



NCAR

# **Recent Developments of WRF Obs-nudging and Relaxation Ensemble Kalman Filter FDDA**

**Yubao Liu, Yonghui Wu, Linlin Pan, Al Bourgeois,  
Jason Knievel**

NCAR

**John Pace, Frank Gallagher, and Scott Halvorson**  
US Army Dugway Proving Ground

*16<sup>th</sup> Annual WRF Users Workshop, 15 – 19 June 2015,  
Boulder, CO*

# A Note for Mesoscale DA

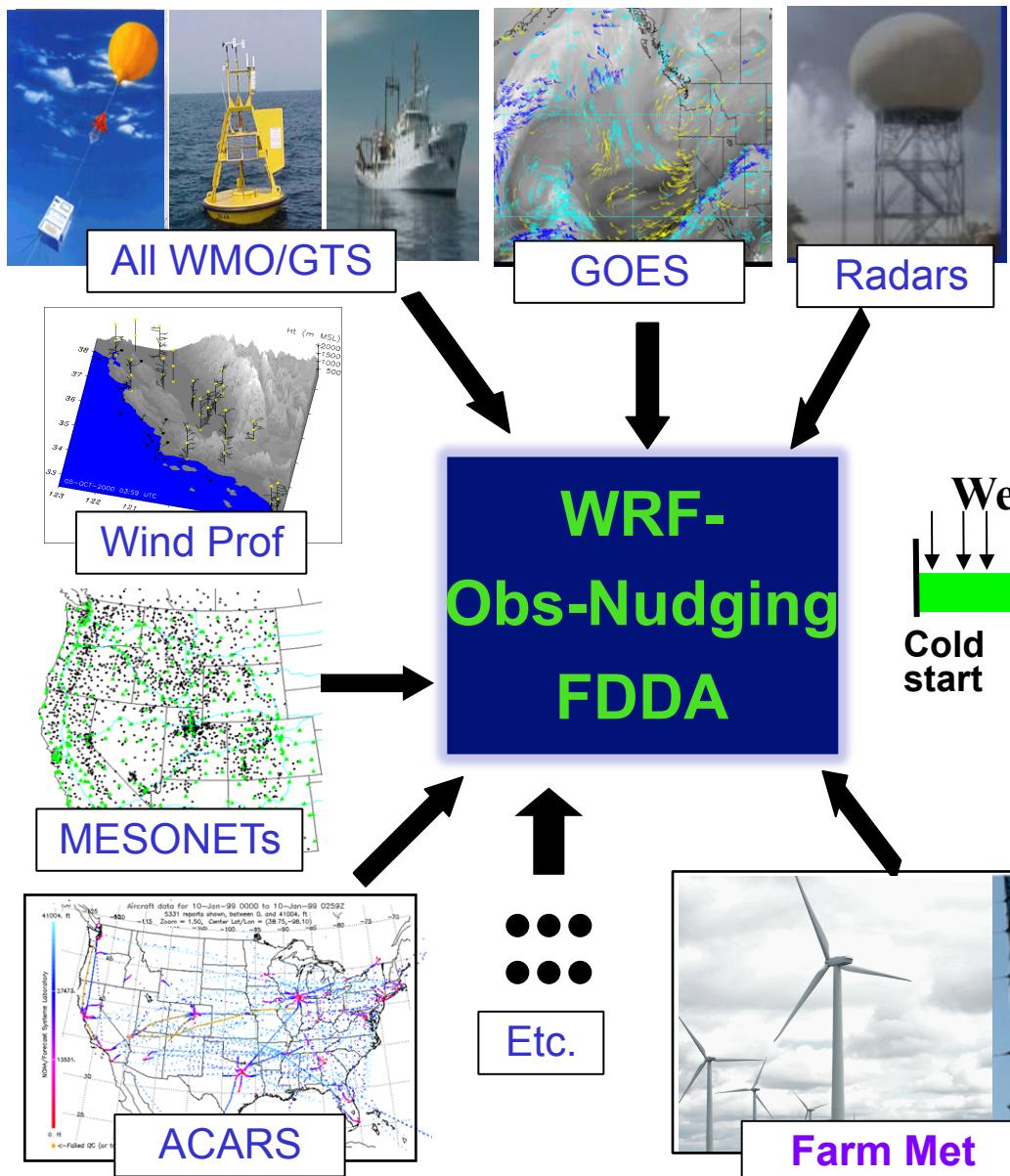
- ✧ Mesoscale weather: rich flow features, fast-evolving and difficult to define proper Background Error covariance;
- ✧ Surface data are important. Complex terrain and PBL turbulences make surface data assimilation challenging;
- ✧ Radar data assimilation is important;
- ✧ Physical process (sources/sinks) are large terms, often interacting strongly with data ingested into WRF;
- ✧ Thus, four-dimensional data assimilation (FDDA) schemes that permit interaction between a full-physics model and observations data are advantageous.

# FDDA: 4D continuous DA

- ✧ 4DVAR, EN-4DVAR
- ✧ Obs-nudging
- ✧ REKF (Relaxation Ensemble KF)
- ✧ Pseudo-Continuous-FDDA:
  - Grid-nudging,
  - 4D-LETKF,
  - High-frequency EnKF,
  - ...

**REKF:** an advanced obs-nudging FDDA scheme  
using the EnKF technology.

# Obs-Nudging FDDA

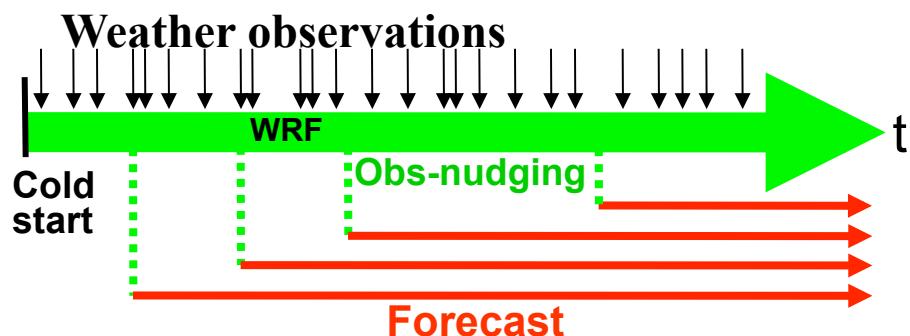


*Modified WRF:*

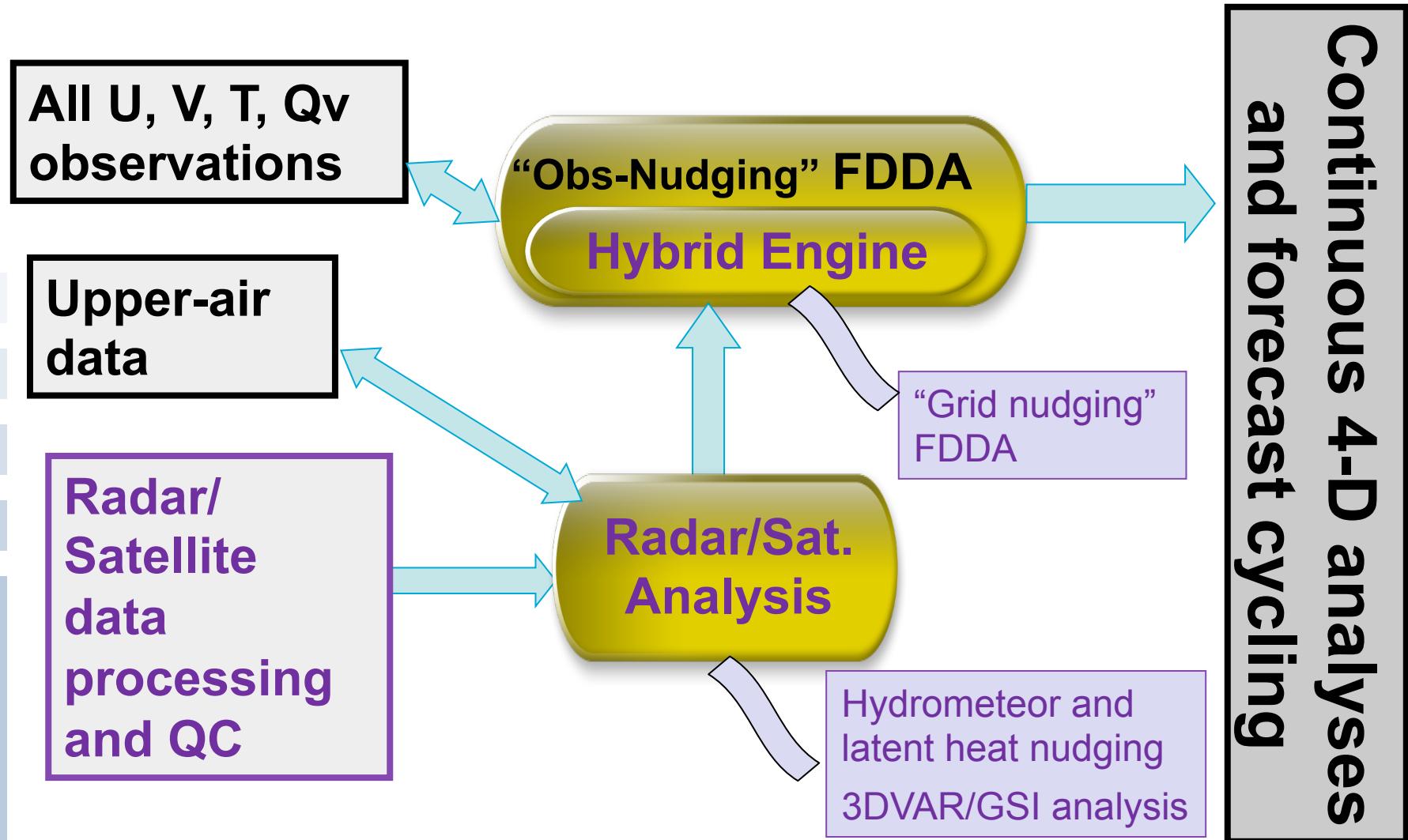
$$\frac{Dx}{Dt} = \dots + GW (x_{obs} - x_{model})$$

where  $x = T, U, V, Q, P1, P2 \dots$

*W is weight function*



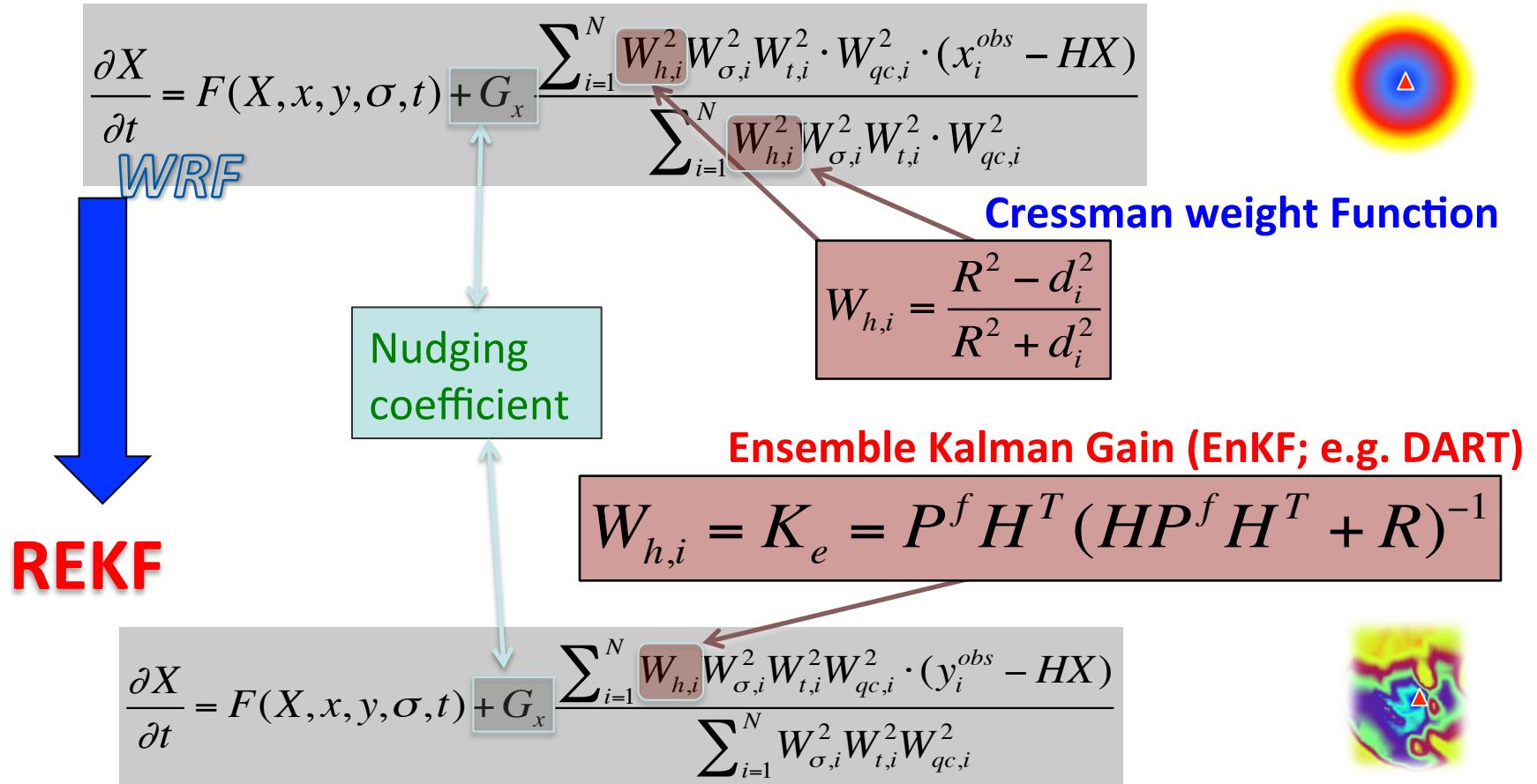
# Obs-Nudging+3DVAR/GSI hybrid DA



# Obs-Nudging and REKF



## Obs-nudging



**REKF:** Leverage obs-nudging with ensemble Kalman gains.

# Characteristics of REKF

- ❖ **Flow-dependent obs-nudging weight function**
- ❖ **Assimilate non-prognostic variables**
- ❖ **Dynamical and physical initialization**
- ❖ **Good tolerance of nudging coefficients (G)**
- ❖ **Readily adopting EnKF achievements, e.g.**
  - (adaptive) localization
  - ensemble and climatological hybrid BE (EnVar...)
- ❖ **Hybrid with GSI, DART, latent heat nudging RDA**

# WRF FDDA Data Structure Change



**Old WRF obs-nudging data structure:**

VAROB (n, time, lat, long, U, V, T, Q)

**New WRF REKF data structure:**

VAROB (n, time, lat, long, U)

VAROB (n+1, time, lat, long, V)

VAROB (n+2, time, lat, long, T)

VAROB (n+3, time, lat, long, Q)

VAROB (n+4, time, lat, long, **V<sub>r</sub>**)

Remove missing data; readily add new data type;  
and significantly reduce the memory of REKF.

# Validation experiments

- ❖ **Code validation with “OSSE”:**
  - Compare with Obs-nudging, WRF-VAR, DART, GSI
- ❖ **Kalman gain approximation in WRF:**
  - Spatial interpolation
  - Temporal interpolation
- ❖ **Dealing with model errors and rank deficiency**
  - Localization
  - Spurious noises
  - Ensemble and climatological hybrid BE
- ❖ **Assimilation of radar radial velocities**
  - Covariance:  $\text{COV}(U, V_r)$ ,  $\text{COV}(V, V_r)$

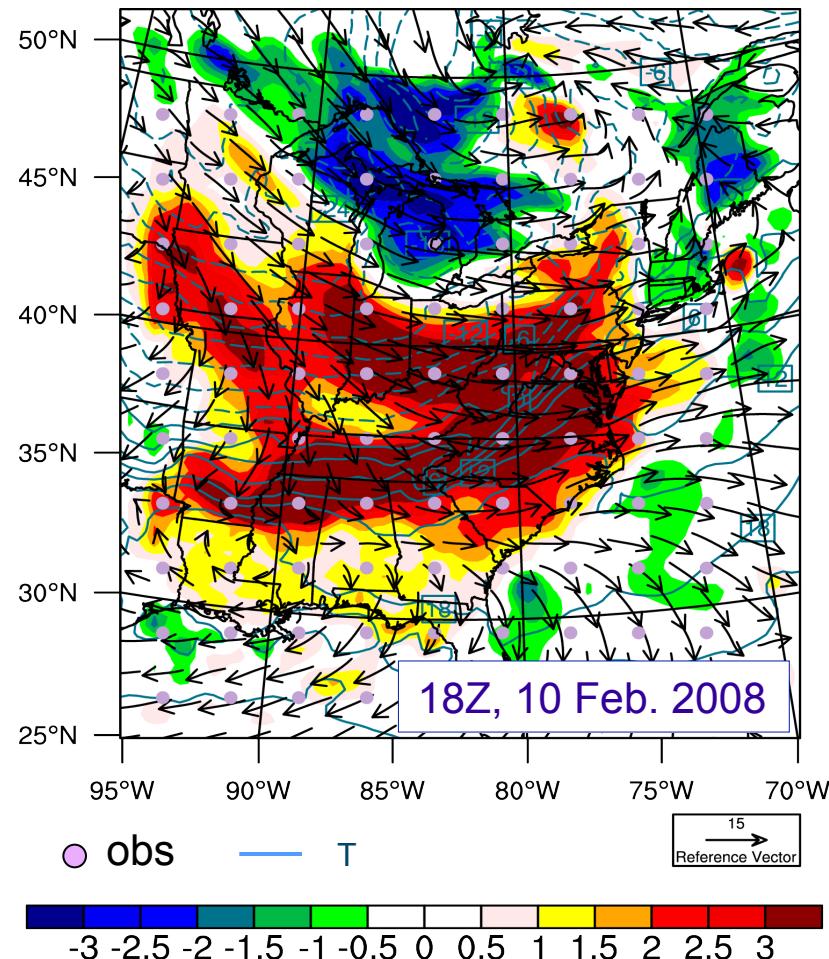
# Validation experiments

- ❖ **Code validation with “OSSE”:**
  - Compare with Obs-nudging, WRF-VAR, DART, GSI
- ❖ **Kalman gain approximation in WRF:**
  - Spatial interpolation
  - Temporal interpolation
- ❖ **Dealing with model errors and rank deficiency**
  - Localization
  - Spurious noises
  - Ensemble and climatological hybrid BE
- ❖ **Assimilation of radar radial velocities**
  - Covariance:  $\text{COV}(U, V_r)$ ,  $\text{COV}(V, V_r)$

# “Perfect-Model-Perfect-Obs” Exps

NCAR

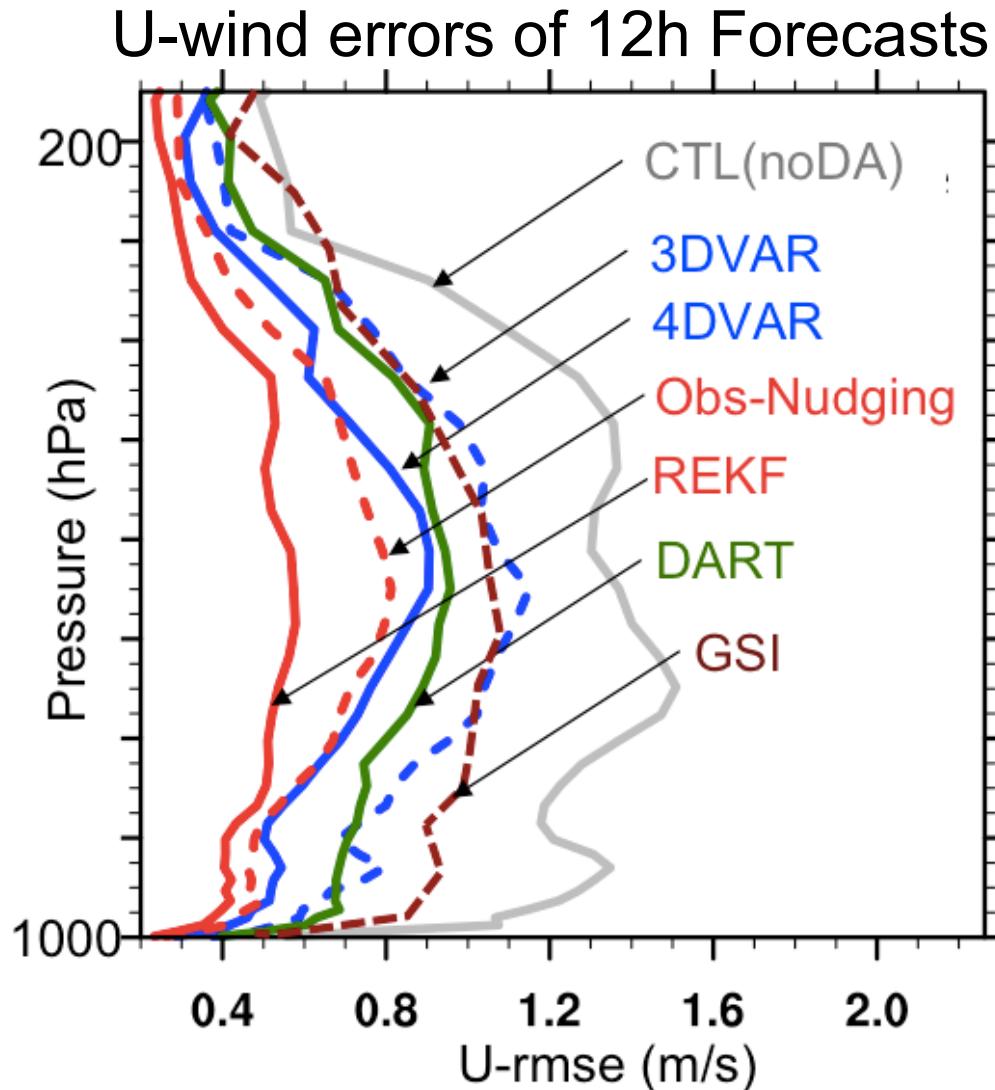
EXPs	Description
<b>TRUTH:</b> <b>Natural un</b> <b>(WRFv3.6)</b>	<b>Nature run</b> from unperturbed initial conditions (ICs) <b>OBS:</b> simulated soundings from the TRUTH
<b>CTRL</b>	Forecast from perturbed ICs.
<b>3DVAR</b>	CTRL ICs + WRF 3DVAR
<b>4DVAR</b>	CTRL ICs + WRF 4DVAR
<b>DART</b>	CTRL ICs + DART EAKF
<b>GSI</b>	CTRL ICs + GSI 3DVAR
<b>Obs-Nud</b>	CTRL ICs + WRF Obs-Nudging
<b>REKF</b>	CTRL ICs + WRF 4D-REKF



T (contour) and wind of the nature run, and T errors of the CTRL I.Cs.

All Exps: 6h DA + 24h fcsts

# Test different DA schemes in the same framework (OSSE)



**6h DA before the fcsts**

- 3DVAR: hourly
- GSI: hourly
- DART: hourly
- 4DVAR: 2 3h-windows
- Obs-Nud: continuously
- REKF: continuously

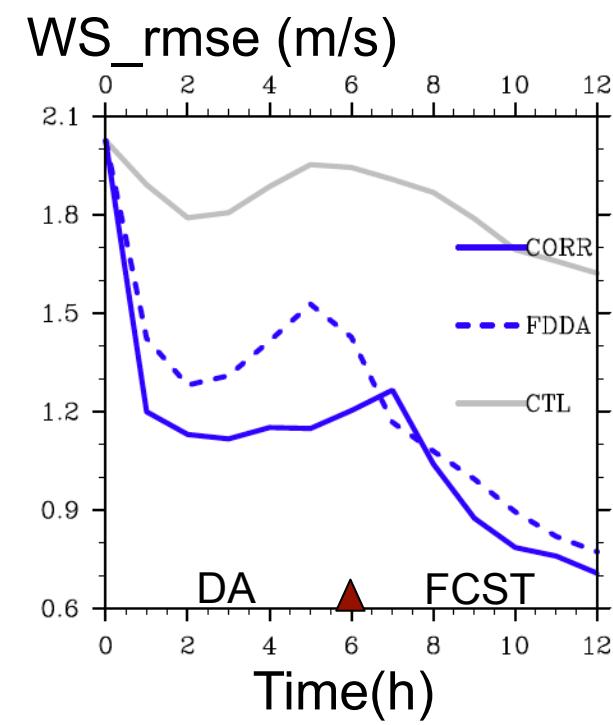
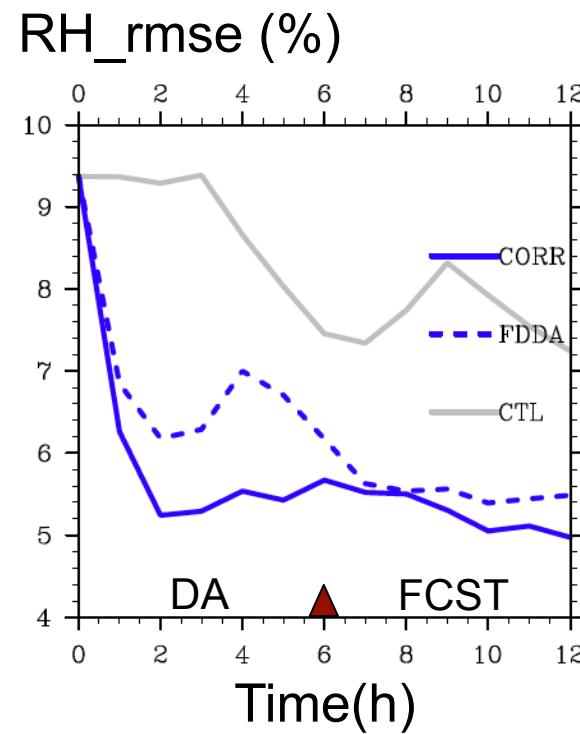
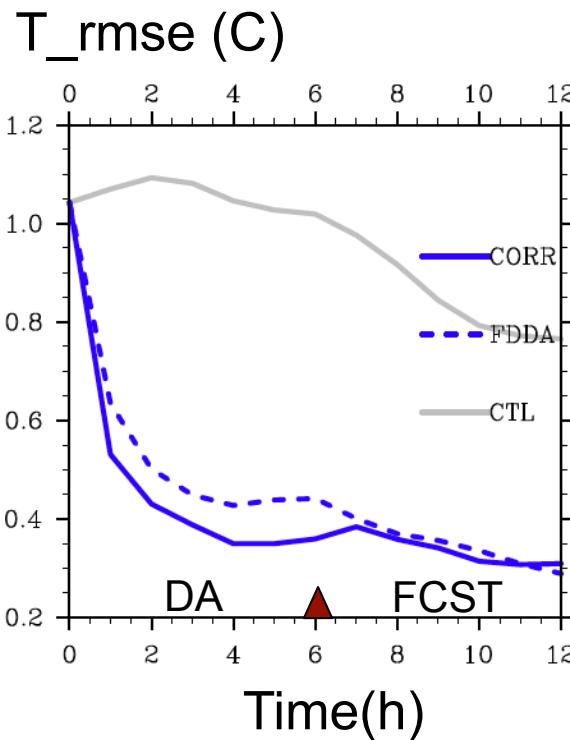
# “Climate analog ensemble” K-gains

$$\frac{dx}{dt} = \dots + GKeWtWq(y^o - Hx^b)$$

**CTL:**  $Ke=0$

**FDDA:**  $Ke=\text{Cressman}$

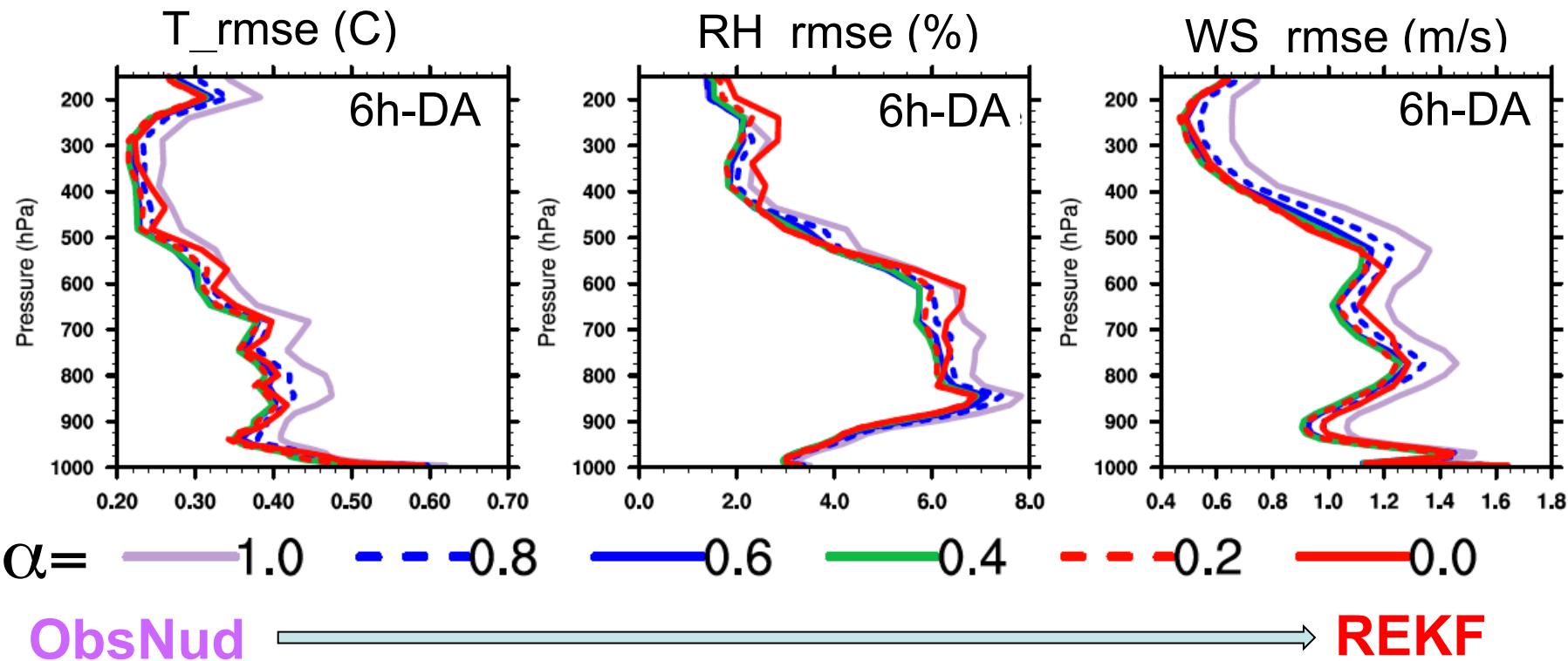
**CORR:**  $Ke=20$  clim-analogs



# Ensemble-Cressman Hybrid

$$\frac{dx}{dt} = \dots + G K_h W_t W_q (y^o - Hx^b)$$

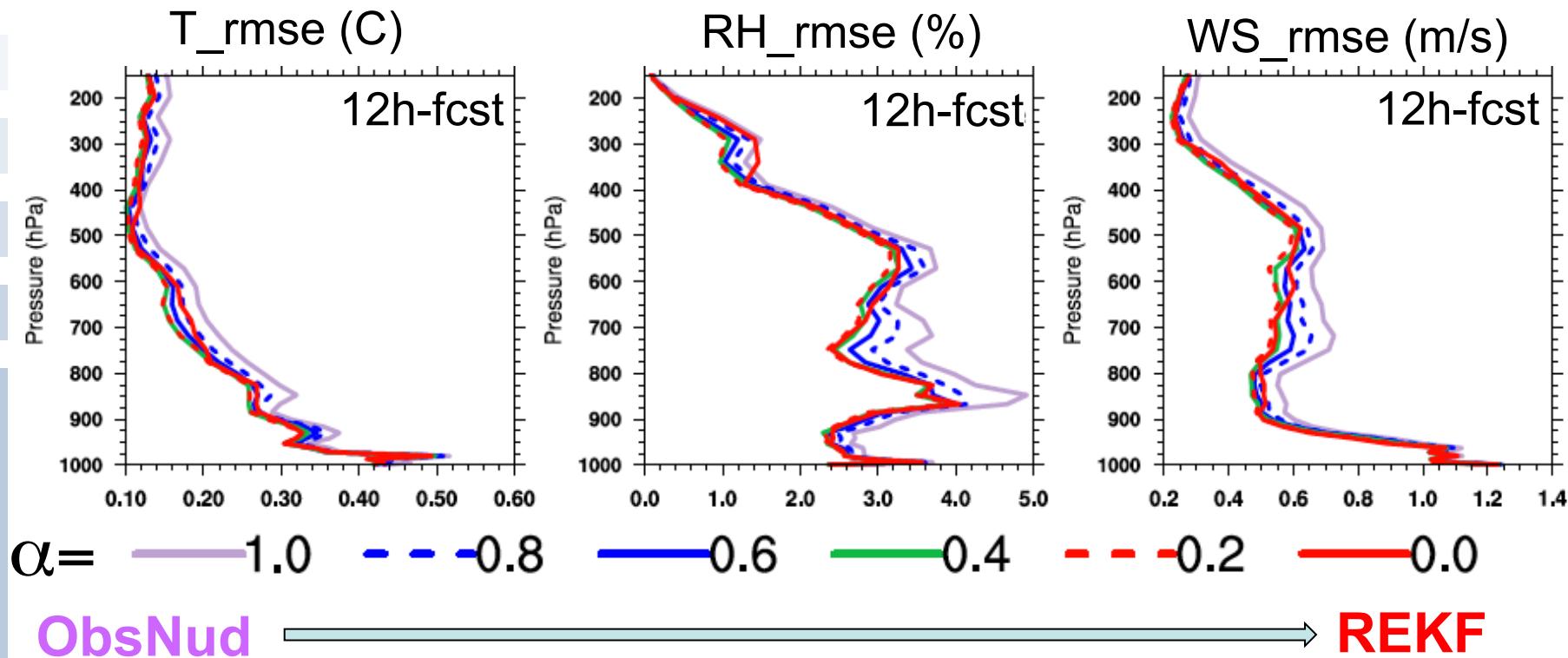
**ObsNud:**  $K_h = K_c$  Cressman  
**REKF:**  $K_h = K_e$  Ensemble  
**Hybrid:**  $K_h = \alpha K_c + (1 - \alpha) K_e$



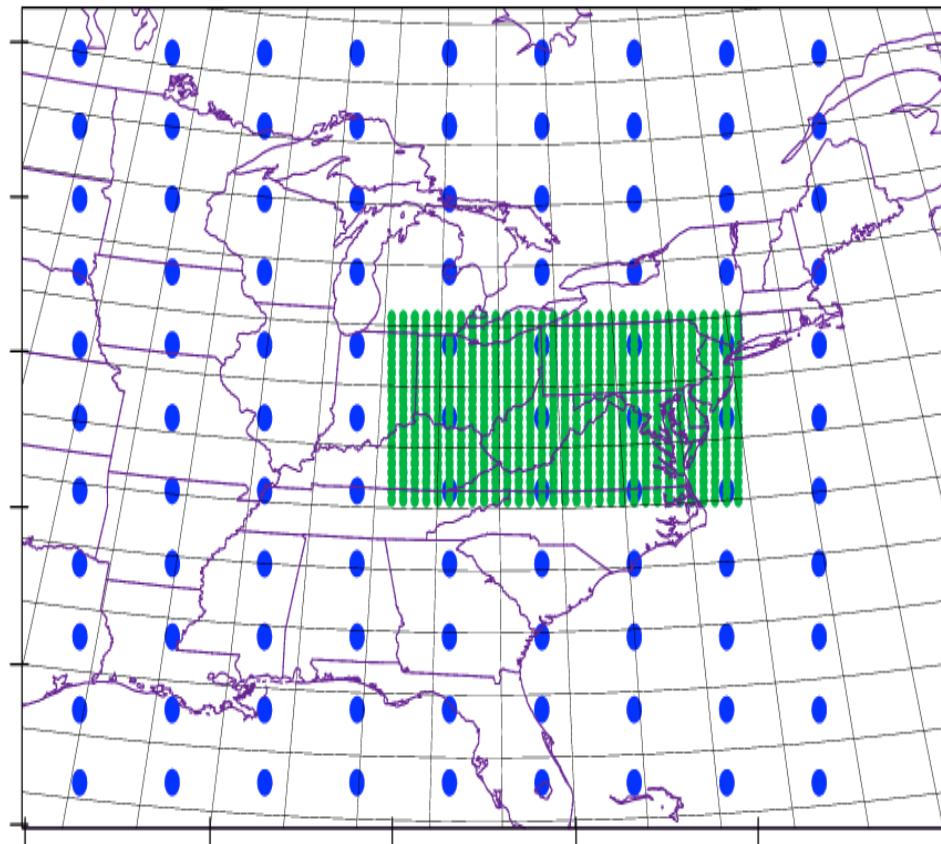
# Ensemble-Cressman Hybrid

$$\frac{dx}{dt} = \dots + G K_h W t W q (y^o - H x^b)$$

**ObsNud:**  $K_h = K_c$  Cressman  
**REKF:**  $K_h = K_e$  Ensemble  
**Hybrid:**  $K_h = \alpha K_c + (1 - \alpha) K_e$



# Assimilating Radar Radial Wind ( $V_r$ )



Soundings  
hourly

Radar ( $V_r$ )  
Every 15 min

**Four Exps:**

**NODA:** No DA

**CRES:** UVTQ

**REKF:** UVTQ

**REKFR<sub>r</sub>:** UVTQV<sub>r</sub>

Case: 10 Feb. 2008

# Assimilating Radar Radial Wind ( $V_r$ )



NODA: No DA

ONUD: Obs-nudging using soundings at 18Z and 19Z

REKF: REKF using soundings at 18Z and 19Z

REKF $V_r$ : REKF using soundings at 18Z and 19Z and  
 $V_r$  at 18:15Z, 18:30Z, and 18:45Z.

Parameters used for soundings

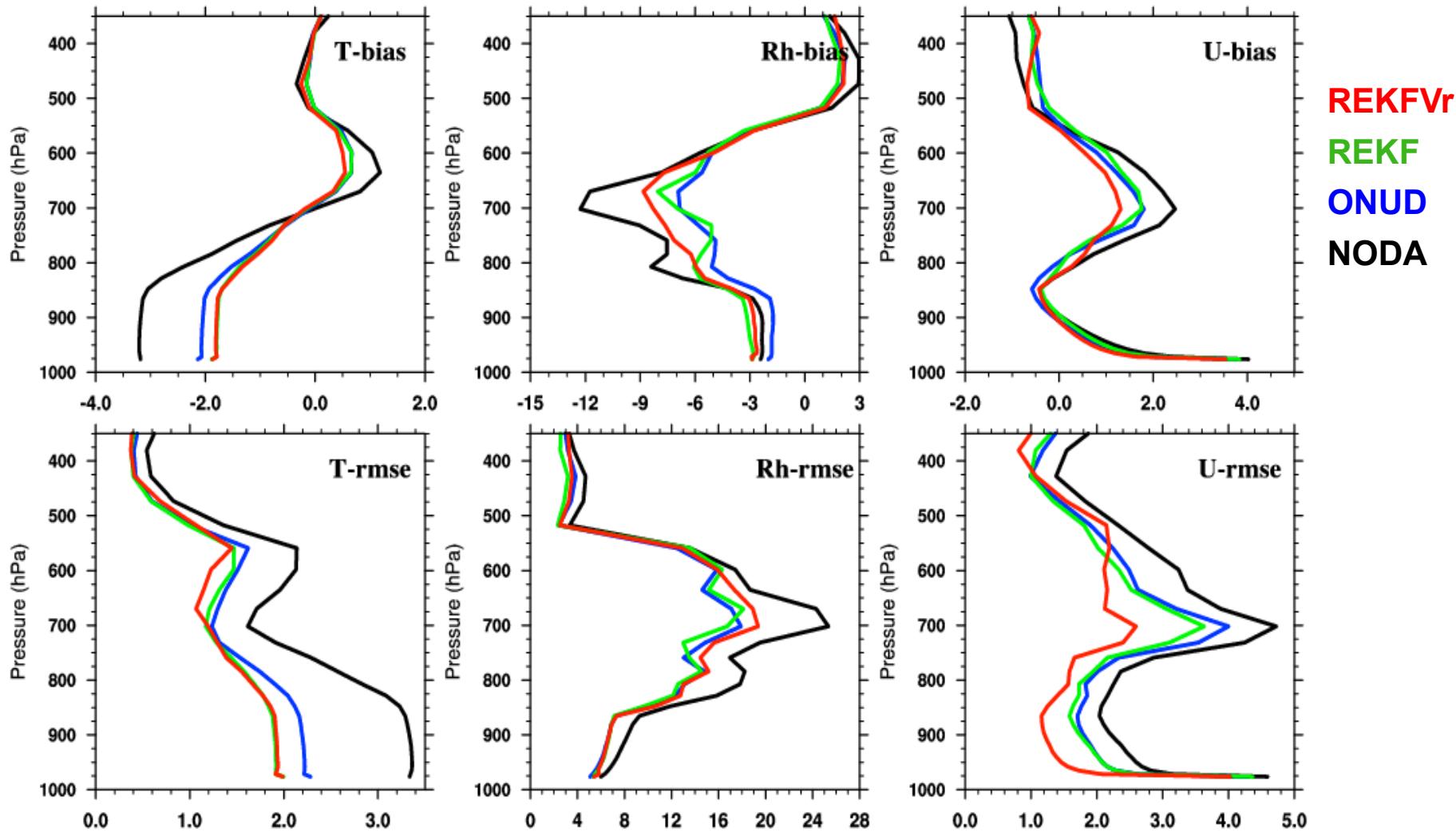
$$R_{xy} = 200 \text{ km} \quad R_t = 48 \text{ min} \quad \textit{coef} = 6.0E - 4$$

Parameters used for Radar

$$R_{xy} = 35 \text{ km} \quad R_t = 15 \text{ min} \quad \textit{coef} = 6.0E - 4$$

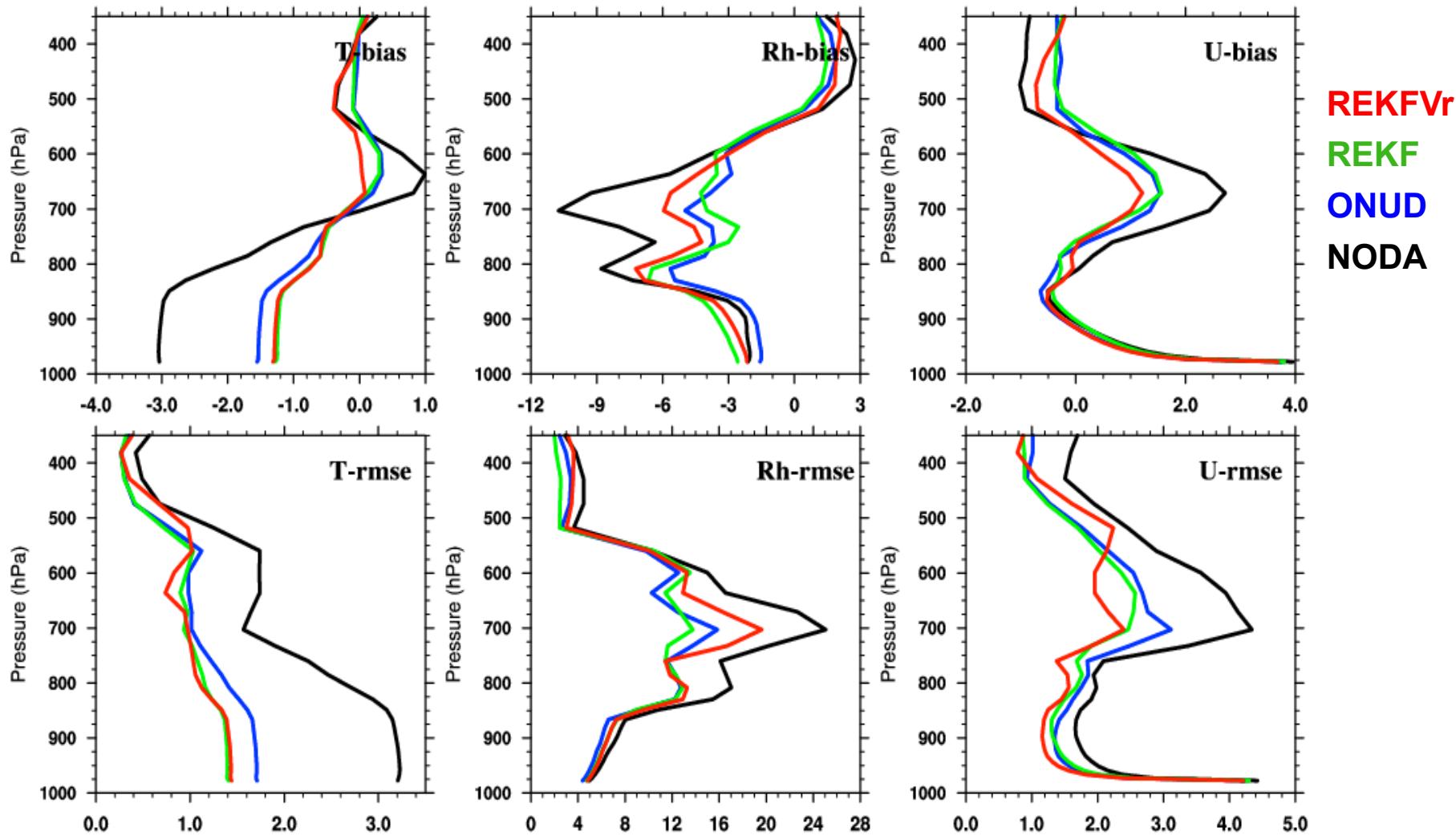
# Exps of assimilate radar wind ( $V_r$ )

After 1h DA (18 -19Z)



# Exps of Radar Wind ( $V_r$ ) Assimilation

1h Fcst (20Z)



# Summary

- REKF advances WRF obs-nudging with ensemble-based flow-dependent weight function. It preserves the advantages of “obs-nudging” while taking in the EnKF capabilities.
- Validation of REKF with an OSSE data assimilation testbed shows very encouraging performances.
- REKF is capable of assimilating both standard observations (UVTQ) and remote sensing data (e.g. Vr).
- REKF has been employed in a real-time operational forecast system running at the Army Dugway Proving Ground with 32 ensemble members and 3km grid.

**Thank you!**