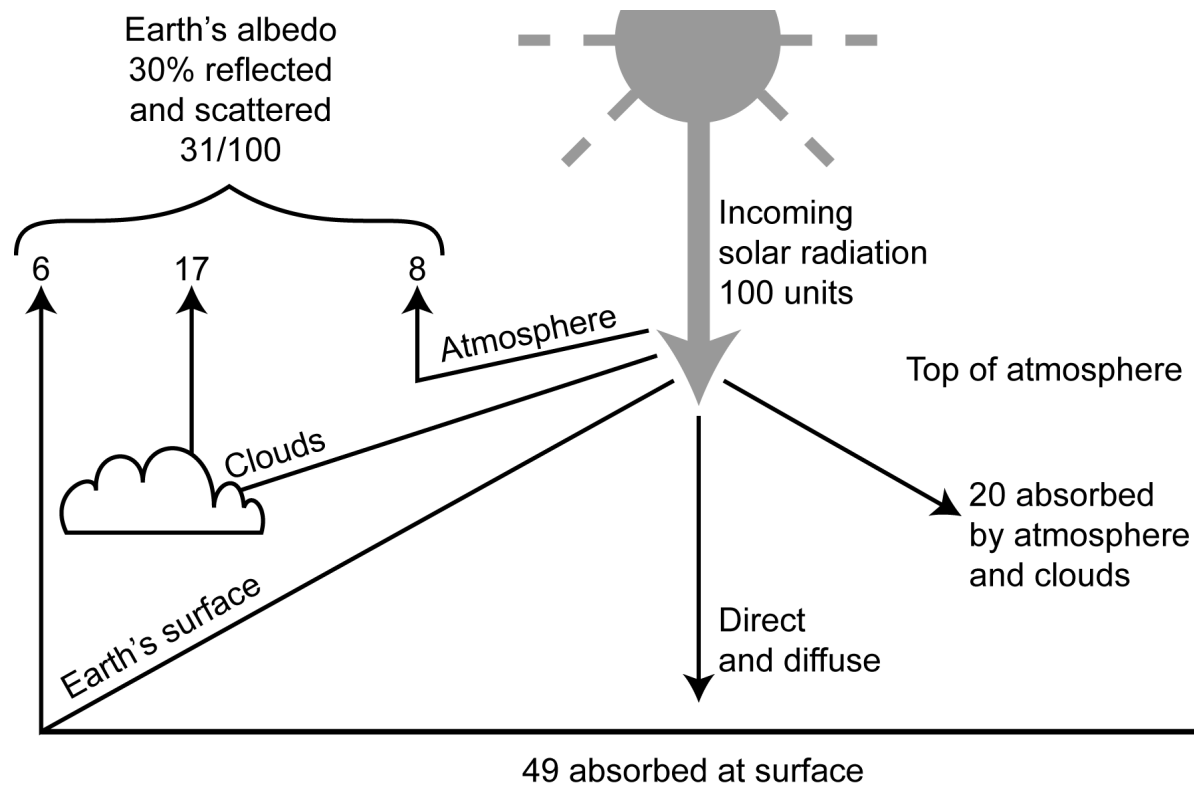
Provides

Atmospheric temperature tendency  
profile

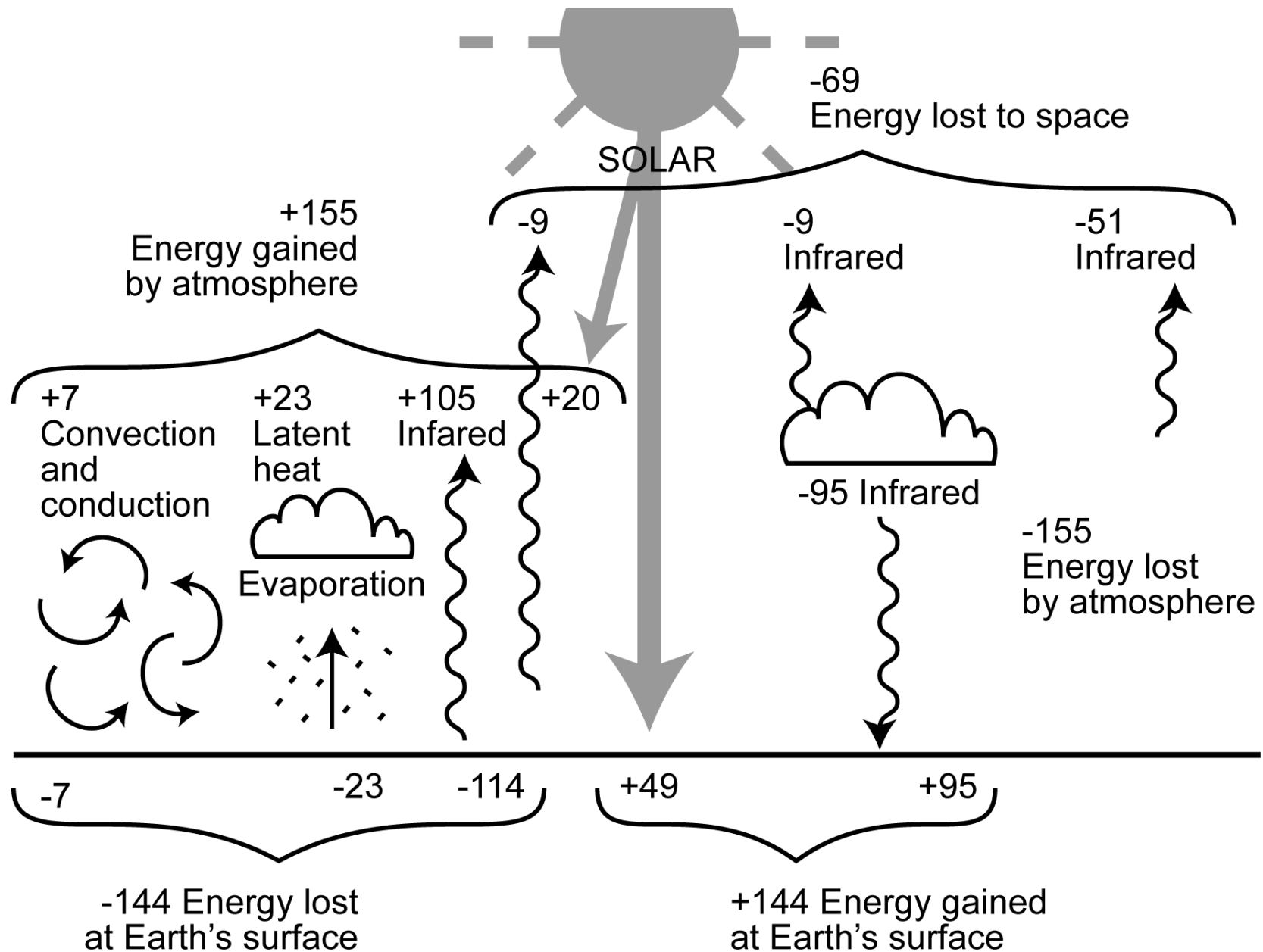
Surface radiative fluxes

# Radiation parameterizations

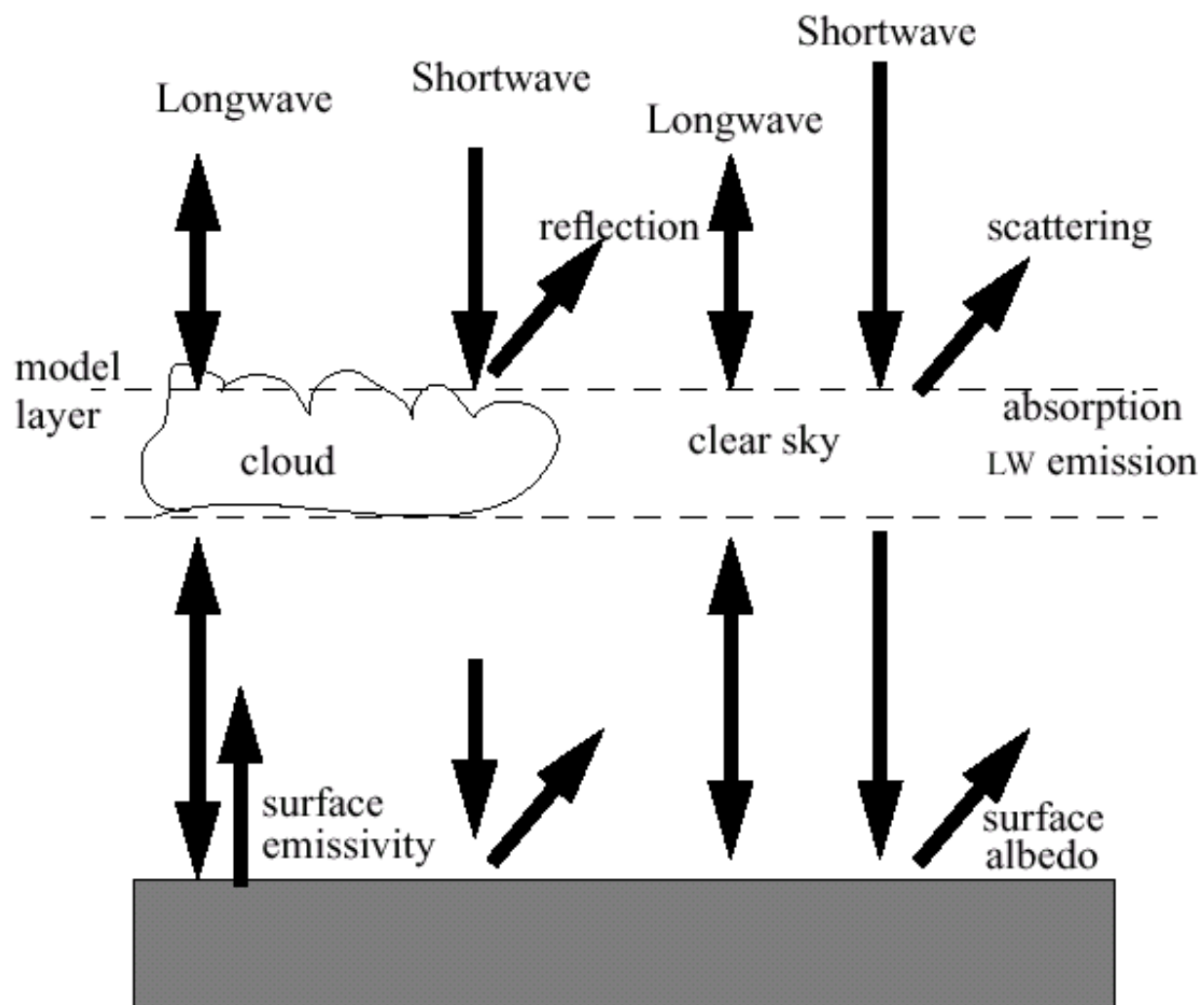
- Shortwave (solar) – use astronomical equations to calculate the sun's position as a function of time of day and day of the year.



# Radiation as part of the entire model energy budget



## Illustration of Free Atmosphere Radiation Processes



# WRF Longwave Radiation Schemes (ra\_lw\_physics)

- Compute clear-sky and cloud upward and downward radiation fluxes
  - Consider IR emission from layers
  - Surface emissivity based on land-type
  - Flux divergence leads to cooling in a layer
  - Downward flux at surface important in land energy budget
  - IR radiation generally leads to cooling in clear air ( $\sim 2\text{K/day}$ ), stronger cooling at cloud tops and warming at cloud base



# Longwave Radiation schemes

ra_lw_physics	Scheme	Reference	Added
1	RRTM	Mlawer et al. (1997, JGR)	2000
3	CAM	Collins et al. (2004, NCAR Tech. Note)	2006
4	RRTMG	Iacono et al. (2008, JGR)	2009
5	New Goddard	Chou and Suarez (2001, NASA Tech Memo)	2011
7	FLG (UCLA)	Gu et al. (2011, JGR), Fu and Liou (1992, JAS)	2012
31	Held-Suarez		2008
99	GFDL	Fels and Schwarzkopf (1981, JGR)	2004

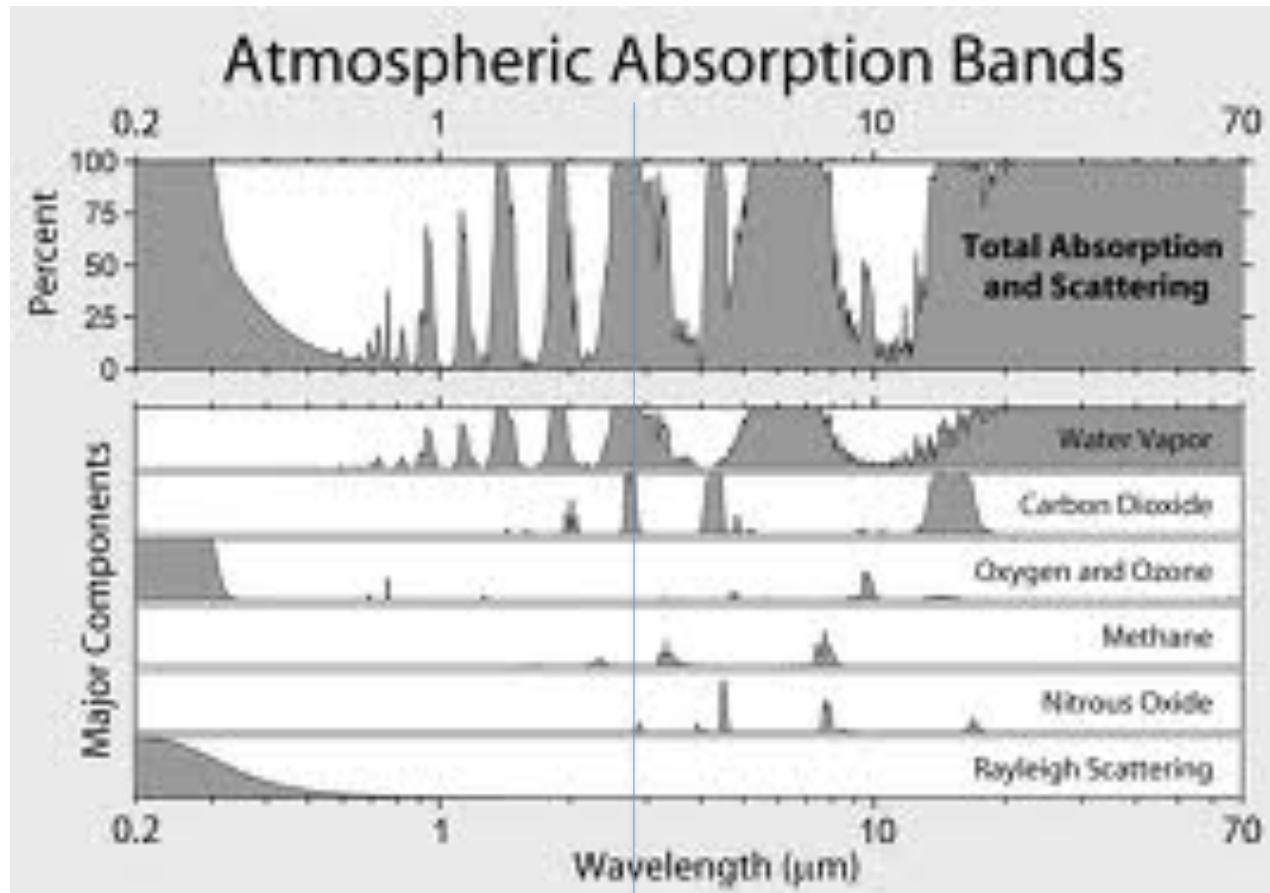
# Longwave Radiation in V3.5

ra_lw_physics	Scheme	Cores+Chem	Microphysics Interaction	Cloud Fraction	GHG
1	RRTM	ARW NMM	Qc Qr Qi Qs Qg	1/0	constant or yearly GHG
3	CAM	ARW	Qc Qi Qs	Max-rand overlap	yearly CO2 or GHG
4	RRTMG	ARW +Chem( $\tau$ )	Qc Qr Qi Qs	Max-rand overlap	constant or yearly GHG
5	New Goddard	ARW	Qc Qr Qi Qs Qg	1/0	constant
7	FLG (UCLA)	ARW	Qc Qr Qi Qs Qg	1/0	constant
31	Held-Suarez	ARW	none	none	none
99	GFDL	ARW NMM	Qc Qr Qi Qs	Max-rand overlap	constant

# Clear Sky: IR-active Gases

- H<sub>2</sub>O – from model prognostic vapor
- CO<sub>2</sub> – well-mixed, specified constant in whole atmosphere (CAM has yearly values)
  - For CAM, RRTM and RRTMG, GHG input file can update CO<sub>2</sub>, N<sub>2</sub>O and CH<sub>4</sub> (new in V3.5)
- O<sub>3</sub> – schemes have own climatologies
  - CAM has monthly, zonal, pressure-level data and RRTMG has this as an option (V3.5)
  - Others use single profiles (Goddard has 5 profiles to choose from)

## Radiation effects in clear sky



shortwave

longwave

# Spectral Bands

- Schemes divide IR spectrum into bands dominated by different absorption gases
- Typically 8-16 bands are used
- Computations use look-up tables for each band
  - Tables were generated from results of line-by-line calculations (LBLRTM models)

# Clouds

- All schemes interact with resolved model cloud fields allowing for ice and water clouds and precipitating species
- Clouds strongly affect IR at all wavelengths (considered “grey bodies”) and are almost opaque to it

# Cloud Fractions

- Schemes are capable of handling cloud fractions
- WRF can provide cloud fractions based on RH, but mostly the fraction is 0 or 1 in a grid box
- Cloud fraction methods
  - cldfra2 used by CAM and RRTMG
  - cldfra used by others except GFDL (computes its own)

# Cloud Fraction

- Overlap assumptions needed with multiple layers of varying fraction
  - Random overlap
  - Maximum overlap (clouds stacked as much as possible)
  - Maximum-random overlap (maximum for neighboring cloudy layers, random for layers separated by clear air)
- WRF schemes use max-random overlap



# WRF Shortwave Radiation Options (ra\_sw\_physics)

- Compute clear-sky and cloudy solar fluxes
- Include annual and diurnal solar cycles
- Most schemes consider downward and upward (reflected) fluxes
  - Dudhia scheme only has downward flux
- Primarily a warming effect in clear sky
- Important component of surface energy balance

# Shortwave Radiation schemes

ra_sw_physics	Scheme	Reference	Added
1	Dudhia	Dudhia (1989, JAS)	2000
2	Goddard	Chou and Suarez (1994, NASA Tech Memo)	2000
3	CAM	Collins et al. (2004, NCAR Tech Note)	2006
4	RRTMG	Iacono et al. (2008, JGR)	2009
5	New Goddard	Chou and Suarez (1999, NASA TM)	2011
7	FLG (UCLA)	Gu et al. (2011, JGR), Fu and Liou (1992, JAS)	2012
99	GFDL	Fels and Schwarzkopf (1981, JGR)	2004

# Shortwave Radiation in V3.5

ra_lw_physics	Scheme	Cores+Chem	Microphysics Interaction	Cloud Fraction	Ozone
1	Dudhia	ARW NMM + Chem(PM2.5)	Qc Qr Qi Qs Qg	1/0	none
2	GSFC	ARW +Chem( $\tau$ )	Qc Qi	1/0	5 profiles
3	CAM	ARW	Qc Qi Qs	Max-rand overlap	Lat/month
4	RRTMG	ARW +Chem( $\tau$ ), NMM	Qc Qr Qi Qs	Max-rand overlap	1 profile or lat/month
5	New Goddard	ARW	Qc Qr Qi Qs Qg	1/0	5 profiles
7	FLG (UCLA)	ARW	Qc Qr Qi Qs Qg	1/0	5 profiles
99	GFDL	ARW NMM	Qc Qr Qi Qs	Max-rand overlap	Lat/date

# Clear Sky

- Main effect in troposphere is water vapor absorption (CO<sub>2</sub> minor effect)
- Aerosols would be needed for additional scattering (WRF-Chem interacts with Goddard and RRTMG shortwave)
  - Dudhia scheme has tunable scattering
  - RRTMG has climatological aerosol input option (V3.5)

# Ozone

- Ozone heating maintains warm stratosphere
- Important for model tops above about 20 km (50 hPa)
- Usually specified from profiles as with longwave options
  - Dudhia scheme has no ozone effect
  - CAM, RRTMG have zonal climatology
- CAM, RRTMG, Goddard can also handle trace gases mainly N<sub>2</sub>O and CH<sub>4</sub> (set constant)

# Spectral Bands

- 11-19 spectral bands used by CAM, RRTMG and Goddard schemes
- Look-up tables

# Clouds and Cloud Fraction

- Similar considerations to longwave
- Interacts with model resolved clouds
- Fraction and overlap assumptions
- Cloud albedo reflection
- Surface albedo reflection based on land-surface type and snow cover

# Slope effects on shortwave

- In V3.2 available for all shortwave options
- Represents effect of slope on surface solar flux accounting for diffuse/direct effects
- slope\_rad=1: activates slope effects - may be useful for complex topography and grid lengths < 2 km.
- topo\_shading=1: shading of neighboring grids by mountains - may be useful for grid lengths < 1 km.



# radt

## Radiation time-step recommendation

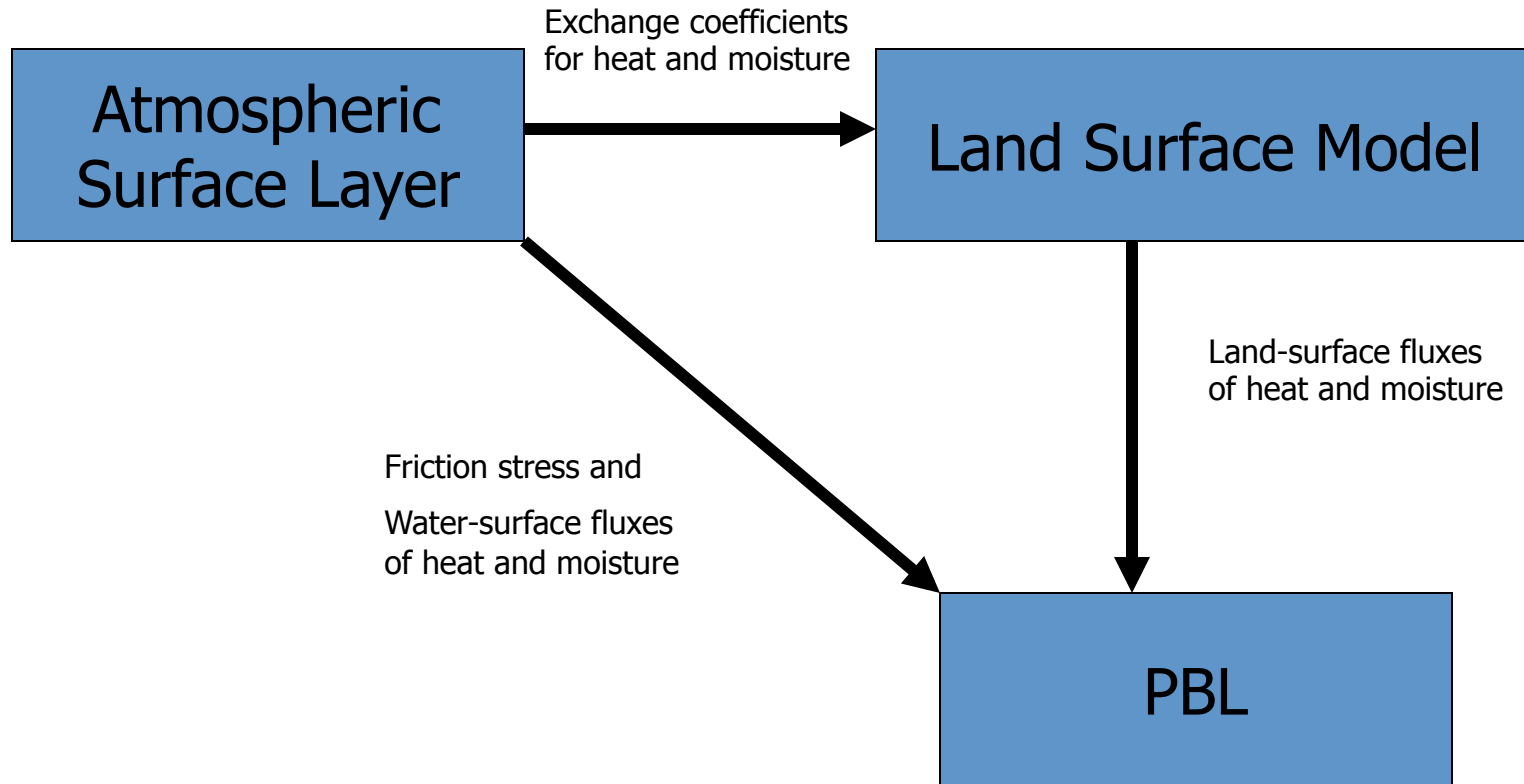
- Radiation is too expensive to call every step
- Frequency should resolve cloud-cover changes with time
- $\text{radt}=1$  minute per km grid size is about right (e.g.  $\text{radt}=10$  for  $\text{dx}=10$  km)
- Each domain can have its own value but recommend using same value on all 2-way nests

# Surface schemes

Surface layer of atmosphere diagnostics  
(exchange/transfer coeffs)

Land Surface: Soil temperature /moisture /  
snow prediction /sea-ice temperature

# Surface Physics Components



# Surface Fluxes

- Heat, moisture and momentum

$$H = \rho c_p u_* \theta_* \quad E = \rho u_* q_* \quad \tau = \rho u_* u_*$$

$$u_* = \frac{k V_r}{\ln(z_r / z_0) - \psi_m} \quad \theta_* = \frac{k \Delta \theta}{\ln(z_r / z_{0h}) - \psi_h} \quad q_* = \frac{k \Delta q}{\ln(z_r / z_{0q}) - \psi_h}$$

Subscript  $r$  is reference level (lowest model level, or 2 m or 10 m)

$\Delta$  refers to difference between surface and reference level value

$z_0$  are the roughness lengths

$k$  is the von Karman constant (0.4)

# Roughness Lengths

- Roughness lengths are a measure of the “initial” length scale of surface eddies, and generally differ for velocity and scalars
- Roughness length depends on land-use type
- Some schemes use smaller roughness length for heat than for momentum
- For water points roughness length is a function of surface wind speed

# Exchange Coefficient

- $C_{hs}$  is the exchange coefficient for heat, defined such that

$$H = \rho c_p C_{hs} \Delta \theta$$

It is related to the roughness length, stability function and  $u^*$  by

$$C_{hs} = \frac{ku_*}{\ln\left(\frac{z}{z_0}\right) - \psi_h}$$

# WRF Surface Layer Options (sf\_sfclay\_physics)

- Use similarity theory to determine exchange coefficients and diagnostics of 2m T and q and 10 m winds
- Provide exchange coefficient to land-surface models
- Provide friction velocity to PBL scheme
- Provide surface fluxes over water points
- Schemes have variations in stability functions, roughness lengths

# Hurricane Options

- Ocean Mixed Layer Model (sf\_ocean\_physics=1)
  - 1-d slab ocean mixed layer (specified initial depth)
  - Includes wind-driven ocean mixing for SST cooling feedback
- 3d PWP ocean (Price et al.) (sf\_ocean\_physics=2)
  - 3-d multi-layer (~100) ocean, salinity effects
  - Fixed depth
- Alternative surface-layer options for high-wind ocean surface (isftcflx=1,2)
  - Use with sf\_sfclay\_physics=1
  - Modifies Charnock relation to give less surface friction at high winds (lower Cd)
  - Modifies surface enthalpy (Ck, heat/moisture) either with constant z0q (isftcflx=1), Garratt formulation (option 2)



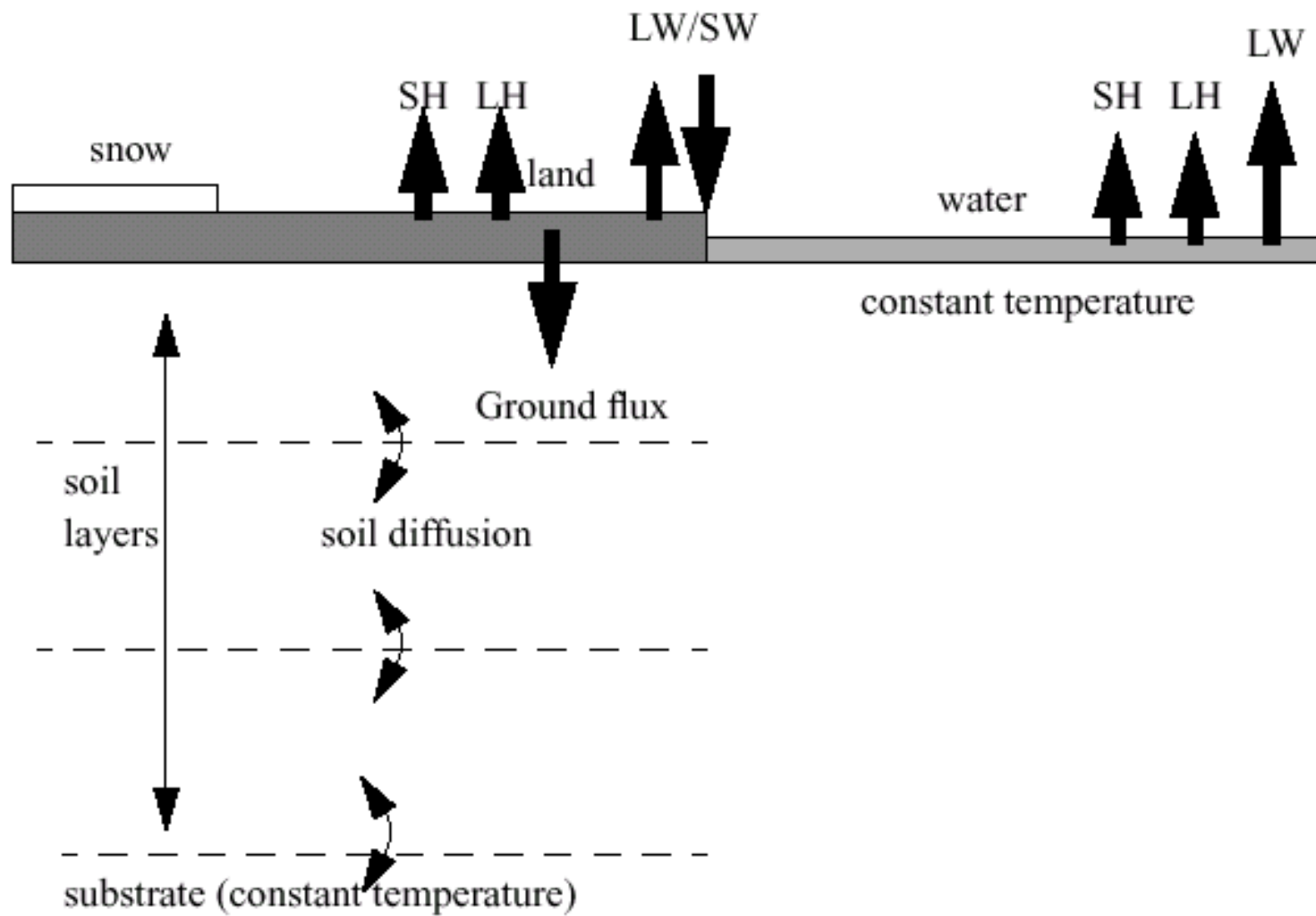
# Fractional Sea Ice

- `fractional_seaice=1` - with input sea-ice fraction data can partition land/water fluxes within a grid box

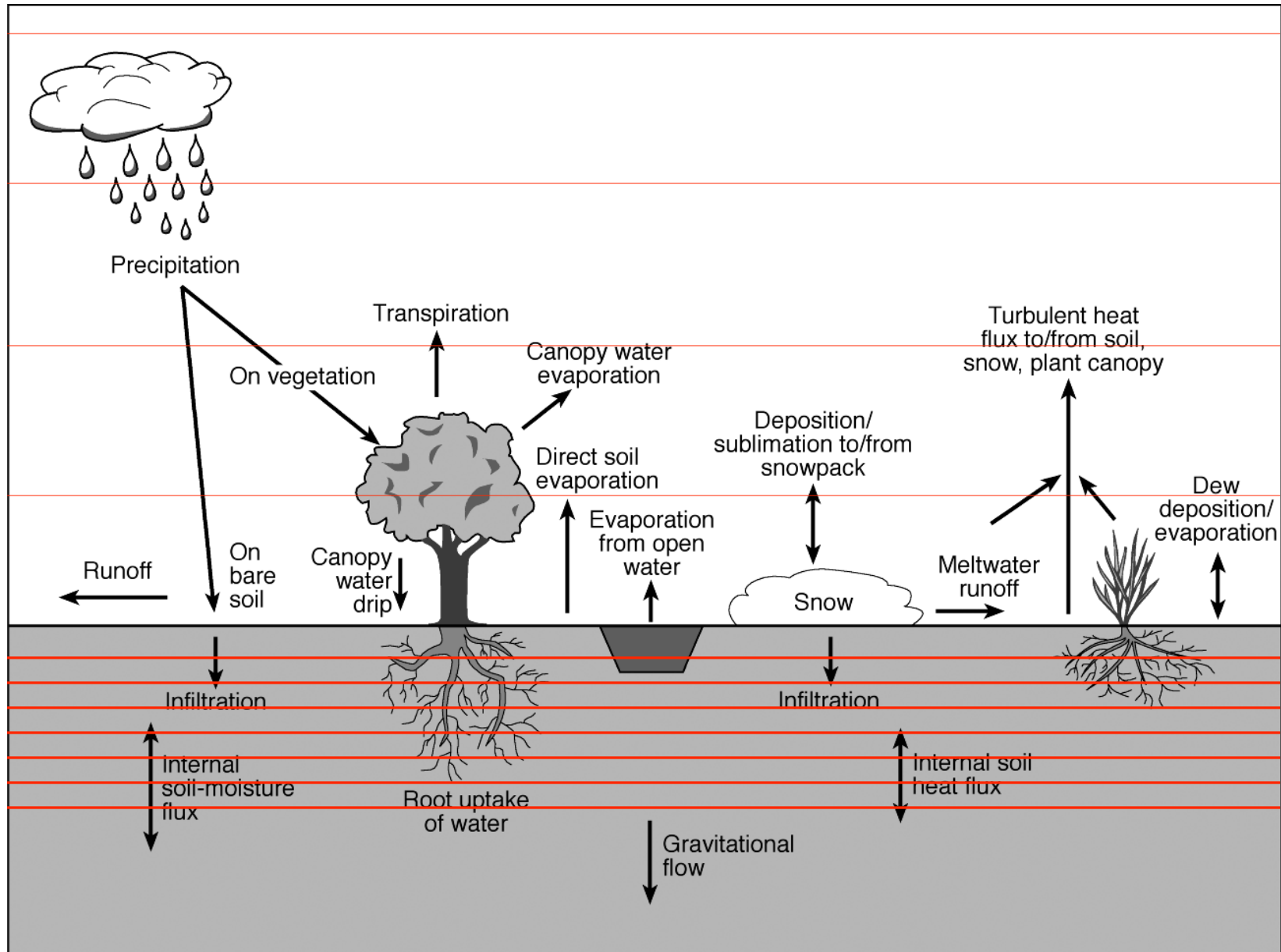
# WRF Land-Surface Model Options (sf\_surface\_physics)

- Simple 5-layer soil model
  - No vegetation or snow cover prediction, just thermal diffusion in soil layers
- Noah LSM, RUC LSM, PX LSM land-surface models
  - Sophisticated vegetation model and snow cover prediction

## Illustration of Surface Processes



# Land-Surface Model Processes



# Land-Surface Model

- Predicts soil temperature and soil moisture in layers (4 for Noah and NoahMP, 6 for RUC, 2 for PX and 3 for SSiB)
- Predicts snow water equivalent on ground. May be in layers (NoahMP, RUC, SSiB)
- May predict canopy moisture only (Noah, NoahMP, RUC) or temperature only (SSiB)

# Land-Surface Options

- 5-layer thermal diffusion
- Noah LSM
- RUC LSM
- Pleim-Xiu LSM
- NoahMP (new in V3.4)
- SSiB (new in V3.4)
- CLM4 (new in V3.5)

# Vegetation and Soil

- Processes include evapotranspiration, root zone and leaf effects
- Vegetation fraction varies seasonally
- Considers vegetation categories (e.g. cropland, forest types, etc.)
- Considers soil categories (e.g. sandy, clay, etc.) for drainage and thermal conductivity

# Snow Cover

- LSMs include fractional snow cover and predict snow water equivalent development based on precipitation, sublimation, melting and run-off
  - Single-layer snow (Noah, PX)
  - Multi-layer snow (RUC, NoahMP, SSiB,CLM4)
  - 5-layer option has no snow prediction
- Frozen soil water also predicted (Noah, NoahMP, RUC)



# Urban Effects

- Urban category in LSM is usually adequate for larger-scale studies
- Or can use an urban model (sf\_urban\_physics) with Noah LSM
  - Urban Canopy Model
  - Building Environment Parameterization (multi-layer model)
  - Building Energy Model (adds heating/AC effects to BEP)

# WRF-Hydro

- New in V3.5
- Coupling to hydrological model available
- Streamflow prediction, etc.
- Sub-grid tiling to ~100 m grid

# LSM Tables

- Properties can be changed in text files (tables)
- VEGPARM.TBL used by Noah and RUC for vegetation category properties
- SOILPARM.TBL used by Noah and RUC for soil properties
- LANDUSE.TBL used by 5-layer model
- URBPARM.TBL used by urban models

# Initializing LSMs

- Noah and RUC LSM require additional fields for initialization
  - Soil temperature
  - Soil moisture
  - Snow liquid equivalent
- These are in the Grib files, but are not from observations
- They come from “offline” models driven by observations (rainfall, radiation, surface temperature, humidity wind)

# Initializing LSMs

- There are consistent model-derived datasets for Noah and RUC LSMs
  - Eta/GFS/AGRMET/NNRP for Noah (although some have limited soil levels available)
  - RUC for RUC
- But, resolution of mesoscale land-use means there will be inconsistency in elevation, soil type and vegetation
- This leads to spin-up as adjustments occur in soil temperature and moisture
- This spin-up can only be avoided by running offline model on the same grid (e.g. HRLDAS for Noah)
- Cycling land state between forecasts also helps, but may propagate errors (e.g. in rainfall effect on soil moisture)

ARW only

# sst\_update=1

Reads lower boundary file periodically to update the sea-surface temperature (otherwise it is fixed with time)

- For long-period simulations (a week or more)
- wrflowinp\_d0n created by *real*
- Sea-ice can be updated since Version 3.0
- Vegetation fraction update is included
  - Allows seasonal change in albedo, emissivity, roughness length in Noah LSM
- usemonalb=.true. to use monthly albedo input

# Regional Climate Options

- `tmn_update=1` - updates deep-soil temperature for multi-year future-climate runs
- `sst_skin=1` - adds diurnal cycle to sea-surface temperature
- `bucket_mm` and `bucket_J` - a more accurate way to accumulate water and energy for long-run budgets (see later)
- `output_diagnostics=1` (in 3.3.1) – ability to output max/min/mean/std of surface fields in a specified period

# Planetary Boundary Layer

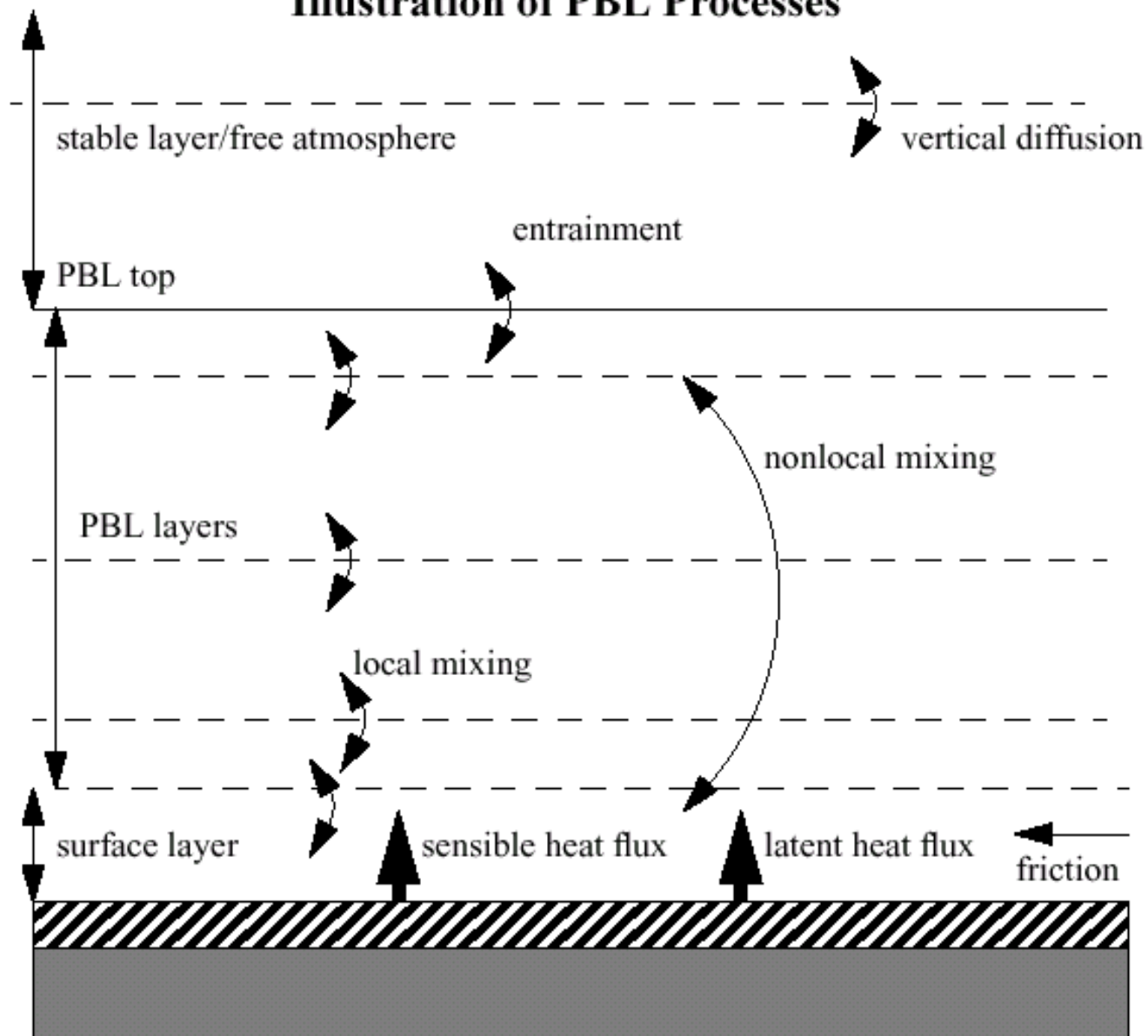
Provides

Boundary layer fluxes (heat, moisture,  
momentum)

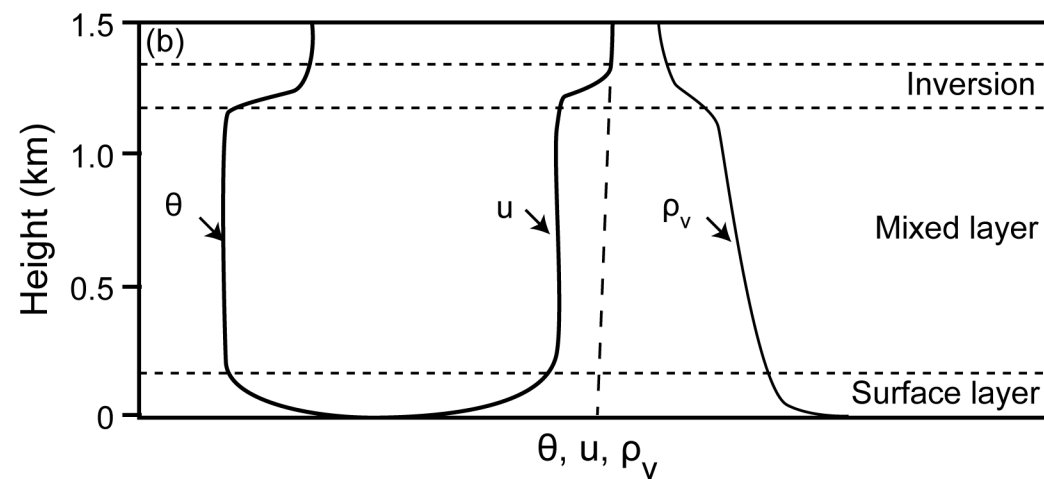
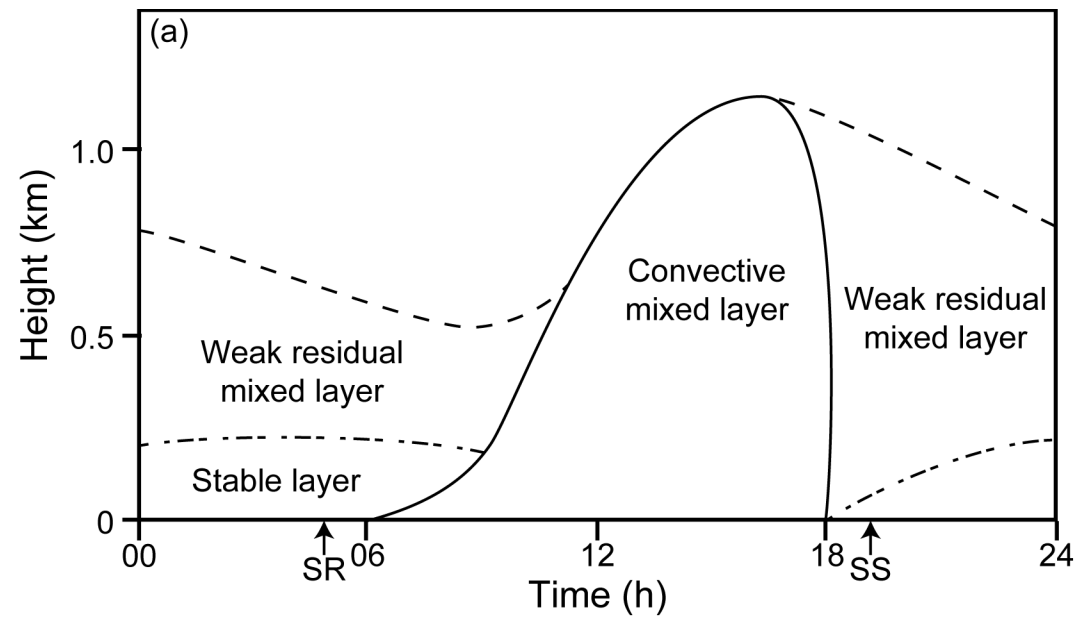
Vertical diffusion in whole column



## Illustration of PBL Processes



# Planetary Boundary Layer



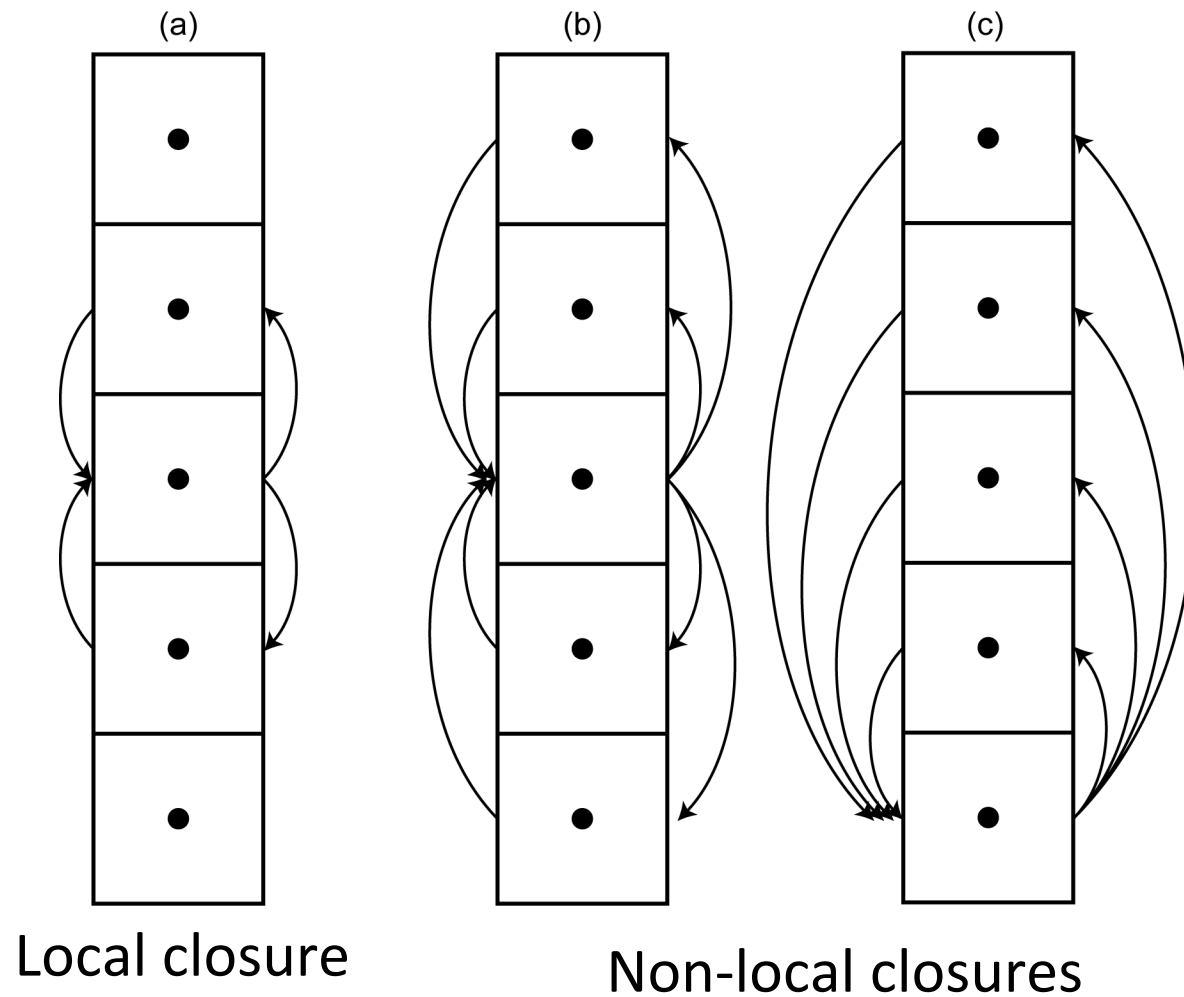
# WRF PBL Options (bl\_pbl\_physics)

- Purpose is to distribute surface fluxes with boundary layer eddy fluxes and allow for PBL growth by entrainment
- Classes of PBL scheme
  - Turbulent kinetic energy prediction (Mellor-Yamada Janjic, MYNN, Bougeault-Lacarrere, TEMF, QNSE, CAM UW)
  - Diagnostic non-local (YSU, GFS, MRF, ACM2)
- Above PBL all these schemes also do vertical diffusion due to turbulence

# PBL schemes in V3.5

bl_pbl_physics	Scheme	Reference	Added
1	YSU	Hong, Noh and Dudhia (2006, MWR)	2004
2	MYJ	Janjic (1994, MWR)	2000
3	GFS	Hong and Pan (1996, MWR)	2005
4	QNSE-EDMF	Sukoriansky, Galperin and Perov (2005, BLM), Pergaud, Masson, Malardel et al. (2009, BLM)	2012
5	MYNN2	Nakanishi and Niino (2006, BLM)	2009
6	MYNN3	Nakanishi and Niino (2006, BLM)	2009
7	ACM2	Pleim (2007, JAMC)	2008
8	BouLac	Bougeault and Lacarrere (1989, MWR)	2009
9	UW	Bretherton and Park (2009, JC)	2011
10	TEMF	Angevine, Jiang and Mauritsen (2010, MWR)	2011
12	GBM	Grenier and Brethertion (2001, MWR)	2013
94	QNSE	Sukoriansky, Galperin and Perov (2005, BLM)	2009
99	MRF	Hong and Pan (1996, MWR)	2000

# Different approaches



# TKE schemes

- Solve for TKE in each column
  - Buoyancy and shear production
  - Dissipation
  - Vertical mixing
- TKE and length-scale are used to determine the  $K_v$  for local vertical mixing
- Schemes differ most in diagnostic length-scale computations

$$\frac{\partial}{\partial z} K_v \frac{\partial}{\partial z} \theta$$

# Nonlocal Schemes

- Diagnose a PBL top (either stability profile or Richardson number)

$$\frac{\partial}{\partial z} K_v \left( \frac{\partial}{\partial z} \theta + \Gamma \right)$$

- Specify a K profile
- YSU, MRF, GFS include a non-gradient term ( $\Gamma$ )
- ACM2, TEMF, EDMF include a mass-flux profile,  $M$ , which is an additional updraft flux

$$\frac{\partial}{\partial z} \left( K_v \frac{\partial}{\partial z} \theta + M(\theta_u - \theta) \right)$$

# Vertical Mixing Coefficient

- Several schemes also output `exch_h` which is  $K_v$  for scalars that is used by WRF-Chem
- WRF in the future will also use this for scalar and tracer vertical mixing outside the PBL scheme since these arrays are currently only advected and horizontally mixed when using a PBL scheme
- PBL schemes themselves only mix limited variables: momentum, heat, vapor and some specific cloud variables



# PBL schemes in V3.5

bl_pbl_physics	Scheme	Cores	sf_sfclay_physics	Prognostic variables	Diagnostic variables	Cloud mixing
1	YSU	ARW NMM	1,11		exch_h	QC,QI
2	MYJ	ARW NMM	2	TKE_PBL	EL_PBL, exch_h	QC,QI
3	GFS(hwrf)	NMM	3			QC,QI
4	QNSE-EDMF	ARW NMM	4	TKE_PBL	EL_PBL, exch_h, exch_m	QC,QI
5	MYNN2	ARW	1,2,5	QKE	Tsq, Qsq, Cov, exch_h, exch_m	QC
6	MYNN3	ARW	1,2,5	QKE, Tsq, Qsq, Cov	exch_h, exch_m	QC
7	ACM2	ARW	1,7			QC,QI
8	BouLac	ARW	1,2	TKE_PBL	EL_PBL, exch_h, exch_m	QC
9	UW	ARW	1,2	TKE_PBL	exch_h, exch_m	QC
10	TEMF	ARW	10	TE_TEMF	*_temf	QC, QI
12	GBM	ARW	1	TKE_PBL	EL_PBL,exch_h, exch_m	QC. QI
94	QNSE	ARW	4	TKE_PBL	EL_PBL, exch_h, exch_m	QC,QI
99	MRF	ARW NMM	1			QC,QI

# PBL Scheme Options

PBL schemes can be used for most grid sizes when surface fluxes are present

- Lowest level should be in the surface layer (0.1h)
  - Important for surface (2m, 10m) diagnostic interpolation
- With ACM2, GFS and MRF PBL schemes, lowest full level should be .99 or .995 not too close to 1
- TKE schemes can use thinner surface layers
- Assumes that PBL eddies are not resolved
- At grid size  $dx \ll 1$  km, this assumption breaks down
  - Can use 3d diffusion instead of a PBL scheme in Version 3 (coupled to surface physics)
  - Works best when  $dx$  and  $dz$  are comparable

# Large-Eddy Simulation

- For grid sizes of up to about 100 m, LES is preferable
- LES treats turbulence three-dimensionally instead of separate vertical (PBL) and horizontal diffusion schemes
- TKE and 3d Smagorinsky options exist for the sub-grid turbulence

# Large-Eddy Simulation

- To run LES mode
  - Use `bl_pbl_physics=0` and `diff_opt=2` with `km_opt=2` or `3`
  - This scheme can also use real surface fluxes from the surface physics (heat, moisture, momentum stress) or idealized constant values

# LES schemes

Unified horizontal and vertical mixing (for  $dx \sim dz$ ).

Typically needed for  $dx < \sim 200$  m. Also use `mix_isotropic=1`.

bl_pbl_physics	diff_opt	km_opt	Scheme	Cores	sf_sfclay_physics	isfflx	Prognostic variables
0	2	2	tke	ARW	0,1,2	0,1,2	tke
0	2	3	3d Smagorinsky	ARW	0,1,2	0,1,2	

## Namelist isfflx controls surface flux methods

isfflx	sf_sfclay_physics	Heat flux	Drag	Real/Ideal
0	0	From namelist tke_heat_flux	From namelist tke_drag_coefficient	Ideal
1	1,2	From LSM/sfclay physics (HFX, QFX)	From sfclay physics (UST)	Real
2	1,2	From namelist tke_heat_flux	From sfclay physics (UST)	Ideal

# Other Options

- Gravity-wave drag can be added for low resolution ( $> 10$  km) runs to represent sub-grid orographic gravity-wave vertical momentum transport (gwd\_opt=1)
- Wind-farm model has been added to investigate wind-farm effects on the environment (extra stress and turbulence generation)
- topo\_wind=1: Jimenez and Dudhia 10 m wind bias correction method for terrain effects

# topo\_wind=1,2

- 1: Jimenez and Dudhia (2012), 2: Mass and Ovens
- Correction for effects on 10m wind of
  - Sub-grid topography (extra friction)
    - Uses sub-grid variance from geogrid (new)
  - Hill-tops (reduced friction) (option 1 only)
    - Uses Laplacian of resolved elevation
- This reduces 10 m wind biases
- Designed for high resolution ~2 km
- Only implemented in YSU PBL (bl\_pbl\_physics=1)

ARW only

# bldt

- Minutes between boundary layer/LSM calls
- Typical value is 0 (every step)



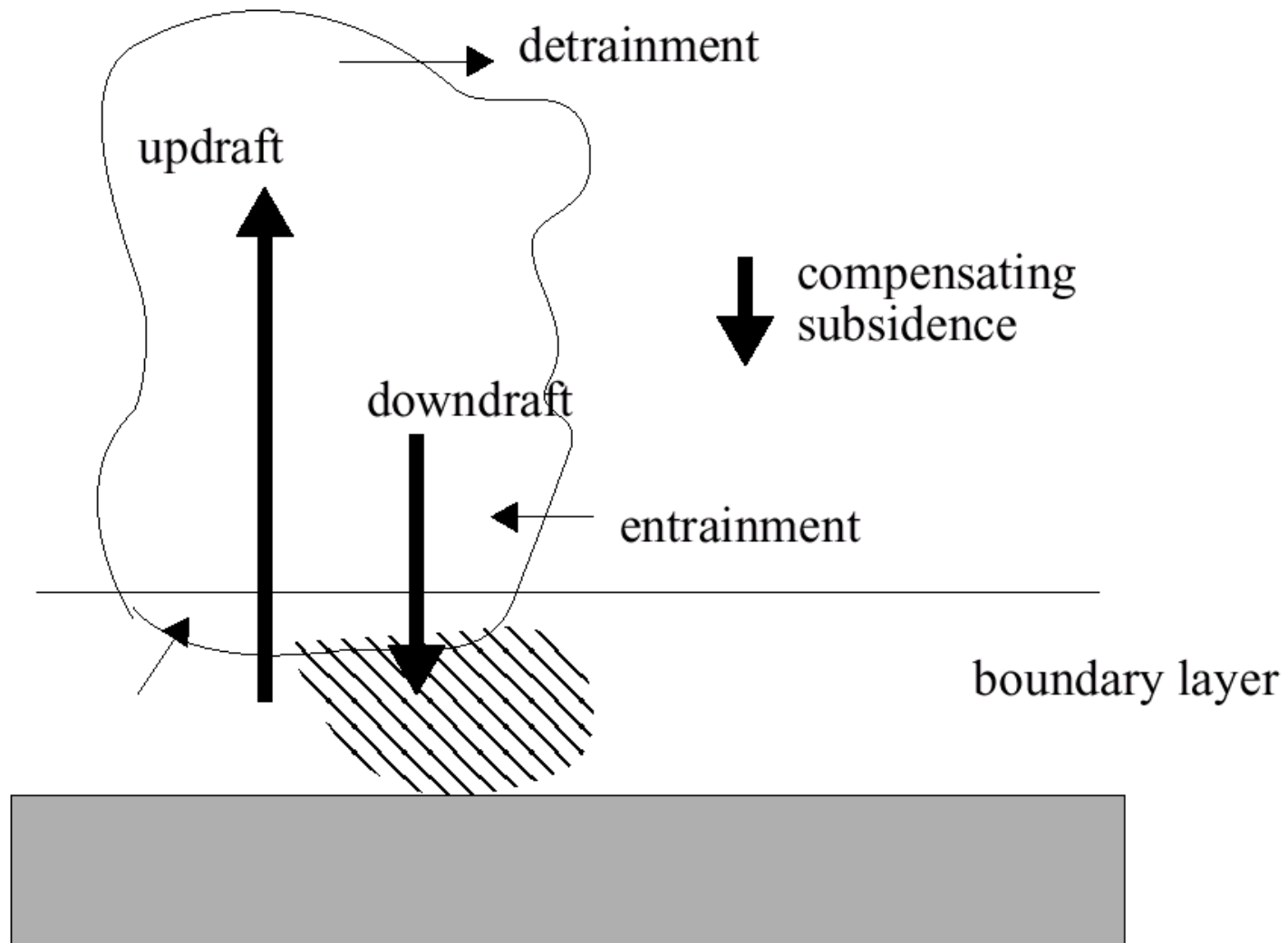
# Cumulus Parameterization

Provides

Atmospheric heat and moisture/cloud  
tendency profiles

Surface sub-grid-scale (convective) rainfall

## Illustration of Cumulus Processes



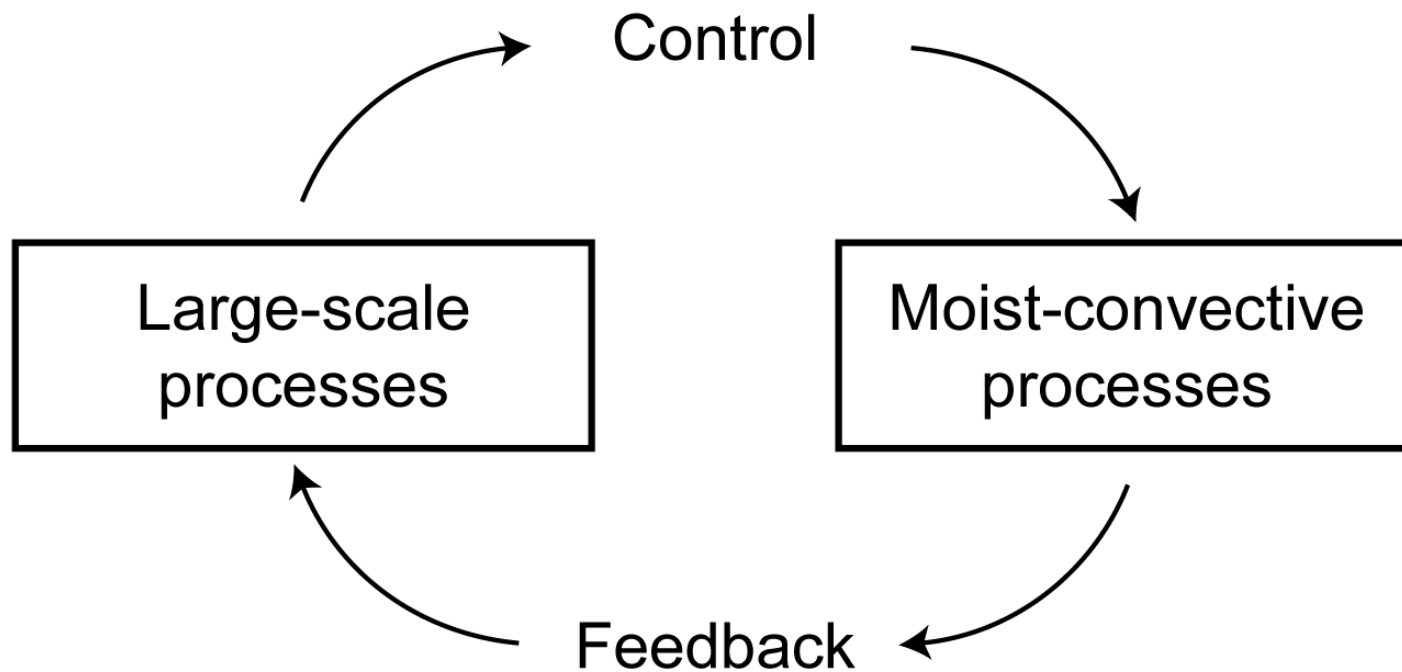
# Cumulus Schemes

- Use for grid columns that completely contain convective clouds
- Re-distribute air in column to account for vertical convective fluxes
  - Updrafts take boundary layer air upwards
  - Downdrafts take mid-level air downwards
- Schemes have to determine
  - When to trigger a convective column
  - How fast to make the convection act

# Deep Convection

- Schemes work in individual columns that are considered convectively unstable
- Mass-flux schemes transport surface air to top of cloud and include subsidence
- Subsidence around cloud warms and dries troposphere removing instability over time
- Additionally downdrafts may cool PBL

# Parameterizations of cumulus convection



# WRF Cumulus Parameterization Options

- Cumulus schemes fall into two main classes
  - Adjustment type (Betts-Miller-Janjic)
    - Relaxes towards a post-convective (mixed) sounding
  - Mass-flux type (all others in WRF)
    - Determines updraft (and often downdraft) mass flux and other fluxes (sometimes including momentum transport)

# Cumulus schemes in V3.5

cu_physics	Scheme	Reference	Added
1	Kain-Fritsch	Kain (2004, JAM)	2000
2	Betts-Miller-Janjic	Janjic (1994, MWR; 2000, JAS)	2002
3	Grell-Freitas	Grell and Freitas (2013, to be published)	2013
4	Old Simplified Arakawa-Schubert	Grell et al. (1994, MM5 NCAR Tech Note)	2002/ 2011
5	Grell-3	Grell and Devenyi (2002, GRL)	2008
6	Tiedtke	Tiedtke (1989, MWR), Zhang, Wang and Hamilton (2011, MWR)	2011
7	Zhang-McFarlane	Zhang and McFarlane (1995, AO)	2011
14	New SAS	Han and Pan (2010,...)	2011
84	New SAS (HWRF)	Han and Pan (2010,...)	2012
93	Grell-Devenyi	Grell and Devenyi (2002, GRL)	2002
99	Old Kain-Fritsch	Kain and Fritsch (1990, JAS; 1993 Meteo. Monogr.)	2000

# Triggers

- Clouds only activate in columns that meet certain criteria
  - Presence of some convective available potential energy (CAPE) in sounding
  - Not too much convective inhibition (CIN) in sounding (cap strength)
  - Minimum cloud depth from parcel ascent



# Closures

- Closure determine cloud strength (mass-flux) based on various methods
  - Clouds remove CAPE over time
    - Specified CAPE-removal time scale (KF, Tiedtke, ZM, BMJ)
    - Quasi-equilibrium (Arakawa-Schubert) with large-scale destabilization  $d(\text{CAPE})/dt$  (SAS, NSAS)
    - Column moisture convergence
    - Low-level large-scale ascent (mass convergence)

# Ensemble methods

- GF, G3 and GD use ensemble of triggers and closures possibly with with varying parameters (effectively up to 144 members)
- Take mean of ensemble to feed back to model
- In principle, can be tuned to emphasize various members under different conditions

# Shallow Convection

- Non-precipitating shallow mixing dries PBL, moistens and cools above
- This can be done by an enhanced mixing approach (SAS, GRIMS) or mass-flux approach (KF, NSAS, Tiedtke, G3, GF)
- May be useful at grid sizes that do not resolve shallow cumulus clouds ( $> 1$  km)

# Shallow Convection

- Cumulus schemes may include shallow convection (KF, SAS schemes, G3, GF, BMJ, Tiedtke)
- Standalone shallow schemes
  - UW Park-Bretherton (shcu\_physics=2)
  - GRIMS shallow scheme (shcu\_physics=3)
- Part of PBL schemes with mass-flux method
  - TEMF PBL option (bl\_bl\_physics=10)
  - QNSE-EDMF PBL (bl\_bl\_physics=4)

# Momentum Transport

- Some cumulus parameterizations also have momentum transport (SAS, NSAS, Tiedtke, ZM)
- Most schemes transport momentum as a passive scalar but ZM and NSAS include a convective pressure gradient term

# Cloud Detrainment

- Most schemes detrain cloud and ice at cloud top (except BMJ)
- KF schemes also detrain snow and rain
- These are then used by the microphysics

ARW only

## cudt

- Time steps between cumulus scheme calls
- Typical value is 5 minutes
  - Note: for KF scheme this is also used for averaging time for vertical velocity trigger
  - Not used by G3 or GD schemes

# Cumulus schemes in V3.5

cu_physics	Scheme	Cores	Moisture Tendencies	Momentum Tendencies	Shallow Convection
1	Kain-Fritsch Eta	ARW NMM	Qc Qr Qi Qs	no	yes
2	Betts-Miller-Janjic	ARW NMM	-	no	yes
3	Grell-Freitas	ARW	Qc Qi	no	yes
4	Old Simplified Arakawa-Schubert	ARW NMM	Qc Qi	yes (NMM)	yes (ARW)
5	Grell-3	ARW	Qc Qi	no	yes
6	Tiedtke	ARW	Qc Qi	yes	yes
7	Zhang-McFarlane	ARW	Qc Qi	yes	no
14	New SAS	ARW	Qc Qi	yes	yes
84	New SAS (HWRF)	ARW NMM	Qc Qi	yes (NMM)	yes
93	Grell-Devenyi	ARW	Qc Qi	no	no
99	Old Kain-Fritsch	ARW	Qc Qr Qi Qs	no	no



# Cumulus scheme

## Recommendations about use

- For  $dx \geq 10$  km: probably need cumulus scheme
- For  $dx \leq 3$  km: probably do not need scheme
  - However, there are cases where the earlier triggering of convection by cumulus schemes help
- For  $dx=3-10$  km, scale separation is a question
  - Few schemes are specifically designed with this range of scales in mind
  - G3 has an option to spread subsidence in neighboring columns
  - GF phases out deep convection at fine grid size
- Issues with 2-way nesting when physics differs across nest boundaries (seen in precip field on parent domain)
  - best to use same physics in both domains or 1-way nesting

# Microphysics

Provides

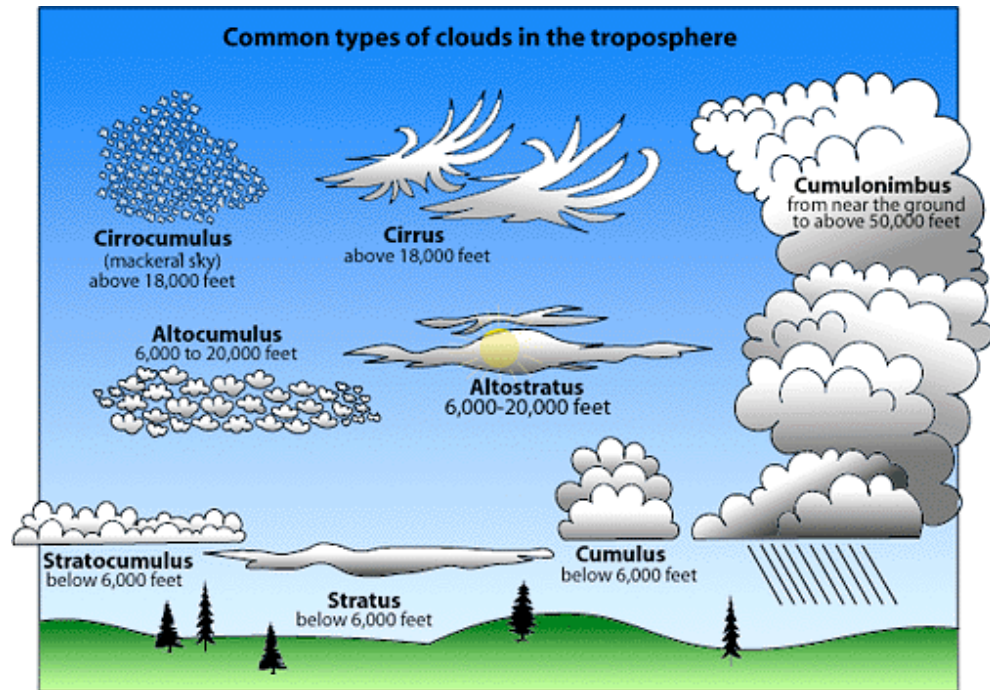
Atmospheric heat and moisture tendencies

Microphysical rates

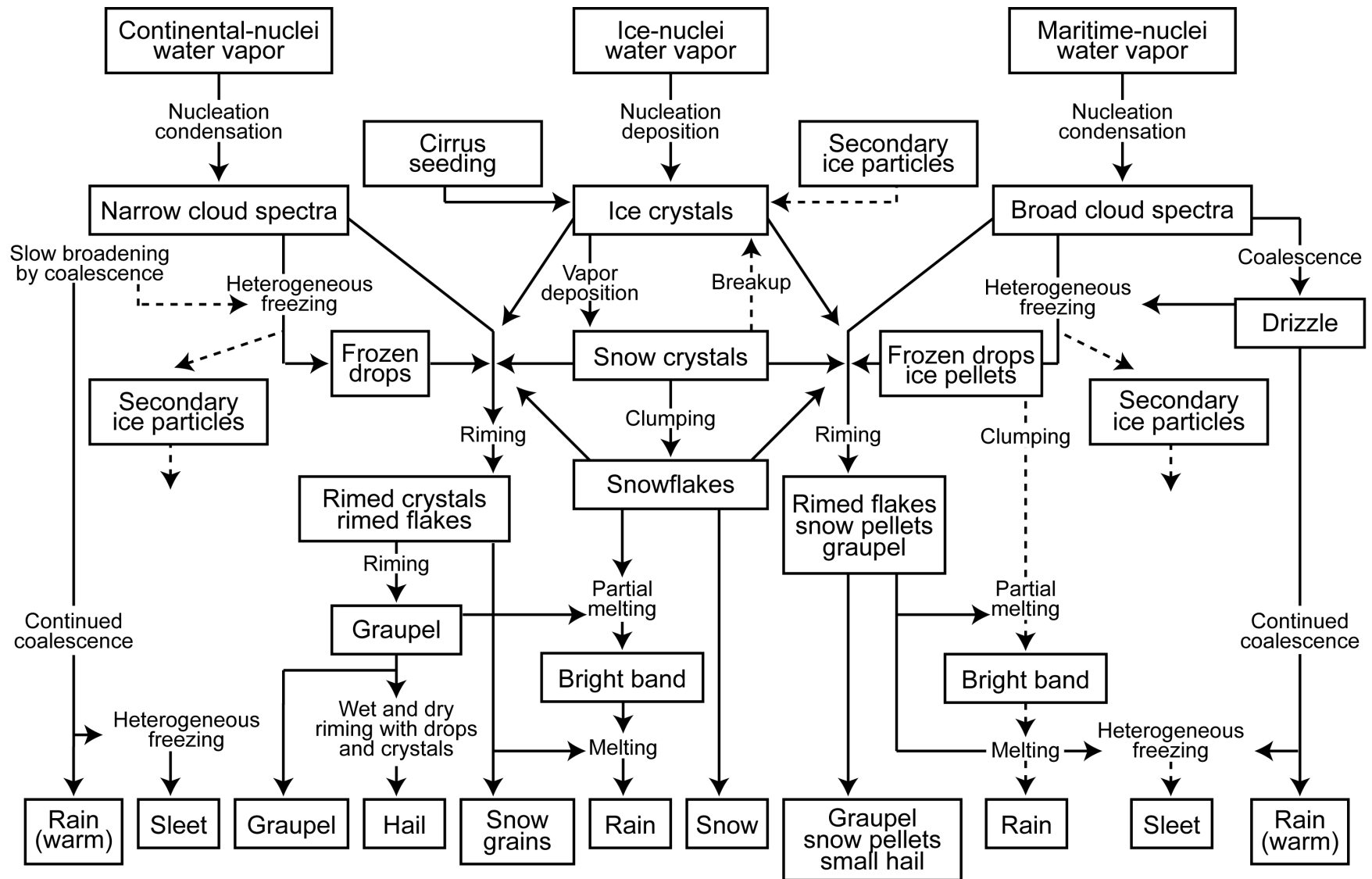
Surface resolved-scale rainfall

# Resolved clouds

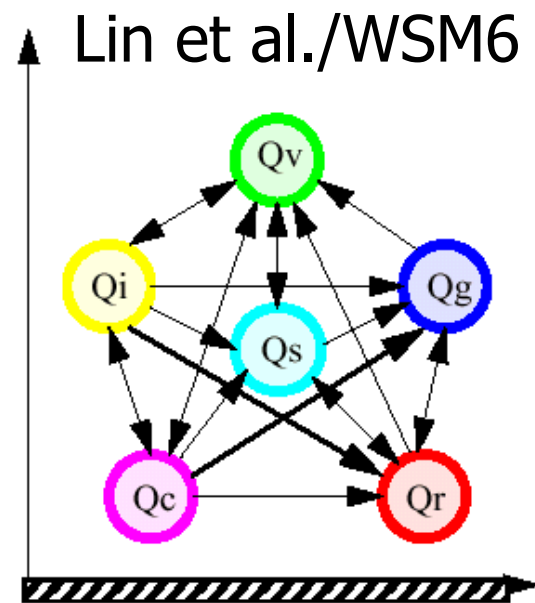
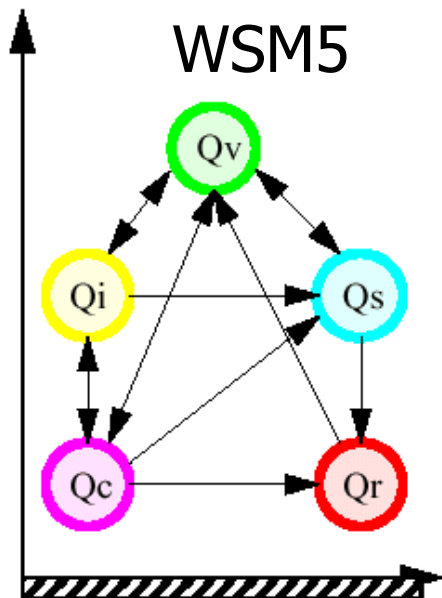
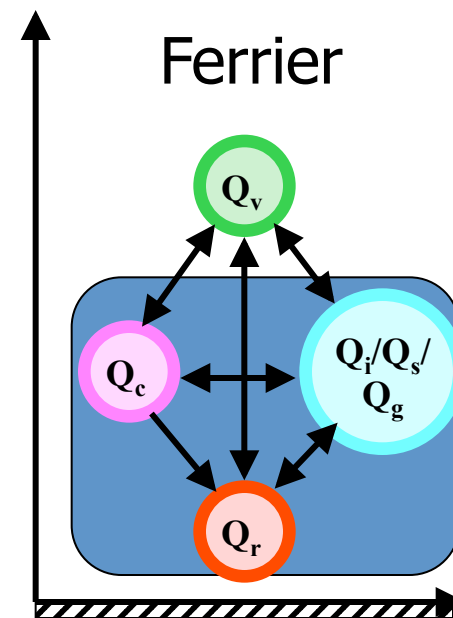
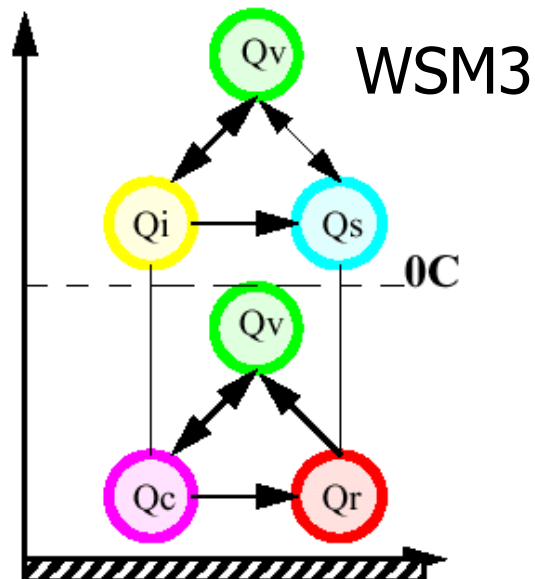
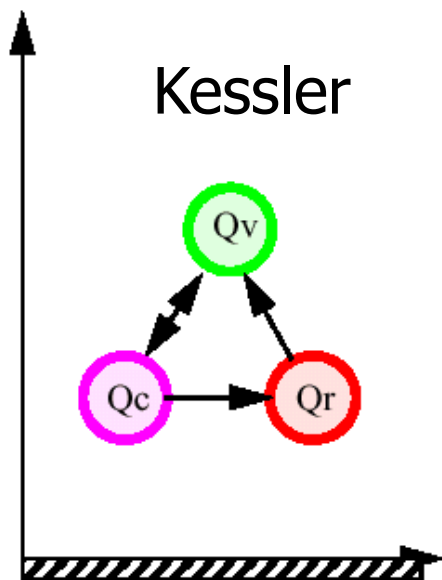
- Formed by radiative, dynamical or convective processes
- Model only considers grid-scale average so will not resolve fine-scale structures



# Microphysics Parameterization



# Illustration of Microphysics Processes



# WRF Microphysics Options (mp\_physics)

- Range of levels of sophistication
  - Warm rain (i.e. no ice) – Kessler (idealized)
  - Simple ice (3 arrays) – WSM3
  - Mesoscale (5 arrays, no graupel) – WSM5
  - Cloud-scale single-moment (6 arrays, graupel) – WSM6, Lin, Goddard, SBU, Eta-Ferrier
  - Double-moment (8-13 arrays) – Thompson, Morrison, Milbrandt-Yau, WDM5, WDM6

# Microphysics schemes in V3.5

mp_physics	Scheme	Reference	Added
1	Kessler	Kessler (1969)	2000
2	Lin (Purdue)	Lin, Farley and Orville (1983, JCAM)	2000
3	WSM3	Hong, Dudhia and Chen (2004, MWR)	2004
4	WSM5	Hong, Dudhia and Chen (2004, MWR)	2004
5	Eta (Ferrier)	Rogers, Black, Ferrier et al. (2001)	2000
6	WSM6	Hong and Lim (2006, JKMS)	2004
7	Goddard	Tao, Simpson and McCumber (1989, MWR)	2008
8	Thompson (+old)	Thompson et al. (2008, MWR)	2009
9	Milbrandt 2-mom	Milbrandt and Yau (2005, JAS)	2010
10	Morrison 2-mom	Hong and Pan (1996, MWR)	2008
11	CESM 1.0	Morrison and Gettelman (2008, JC)	2013
13	SBU-Ylin	Lin and Colle (2011, MWR)	2011
14	WDM5	Lim and Hong (2010, MWR)	2009
16	WDM6	Lim and Hong (2010, MWR)	2009
17	NSSL 2-mom	Mansell, Ziegler and Bruning (2010, JAS)	2012
18	NSSL 2-mom + ccn	Mansell, Ziegler and Bruning (2010, JAS)	2012

# Microphysics

- Latent heat release from
  - Condensation, evaporation, deposition, sublimation, freezing, melting
- Particle types
  - Cloud water, rain drops, ice crystals, snow, graupel (also hail in some)
    - Total mass contributes to liquid loading in dynamics
- Processes
  - Aggregation, accretion, growth, fall-out



# Microphysics: Single and Double Moment Schemes

- Single-moment schemes have one prediction equation for mass ( $\text{kg/kg}$ ) per species ( $Q_r$ ,  $Q_s$ , etc.) with particle size distribution being derived from fixed parameters
- Double-moment (DM) schemes add a prediction equation for number concentration ( $\text{\#/kg}$ ) per DM species ( $N_r$ ,  $N_s$ , etc.)
- DM schemes may only be double-moment for a few species
- DM schemes allow for additional processes such as size-sorting during fall-out and sometimes aerosol (CCN) effects

# Microphysics: Fall terms

- Microphysics schemes handle fall terms for particles (usually everything except cloud water has a fall term)
- For long time-steps (such as mesoscale applications  $\Delta t \sim 60$  s,  $V_t = 5$  m/s), drops may fall more than a grid level in a time-step
- This requires splitting the time-step (most schemes) or lagrangian numerical methods (WSM and WDM schemes) to keep the scheme numerically stable

ARW only

# no\_mp\_heating=1

- Turn off heating effect of microphysics
  - Zeroes out the temperature tendency
  - Equivalent to no latent heat
  - Other microphysics processes not affected
  - Since Version 3.0

# Microphysics schemes in V3.5

\* Advects only total condensate Nn= CCN number

mp_physics	Scheme	Cores	Mass Variables	Number Variables
1	Kessler	ARW	Qc Qr	
2	Lin (Purdue)	ARW (Chem)	Qc Qr Qi Qs Qg	
3	WSM3	ARW	Qc Qr	
4	WSM5	ARW NMM	Qc Qr Qi Qs	
5	Eta (Ferrier)	ARW NMM	Qc Qr Qs (Qt*)	
6	WSM6	ARW NMM	Qc Qr Qi Qs Qg	
7	Goddard	ARW	Qc Qr Qi Qs Qg	
8	Thompson	ARW NMM	Qc Qr Qi Qs Qg	Ni Nr
9	Milbrandt 2-mom	ARW	Qc Qr Qi Qs Qg Qh	Nc Nr Ni Ns Ng Nh
10	Morrison 2-mom	ARW (Chem)	Qc Qr Qi Qs Qg	Nr Ni Ns Ng
11	CESM 1.0	ARW (Chem)	Qc Qr Qi Qs	Nc Nr Ni Ns
13	SBU-YLin	ARW	Qc Qr Qi Qs	
14	WDM5	ARW	Qc Qr Qi Qs	Nn Nc Nr
16	WDM6	ARW	Qc Qr Qi Qs Qg	Nn Nc Nr
17	NSSL 2-mom	ARW	Qc Qr Qi Qs Qg Qh	Nc Nr Ni Ns Ng Nh
18	NSSL 2-mom +ccn	ARW	Qc Qr Qi Qs Qg Qh	Nc Nr Ni Ns Ng Nh Nn

# Microphysics Options

## Recommendations about choice

- Probably not necessary to use a graupel scheme for  $dx > 10$  km
  - Updrafts producing graupel not resolved
  - Cheaper scheme may give similar results
- When resolving individual updrafts, graupel scheme should be used
- All domains use same option

# Rainfall Output

- Cumulus and microphysics can be run at the same time
- ARW outputs rainfall accumulations since simulation start time (0 hr) in mm
- RAINC comes from cumulus scheme
- RAINNC comes from microphysics scheme
- Total is RAINC+RAINNC
  - RAINNCV is time-step value
  - SNOWNC/SNOWNCV are snow sub-set of RAINC/RAINNCV (also GRAUPELNC, etc.)

# Rainfall Output

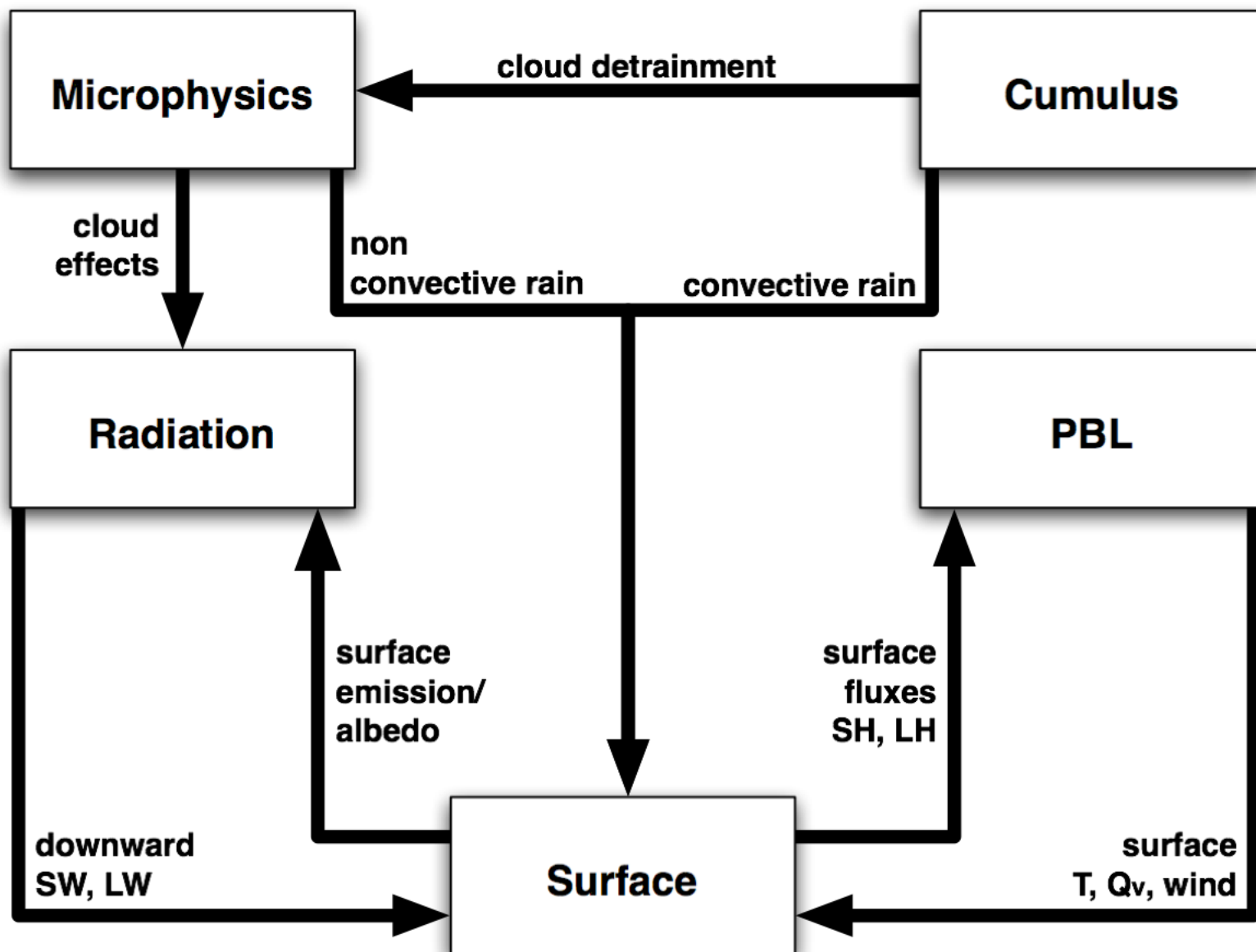
## Options for “buckets”

- `prec_acc_dt` (minutes) - accumulates separate `prec_acc_c`, `prec_acc_nc`, `snow_acc_nc` in each time window (we recommend `prec_acc_dt` is equal to the wrf output frequency to avoid confusion)
- `bucket_mm` - separates `RAIN(N)C` into `RAIN(N)C` and `I_RAIN(N)C` to allow accuracy with large totals such as in multi-year accumulations
  - $\text{Rain} = \text{I\_RAIN(N)C} * \text{bucket\_mm} + \text{RAIN(N)C}$
  - `bucket_mm` = 100 mm is a reasonable bucket value
  - `bucket_J` also for CAM and RRTMG radiation budget terms (1.e9 J/m<sup>2</sup> recommended)

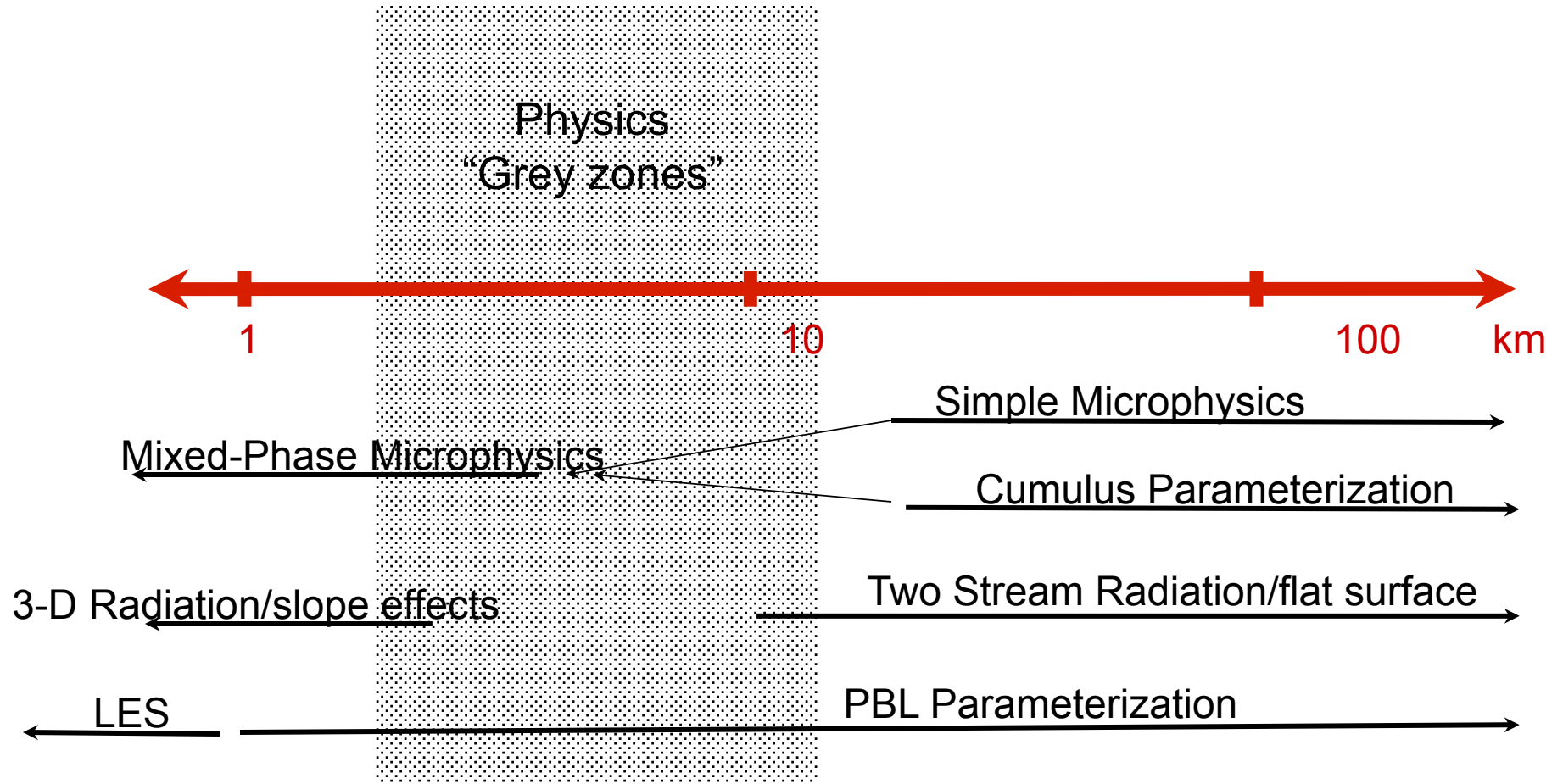
# Physics Interactions



# Direct Interactions of Parameterizations



# Physics in Multiscale NWP Model

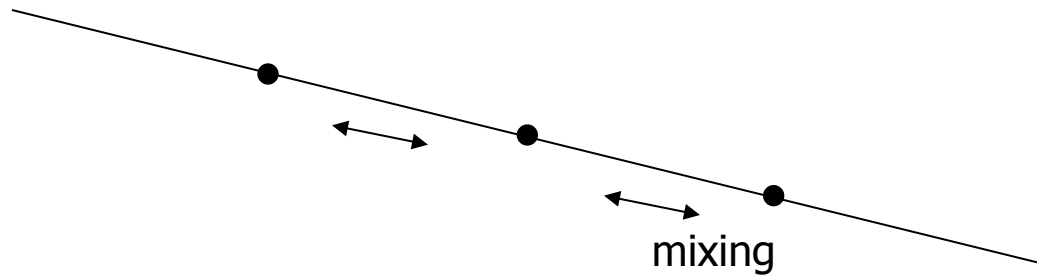


# Turbulence/Diffusion

Sub-grid eddy mixing effects on all  
fields, e.g.

$$\frac{\partial}{\partial x} K_h \frac{\partial}{\partial x} \theta + \frac{\partial}{\partial y} K_h \frac{\partial}{\partial y} \theta + \frac{\partial}{\partial z} K_v \frac{\partial}{\partial z} \theta$$

# Difference between diff\_opt 1 and 2

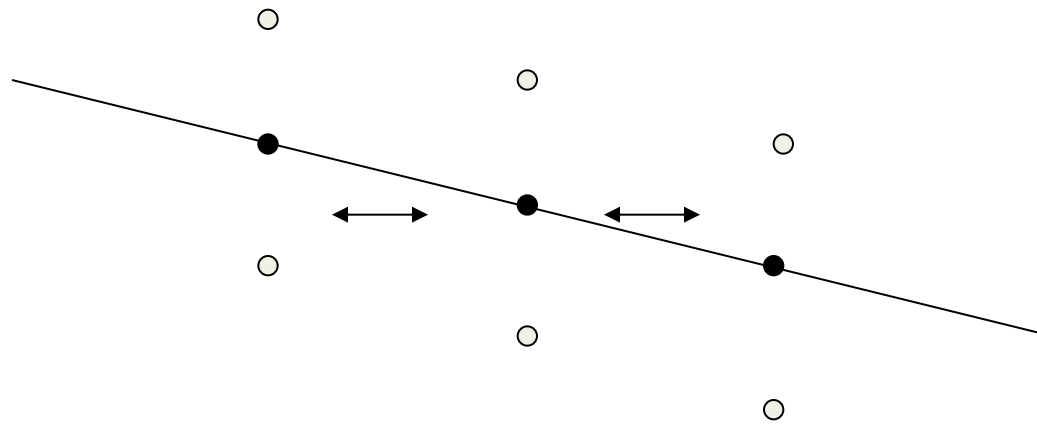


diff\_opt=1

Horizontal diffusion acts along model levels

Simpler numerical method with only neighboring points on the same model level

# Difference between diff\_opt 1 and 2



diff\_opt=2

Horizontal diffusion acts on horizontal gradients

Numerical method includes vertical correction term  
using more grid points

ARW only

# km\_opt

- km\_opt selects method for computing K coefficient
  - km\_opt=1: constant (use khdif and kvdif to specify – idealized)
  - km\_opt=2: 3d tke prediction used to compute K (requires diff\_opt=2)
  - km\_opt=3: 3d Smagorinsky diagnostic K (requires diff\_opt=2)
  - km\_opt=4: 2d Smagorinsky for horizontal K (to be used with PBL or kvdif for vertical K )

ARW only

# sfs\_opt

- Sub-filter-scale stress model for LES applications impacting momentum mixing (Kosovic, Mirocha)
  - sfs\_opt=0 (default) off
  - sfs\_opt=1 Nonlinear Backscatter and Anisotropy (NBA) option 1: using diagnostic stress terms (km\_opt=2,3)
  - sfs\_opt=2 NBA option 2: using tke-based stress terms (km\_opt=2 only)
  - Also m\_opt=1 for added outputs of SGS stresses

# Diffusion Option Choice

- Real-data case with PBL physics on
  - Best is diff\_opt=1, km\_opt=4
  - This complements vertical diffusion done by PBL scheme
- High-resolution real-data cases (~100 m grid)
  - No PBL
  - diff\_opt=2; km\_opt=2,3 (tke or Smagorinsky scheme)
- Idealized cloud-resolving (dx =1-3 km) modeling (smooth or no topography, no surface heat fluxes)
  - diff\_opt=2; km\_opt=2,3
- Complex topography with no PBL scheme
  - diff\_opt=2 is more accurate for sloped coordinate surfaces, and prevents diffusion up/down valley sides
- Note: WRF can run with no diffusion (diff\_opt=0)



ARW only

# diff\_6th\_opt

- 6<sup>th</sup> order optional added horizontal diffusion on model levels
  - Used as a numerical filter for  $2 \cdot dx$  noise
  - Suitable for idealized and real-data cases
  - Affects all advected variables including scalars
- diff\_6th\_opt
  - 0: none (default)
  - 1: on (can produce negative water)
  - 2: on and prohibit up-gradient diffusion (better for water conservation)
- diff\_6th\_factor
  - Non-dimensional strength (typical value 0.12, 1.0 corresponds to complete removal of  $2 \cdot dx$  wave in a time-step)

# Upper damping (damp\_opt)<sup>ARW only</sup>

Purpose is to prevent unrealistic reflections of waves from model top. Can be important over high topography.

## Options

- 1: Upper level diffusive layer
- 2: Rayleigh damping (idealized only – needs input sounding)
- 3: w-Rayleigh damping (damps w only)

## All options use

- Cosine function of height
- Additional parameters
  - zdamp: depth of damping layer
  - dampcoef: nondimensional maximum magnitude of damping

# &physics

Seven major physics categories:

`mp_physics`: 0,1,2,3,4,5,6,8,10

`ra_lw_physics`: 0,1,3,99

`ra_sw_physics`: 0,1,2,3,99

`sf_sfclay_physics`: 0,1,2

`sf_surface_physics`: 0,1,2,3,99 (set before  
running **real** or **ideal**, need to match with  
**num\_soil\_layers** variable)

`ucm_call` = 0,1

`bl_pbl_physics`: 0,1,2,99

`cu_physics`: 0,1,2,3,99

End