

## WRF Four-dimensional variational data assimilation system Tutorial for V3.5

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NCAR Earth System Laboratory

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# Pre-requirements to run WRF 4D-Var

- Knowledge and experience to run WRF model
- Knowledge and experience to run WRFDA (3D-Var)



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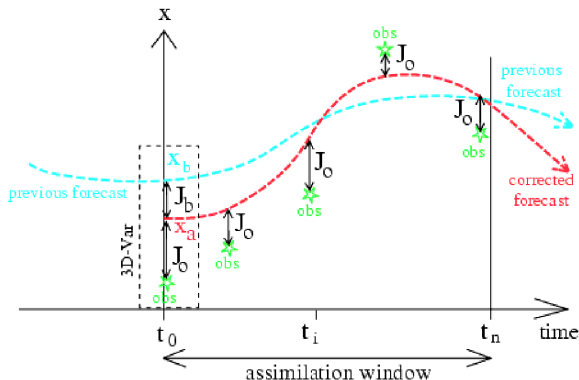
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(Adopted from ECMWF training Course)



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# Weak constraint with digital filter

$$J = J_b + J_o + J_c$$

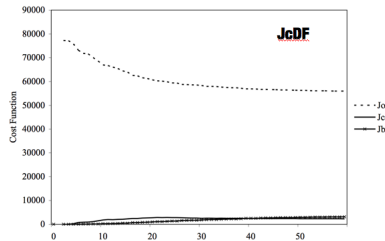
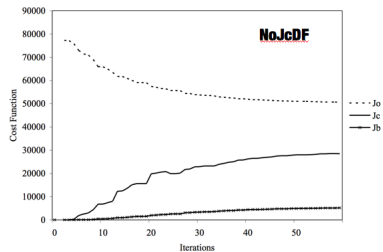
$$J_b(\mathbf{x}_0) = \frac{1}{2} [(\mathbf{x}_0 - \mathbf{x}_b)^T \mathbf{B}^{-1} (\mathbf{x}_0 - \mathbf{x}_b)]$$

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$$\begin{aligned} J_c(\mathbf{x}_0) &= \frac{\gamma_{df}}{2} [(\delta \mathbf{x}_{N/2} - \delta \mathbf{x}_{N/2}^{df})^T \mathbf{C}^{-1} (\delta \mathbf{x}_{N/2} - \delta \mathbf{x}_{N/2}^{df})] \\ &= \frac{\gamma_{df}}{2} \left[ \left( \delta \mathbf{x}_{N/2} - \sum_{i=0}^N f_i \delta \mathbf{x}_i \right)^T \mathbf{C}^{-1} \left( \delta \mathbf{x}_{N/2} - \sum_{i=0}^N f_i \delta \mathbf{x}_i \right) \right] \\ &= \frac{\gamma_{df}}{2} \left[ \left( \sum_{i=0}^N h_i \delta \mathbf{x}_i \right)^T \mathbf{C}^{-1} \left( \sum_{i=0}^N h_i \delta \mathbf{x}_i \right) \right] \end{aligned}$$

where:

$$h_i = \begin{cases} -f_i, & \text{if } i \neq N/2 \\ 1-f_i, & \text{if } i = N/2 \end{cases}$$



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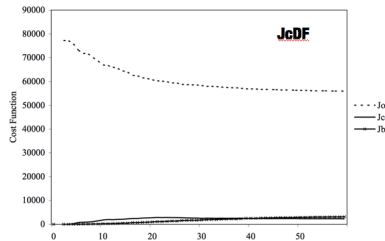
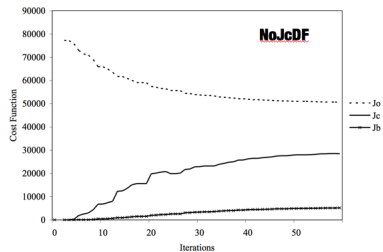
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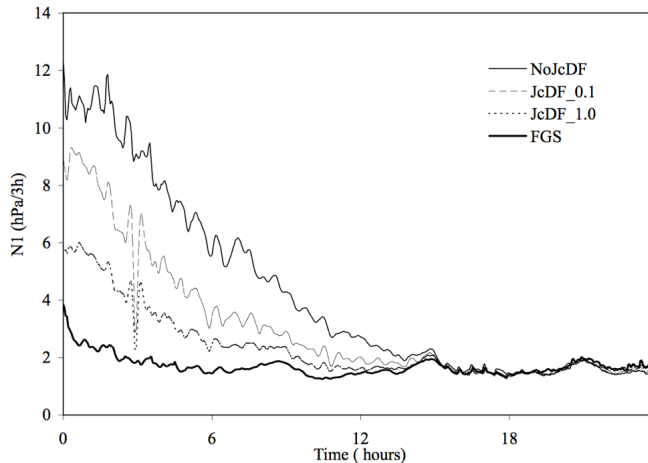
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# Weak constraint with digital filter (domain averaged surface pressure variation)



# Consider lateral boundary condition as control variable

$$J = J_b + J_o + J_c + J_{lbc}$$

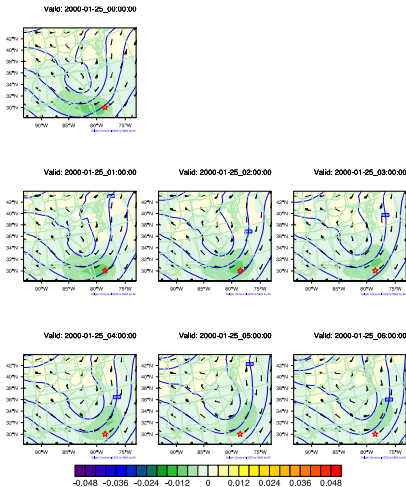
$$\begin{aligned} J_{lbc} &= \frac{1}{2}(\mathbf{x}(t_k) - \mathbf{x}_b(t_k))^T \mathbf{B}^{-1}(\mathbf{x}(t_k) - \mathbf{x}_b(t_k)) \\ &= \frac{1}{2}\delta\mathbf{x}(t_k)^T \mathbf{B}^{-1}\delta\mathbf{x}(t_k) \end{aligned}$$

$J_{lbc}$  is the  $J_b$  at the end of the assimilation window  
 lateral boundary control is obtained through

$$\frac{\partial\delta\mathbf{x}_{lbc}}{\partial t} = \frac{\delta\mathbf{x}(t_k) - \delta\mathbf{x}(t_0)}{t_k - t_0}$$

## Single observation experiment

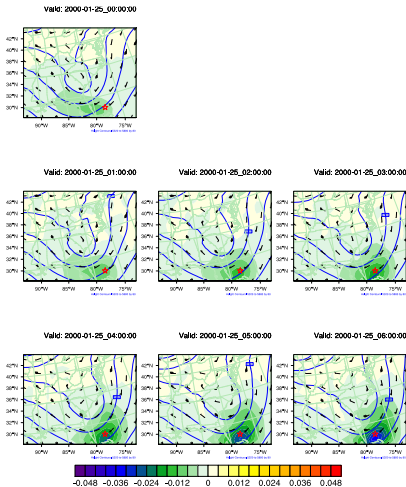
To investigate the impact of including boundary condition control in data assimilation, a 6h observation close to boundary is put at the downstream of the boundary inflow, we expect that the major analysis increments response at 0h should be in boundary condition and outside of domain.



## Remarks

Forecasted 500mb T difference  
(DA forecast - reference  
forecast)

- ★ is the location of obs.  
at the ending time (6h).
- $O - B = -0.95K$
- LBC control is **turned off**



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# An OSSE radar data assimilation with WRF 4D-Var

- TRUTH — Initial condition from TRUTH (13-h forecast initialized at 2002061212Z from AWIPS 3-h analysis) run cutted by ndown, boundary condition from NCEP GFS data.
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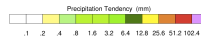
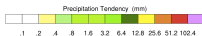
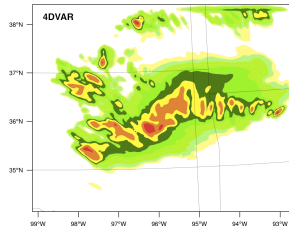
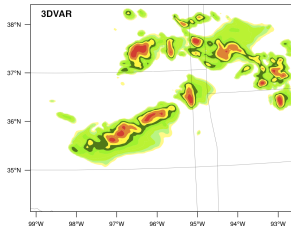
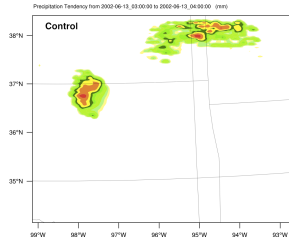
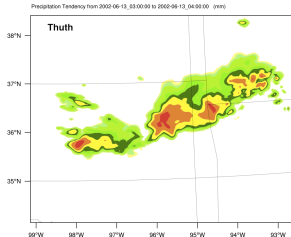


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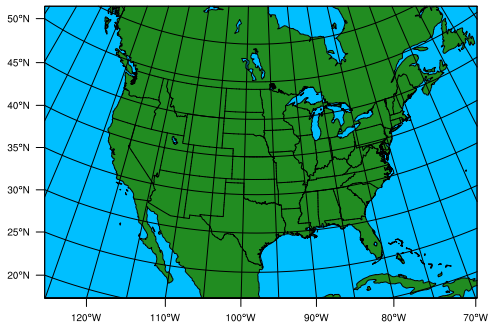


# OSSE 3rd hour precipitation simulation



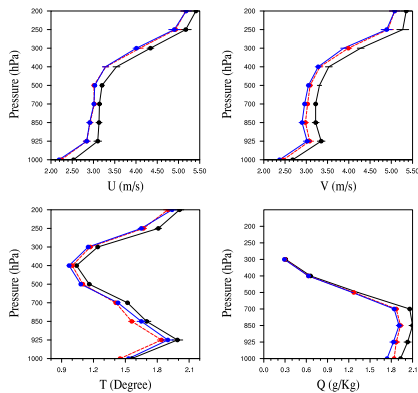
# Experiment configuration

- Grids: 105x72x28L
- Resolution: 60km
- Period: 2010091100-2010092600 @0Z,6Z,12Z,18Z
- First guess is the 12h forecast from NCEP FNL
- 48h forecasts from FG, 3DVAR and 4DVAR
- Verified against NCEP GDAS prepbufr data



## Averaged RMSE of 24H forecast verification

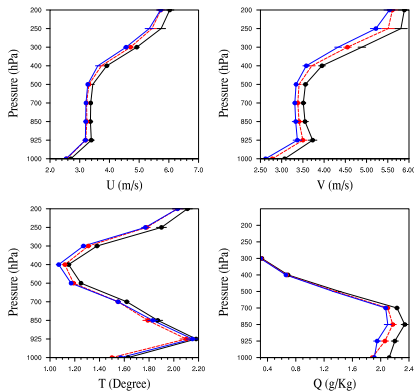
RMSE Profiles 11 - 26 Sep 2010



— 4D-Var WRFDA NEW —  
- - - 3D-Var WRFDA - - -  
— CONTROL —

## Averaged RMSE of 36H forecast verification

RMSE Profiles 11 - 26 Sep 2010

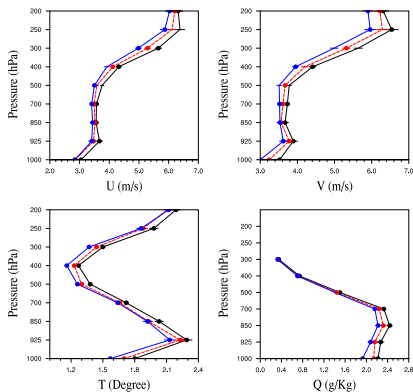


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## Averaged RMSE of 48H forecast verification

RMSE Profiles 11 - 26 Sep 2010



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# Download and setup test dataset for this tutorial

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# Test for tangent linear model

Taylor formula:

$$\lim_{\alpha \rightarrow 0} \frac{M(x + \alpha \delta \mathbf{x}) - M(x)}{M'(\alpha \delta \mathbf{x})} = 1$$

## check results

```
===== Tangent Linear check =====
check==== U === V === W == PH === T == MU == MOIST =====
check      T      T      T      T      T      T      T
alpha_m=.1000E+00   coef=  0.98250076417818E+00   val_n=  0.3628649E+11   val_l=  0.3693279E+11
alpha_m=.1000E-01   coef=  0.99781045126907E+00   val_n=  0.3685192E+09   val_l=  0.3693279E+09
alpha_m=.1000E-02   coef=  0.99949153238165E+00   val_n=  0.3691401E+07   val_l=  0.3693279E+07
alpha_m=.1000E-03   coef=  0.10002560538015E+01   val_n=  0.3694225E+05   val_l=  0.3693279E+05
alpha_m=.1000E-04   coef=  0.99981685944643E+00   val_n=  0.3692603E+03   val_l=  0.3693279E+03
alpha_m=.1000E-05   coef=  0.10000972073298E+01   val_n=  0.3693638E+01   val_l=  0.3693279E+01
alpha_m=.1000E-06   coef=  0.99996624597337E+00   val_n=  0.3693154E-01   val_l=  0.3693279E-01
alpha_m=.1000E-07   coef=  0.99999992233716E+00   val_n=  0.3693279E-03   val_l=  0.3693279E-03
alpha_m=.1000E-08   coef=  0.10000017668820E+01   val_n=  0.3693285E-05   val_l=  0.3693279E-05
alpha_m=.1000E-09   coef=  0.10000050602279E+01   val_n=  0.3693298E-07   val_l=  0.3693279E-07
alpha_m=.1000E-10   coef=  0.10000451984913E+01   val_n=  0.3693446E-09   val_l=  0.3693279E-09
```



# Test for adjoint model

adjoint identity:

$$\forall \mathbf{x}, \forall \mathbf{y} : \langle M' . \mathbf{x}, \mathbf{y} \rangle = \langle \mathbf{x}, M^* . \mathbf{y} \rangle$$

## check results

```
ad_check: VAL_TL:    0.41466174569087E+11
ad_check: VAL_AD:    0.41466174569088E+11
```

- Although the tangent linear model might be imperfect.
- The adjoint test must be perfect. otherwise, there are bugs in the adjoint model.



# Answers to frequently asked questions regarding to WRFPLUS

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# WRF 4D-Var observation preparation

- Conventional observation — LITTLE\_R format

[http://www.mmm.ucar.edu/wrf/users/wrfda/Tutorials/2010\\_Aug/docs/WRFDA\\_obsproc.pdf](http://www.mmm.ucar.edu/wrf/users/wrfda/Tutorials/2010_Aug/docs/WRFDA_obsproc.pdf)

- OR Conventional observation — prepbufr format

- near real-time data : <ftp://ftp.ncep.noaa.gov/pub/data/nccf/com/gfs/prod>
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- history archives : <http://dss.ucar.edu/dataset/ds735.0>

- Ascii formatted Radar data

[http://www.mmm.ucar.edu/wrf/users/wrfda/Tutorials/2010\\_Aug/docs/WRFDA\\_radar.pdf](http://www.mmm.ucar.edu/wrf/users/wrfda/Tutorials/2010_Aug/docs/WRFDA_radar.pdf)



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# Tips for using prepbufr and bufr data on non-IBM platforms

On non-IBM platforms, the prepbufr and bufr formats observation downloaded from NCEP ftp server or NCAR archives should be converted. This conversion was conducted using the C code `ssrc.c` located in the *utils* directory of the GSI distribution.

More detail information and GSI codes download, please refer to

<http://www.dtcenter.org/com-GSI/users/support/faqs/index.php>

- How to compile `ssrc.c`:

```
pgcc -o ssrc.exe ssrc.c
```

- How to convert :

```
ssrc.exe < prepbufr.gdas.2008020600.wo40 > ob.bufr
```

```
ssrc.exe < gdas.1bamua.t00z.20080206.bufr > amusa.bufr
```

# Important namelist variables for 4D-Var run

- *&wrfvar1*
  - *var4d*: logical, if run 4D-Var
  - *var4d\_lbc* : logical, if include lateral boundary condition control in 4D-Var
  - *var4d\_bin*: integer, seconds, length of sub-window to group observations in 4D-Var
- *&perturbation*
  - *trajectory\_io*: logical, do not change, testing purpose
  - *enable\_identity* : logical, if run TL/AD model with identity model, testing purpose
  - *jcdfi\_use*: logical, if turn on the digital filter as a weak constraint.
  - *jcdfi\_diag*: integer, 0/1,  $J_c$  term diagnostics
  - *jcdfi\_penalty*: real, weight to jcdf term

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## Important namelist variables for 4D-Var run, cont'd

- *&physics*
  - all physics options must be consistent with which used in wrfinput or fg
- *&wrfvar18,21,22*
  - *analysis\_date* is the start time of the assimilation window
  - *time\_window\_min* is the start time of the assimilation window
  - *time\_window\_max* is the end time of the assimilation window

## Important namelist variables for 4D-Var run, cont'd

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  - all physics options must be consistent with which used in wrfinput or fg
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  - *time\_window\_min* is the start time of the assimilation window
  - *time\_window\_max* is the end time of the assimilation window
- *&time\_control*
  - *run\_xxxxs* must be consistent with the length of the assimilation window
  - *start\_xxxx* must be consistent with the start time of the assimilation window
  - *end\_xxxx* must be consistent with the end time of the assimilation window



## Important namelist variables for 4D-Var run, cont'd

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  - all physics options must be consistent with which used in wrfinput or fg
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  - *start\_xxxx* must be consistent with the start time of the assimilation window
  - *end\_xxxx* must be consistent with the end time of the assimilation window

# Adjoint check before 4D-Var run

It is always a good practice to run adjoint check before the product run. How:

- `&wrfvar10`  
`test_transforms=true,`
- `run`  
`da_wrfvar.exe`

## Check results

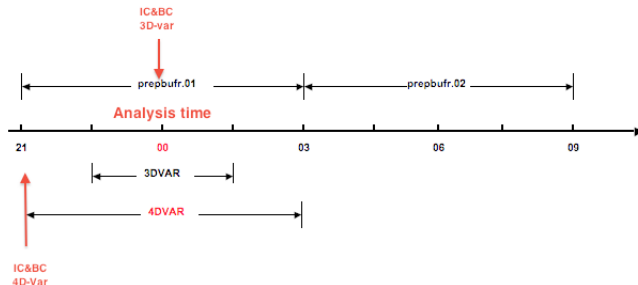
```
...
wrf: back from adjoint integrate
d01 2008-02-05_21:00:00 read nonlinear xtraj time stamp:2008-02-05_21:00:00
Single Domain < y, y      > =   2.15435506772433E+06
Single Domain < x, x_adj > =   2.15435506772431E+06

Whole Domain < y, y      > =   2.15435506772433E+06
Whole Domain < x, x_adj > =   2.15435506772431E+06

da_check_xtoy_adjoint: Test Finished:

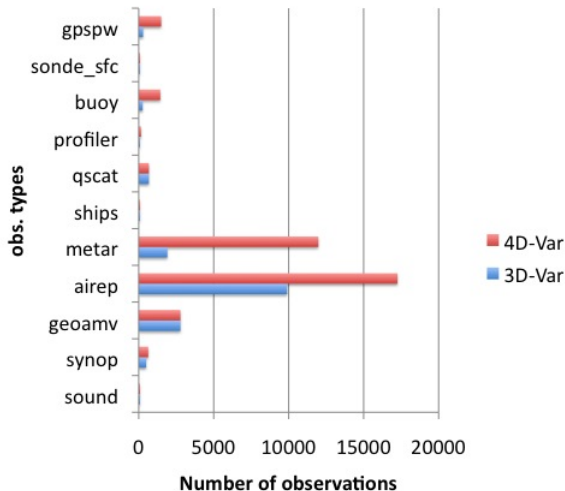
*** WRF-Var check completed successfully ***
```

## Symmetric 4D-Var window

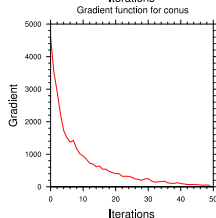
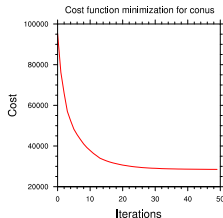


- IC & BC for 3D-Var is valid for 00Z
- IC & BC for 4D-Var is valid for 21Z

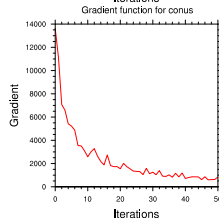
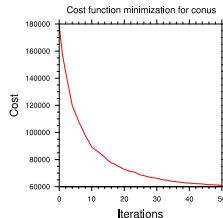
## Comparison of obs. usage on 2008020600



# Minimization comparison



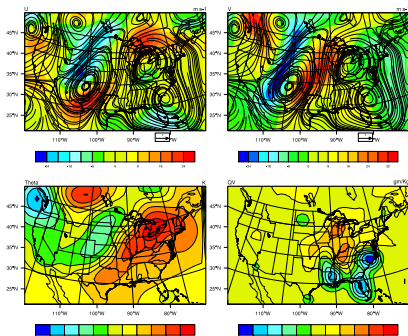
3D-Var



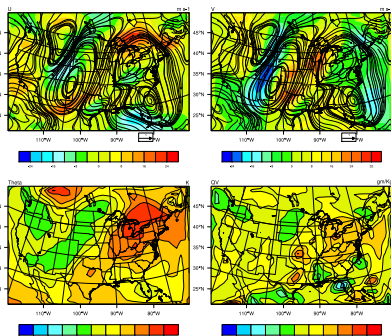
4D-Var

# Sample analysis increments valid on 2008020600

Horizontal Cross-section at sigma\_level=20  
3D-Var Difference



Horizontal Cross-section at sigma\_level=20  
4D-Var Difference





# Assimilate satellite radiance data

refer to WRFDA Users' guide Chapter 6:

[http://www.mmm.ucar.edu/wrf/users/wrfda/Docs/user\\_guide\\_V3.5/users\\_guide\\_chap6.htm#\\_Radiance\\_Data\\_Assimilations](http://www.mmm.ucar.edu/wrf/users/wrfda/Docs/user_guide_V3.5/users_guide_chap6.htm#_Radiance_Data_Assimilations)

Modify namelist.input for radiance data :

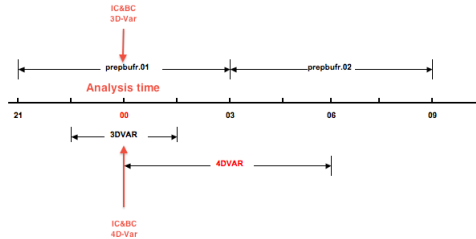
```
&wrfvar4
use_amsuaobs=true,
use_amsubobs=true,
&wrfvar14
rtminit_nsensor=6,
rtminit_platform=1,1,1,1,1,1,
rtminit_satid=15,16,18,15,16,17,
rtminit_sensor=3,3,3,4,4,4,
thinning_mesh=120.0,120.0,120.0,120.0,120.0,120.0,
thinning=true,
qc_rad=true,
rtm_option=2,
use_varbc=true,
use_crtm_kmatrix=true,
```



## Additional links for radiance assimilation

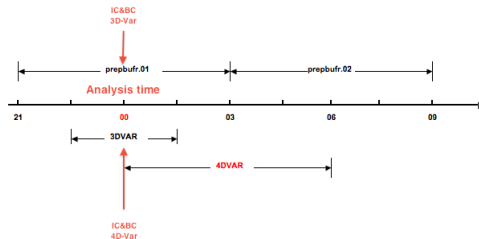
- link/copy amsua data as *amsua.bufr*
- link/copy amsub data as *amsub.bufr*
- *link -fs WRFDA/var/run/radiance\_info radiance\_info*
- *link -fs WRFDA/var/run/crtm\_coeffs crtcoeffs*

# One-side 4D-Var window



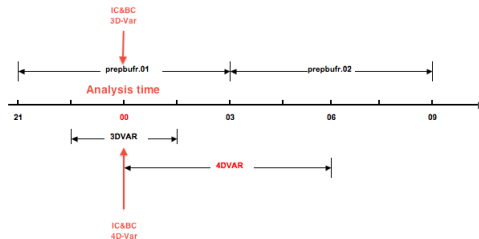
- link/copy prepbufr data at 00Z as *ob01.bufr*
- link/copy prepbufr data at 06Z as *ob02.bufr*

## One-side 4D-Var window



- link/copy prepbufr data at 00Z as *ob01.bufr*
- link/copy prepbufr data at 06Z as *ob02.bufr*
- link/copy amsua data at 00Z as *amsua01.bufr*
- link/copy amsua data at 06Z as *amsua02.bufr*
- ...

## One-side 4D-Var window



- link/copy prepbufr data at 00Z as *ob01.bufr*
- link/copy prepbufr data at 06Z as *ob02.bufr*
- link/copy amsua data at 00Z as *amsua01.bufr*
- link/copy amsua data at 06Z as *amsua02.bufr*
- ...

# Common problems in WRF 4D-Var run

## Error message

```
*****BUFR ARCHIVE LIBRARY ABORT*****  
BUFRLIB: OPENBF - ERROR READING INPUT FILE CONNECTED TO UNIT 96 WHEN CHECKING  
FOR 'BUFR' IN FIRST 4 BYTES OF RECORD  
*****BUFR ARCHIVE LIBRARY ABORT*****
```

- Solution: prepbufr and/or bufr data should be converted for non-IBM platforms.

## Error message, PGI compiler only

```
0: ALLOCATE: 18446744072053605056 bytes requested; not enough memory
```

- Solution: Please go to WRFDA home page to download the fixes.



## Developments after V3.3

Finished:

- 3 physics schemes were added in WRF tangent linear model and adjoint model.
  - surface drag (bl\_pbl\_physics=98)
  - large scale condensate (mp\_physics=98)
  - a simplified cumulus scheme (cu\_physics=98)
- Parallelization of WRF tangent linear model is done.

Under development:

- Parallelization of WRF adjoint model.
- Add precipitation observation to forcing term.
- Different resolutions in outer loops and inner loops.



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# Thank You

The NESL Mission is:

- To advance understanding of weather, climate, atmospheric composition and processes;
- To provide facility support to the wider community; and,
- To apply the results to benefit society.

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